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# ICS 52: Introduction to Software Engineering

Fall Quarter 2004

Professor Richard N. Taylor

Lecture Notes

Week 3: Architectures

[http://www.ics.uci.edu/~taylor/ICS\\_52\\_FQ04/syllabus.html](http://www.ics.uci.edu/~taylor/ICS_52_FQ04/syllabus.html)

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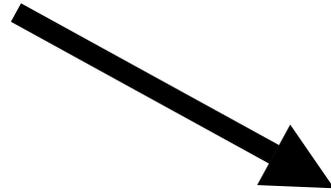


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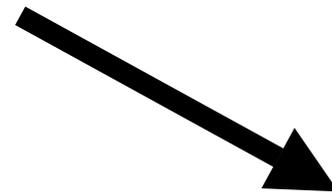
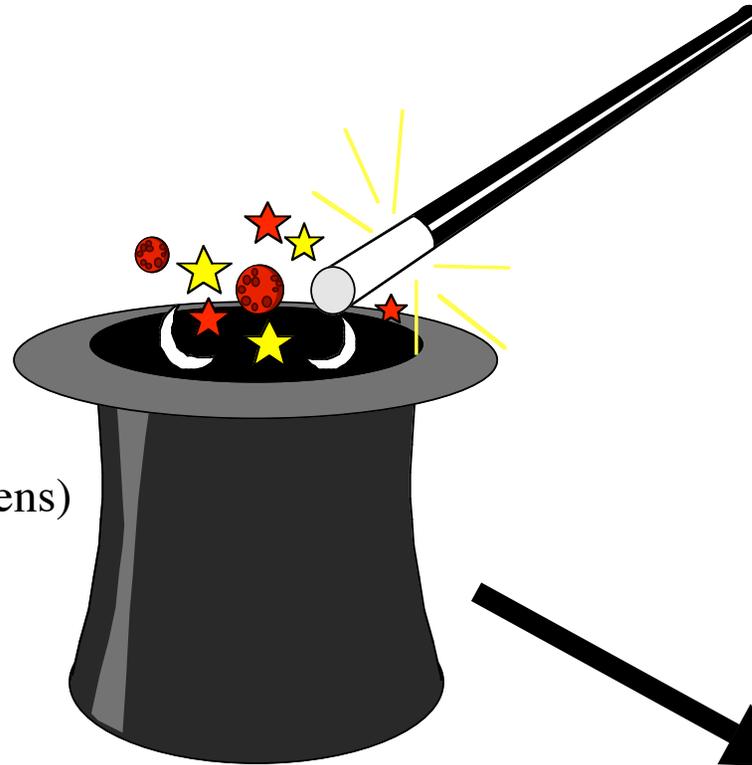
# "Magician Coder" View of Development

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Requirements



(Here a Miracle happens)

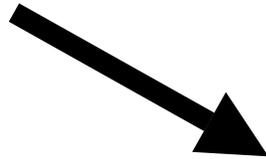


Code

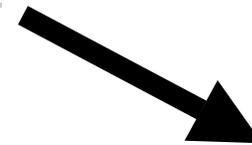
# A Professional View

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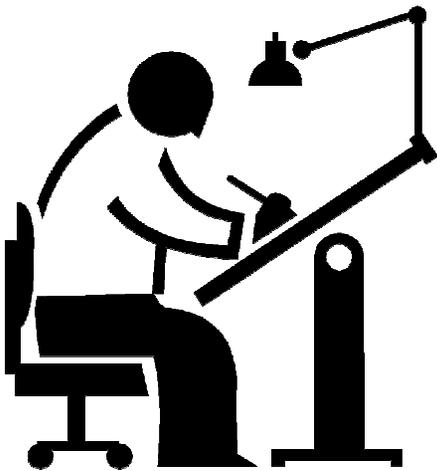
Requirements



Architecture

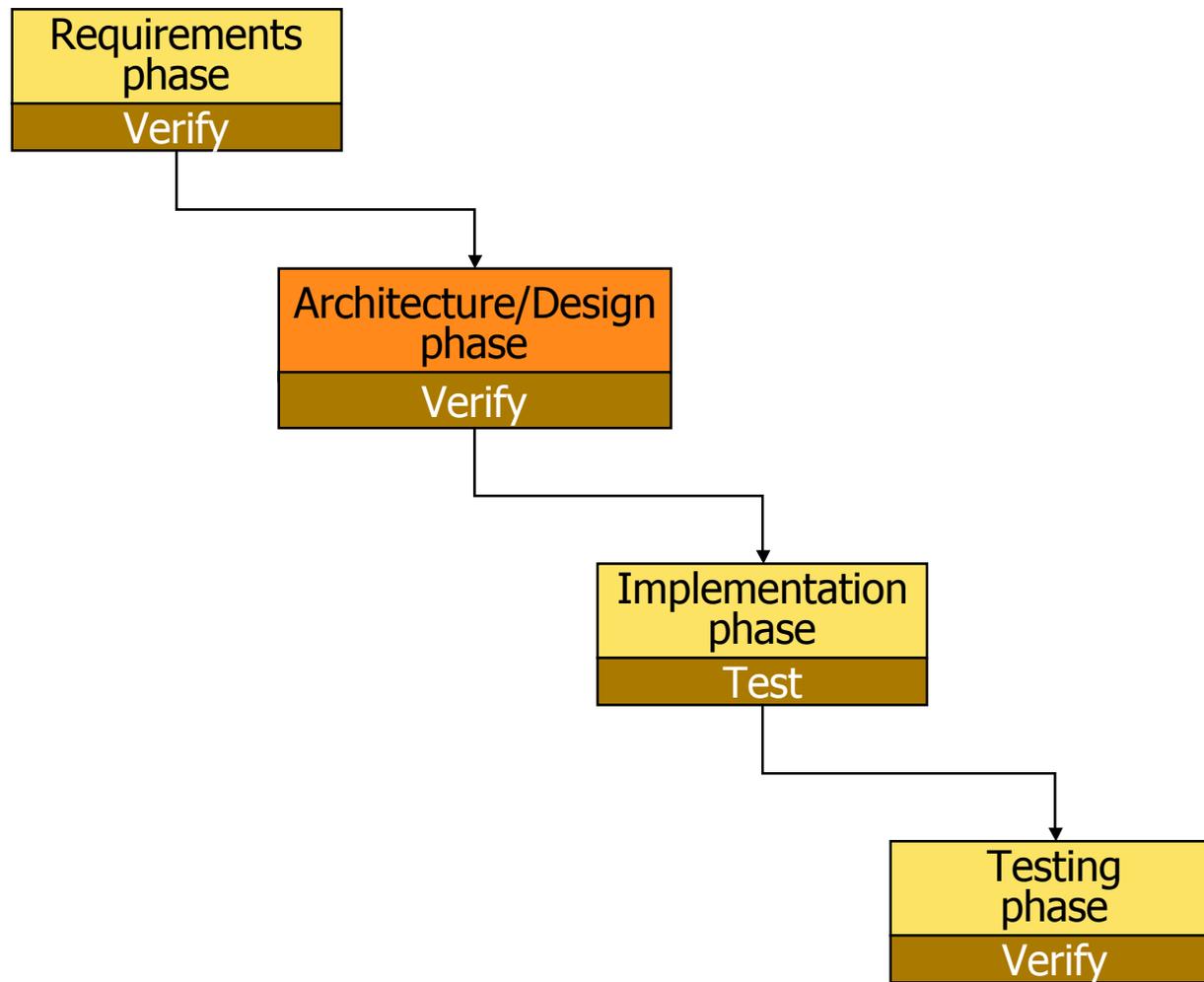


Code



# ICS 52 Life Cycle

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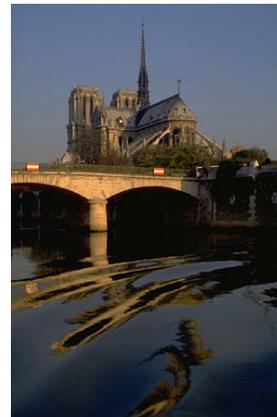
# ICS 52 Software Life Cycle

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- ◆ Requirements specification
  - Interview customer (TA)
  - Focus on “what”, not “how”
- ◆ Architectural and module design
  - Based on provided “official” requirements specification
  - Based on design recovery
  - Focus on system structure and interfaces
- ◆ Implementation
  - Based on provided “official” design
  - Based on the existing code base
  - Focus on good implementation techniques
- ◆ Testing
  - Based on provided “official” implementation
  - Focus on fault scenarios and discovery

# Bridges...

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# Architecture of Buildings

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- ◆ **Types** (Domains): office building, shepherd's shelter, detached home, apartment building, aircraft hanger
  - **Domain**-specific software architectures
- ◆ **Styles**: colonial, Victorian, Greek revival, Mediterranean, Bauhaus
  - Software system **organization paradigms**
- ◆ Building **codes**: electrical, structural, ...
  - **Constraints** on how the building can be legally built
- ◆ Blueprints and drawings
  - Formal specification of supporting details

# Architectural Design

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## Buildings

### Elements

- Floors
- Walls
- Rooms

### Types

- Office building
- Villa
- Aircraft hanger

### Styles

- Colonial
- Victorian
- Southwestern

### Rules and regulations

- Electrical
- Structural

## Software

### Elements

- Components
- Interfaces
- Connections

### Types

- Office automation
- Game
- Space shuttle control

### Styles

- Pipe and filter
- Layered
- Implicit invocation

### Rules and regulations

- Use of interfaces
- Methods of change

# Design

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- ◆ Architectural (software system) design
  - High-level partitioning of a software system into separate modules (*components*)
  - Focus on the interactions among parts (*connections*)
  - Focus on structural properties (*architecture*)
    - » “How does it all fit together?”
- ◆ Module design
  - Detailed design of a component
  - Focus on the internals of a component
  - Focus on computational properties
    - » “How does it work?”

# Comparison to Programming (of Modules)

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## Architecture

- interactions **among** parts
- structural properties
- system-level performance
- outside module boundary

## Modules

- implementations **of** parts
- computational properties
- algorithmic performance
- inside module boundary

# Software Architecture Topics

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- ◆ Essential elements
- ◆ Repertoire of architectural styles
- ◆ Choosing and/or modifying a style
- ◆ Designing within a style
- ◆ Architecture in support of application families

# Software Architecture: Essentials

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## ◆ Components

- What are the elements?
- What aspects of the requirements do they correspond to? Where did they come from?
- Examples: filters, databases, objects, ADTs

## ◆ Connections

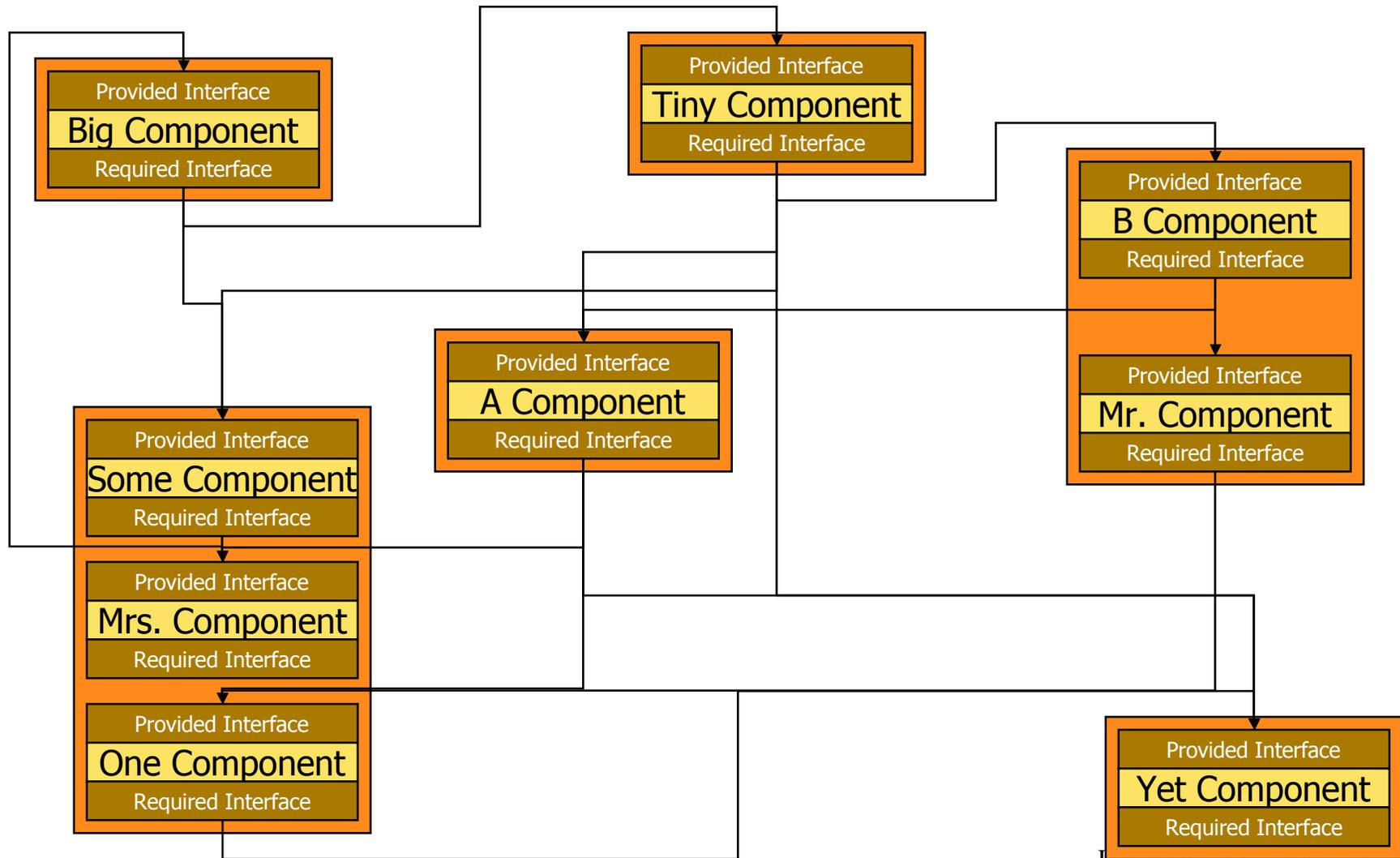
- How do components communicate?
- Examples: procedure calls, messages, pipes, event broadcast

## ◆ Topology

- How are the components and connections organized topologically?

## ◆ Constraints (including constraints on change)

# We Can Do Anything...



# ...But Style Has Proven to Help

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- ◆ Architectural styles restrict the way in which components can be connected
  - Prescribe patterns of interaction
  - Promote fundamental principles
    - » Rigor, separation of concerns, anticipation of change, generality, incrementality
    - » Low coupling
    - » High cohesion
- ◆ Architectural styles are based on success stories
  - For many years most compilers were built as “pipe-and-filter”
  - Almost all network protocols are built as “layers”
  - Many business systems are built as “three-tier” systems

# Common Simple Architectural Idioms

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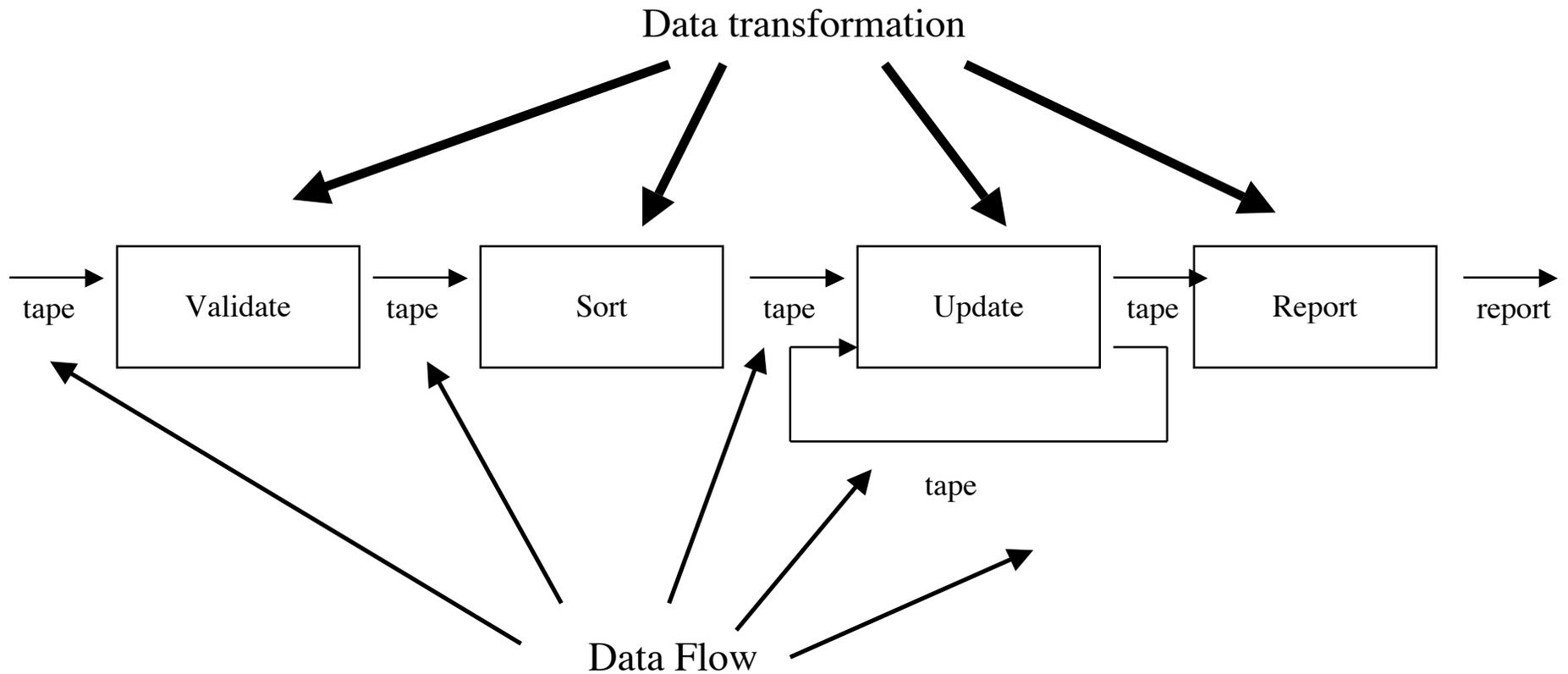
- ◆ Data flow systems
  - (1) Batch sequential
  - (2) pipe-and-filter
- ◆ (3) Data and/or service-centric systems: the Client-Server style
  - The (pre-1994) WWW
  - Database servers
- ◆ (4) Hierarchical systems
  - Main program and subroutines;
- ◆ (5) Data abstraction/OO systems
- ◆ (6) Peer-to-Peer
- ◆ (7) Layered systems
- ◆ (8) Interpreters
- ◆ (9) Implicit invocation (event-based)
- ◆ (10) Three-level architectures

Note: not all of these are of equal value, current use, or intellectual depth

Many of the following slides are from David Garlan, Mary Shaw, and Jose Galmes: Experience with a Course on Architectures for Software Systems, Part II: Educational Materials

# Style 1: Batch Sequential

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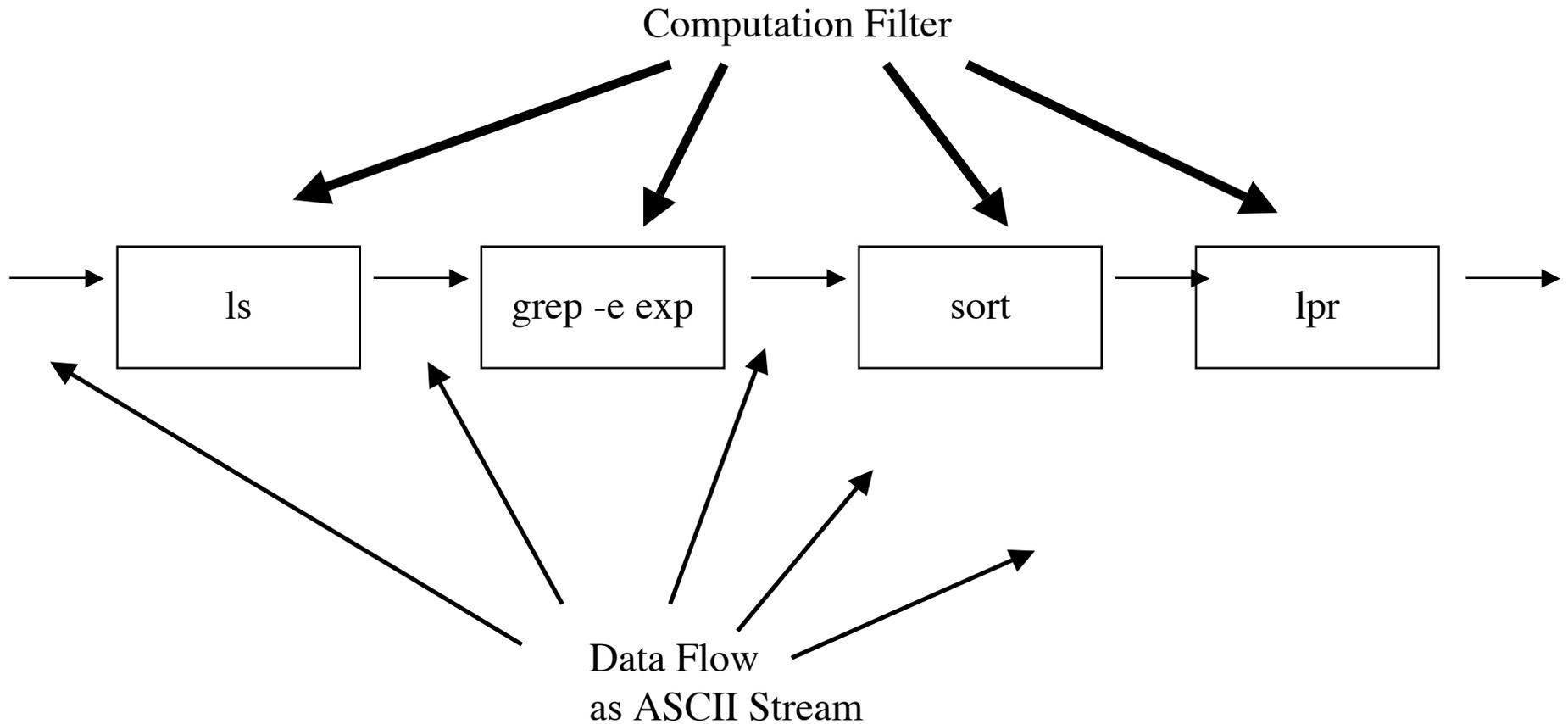
# Batch Sequential

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- ◆ Components
  - components are independent programs
  - each component runs to completion before next step starts
- ◆ Connections
  - Data transmitted as a whole between components
- ◆ Topology
  - Connectors define data flow graph
- ◆ Typical application: classical data processing

# Style 2: Pipe and filter

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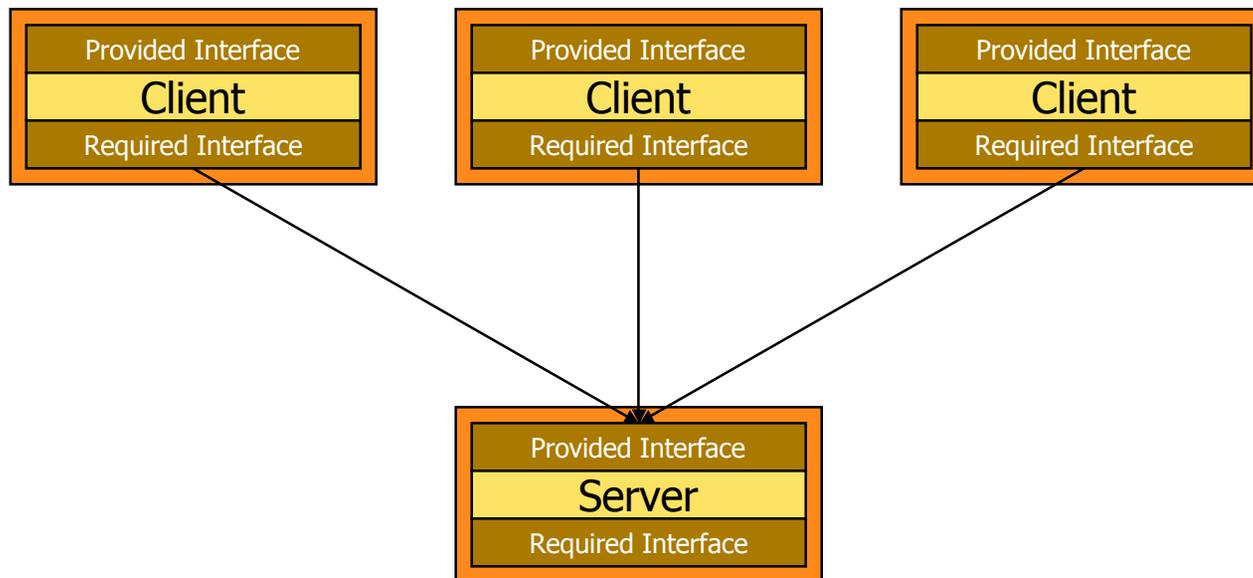
# Pipe and filter

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- ◆ Components
  - Like batch sequential, but components (filters) incrementally transform some amount of the data at their inputs to data at outputs
  - Little local context used in processing input stream
  - No state preserved between instantiations
- ◆ Connections
  - Pipes move data from a filter output to a filter input
  - Data is a stream of ASCII characters
- ◆ Topology
  - Connectors define data flow graph
- ◆ Pipes and filters run (non-deterministically) until no more computation possible
- ◆ Typical applications: many Unix applications

# Style 3: Client-Server

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Connections are remote procedure calls or remote method invocations

# Client-Server Systems

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- ◆ Components
  - 2 distinguished kinds
    - » Clients: towards the user; little persistent state; active (request services)
    - » Servers: “in the back office”; maintains persistent state and offers services; passive
- ◆ Connectors
  - Remote procedure calls or network protocols
- ◆ Topology
  - Clients surround the server

## Example: The pre-1994 WWW Architecture

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- ◆ Browsers are clients
- ◆ Web servers maintain state
- ◆ Connections by HTTP/1.0 protocol

# Example: Database Centered Systems

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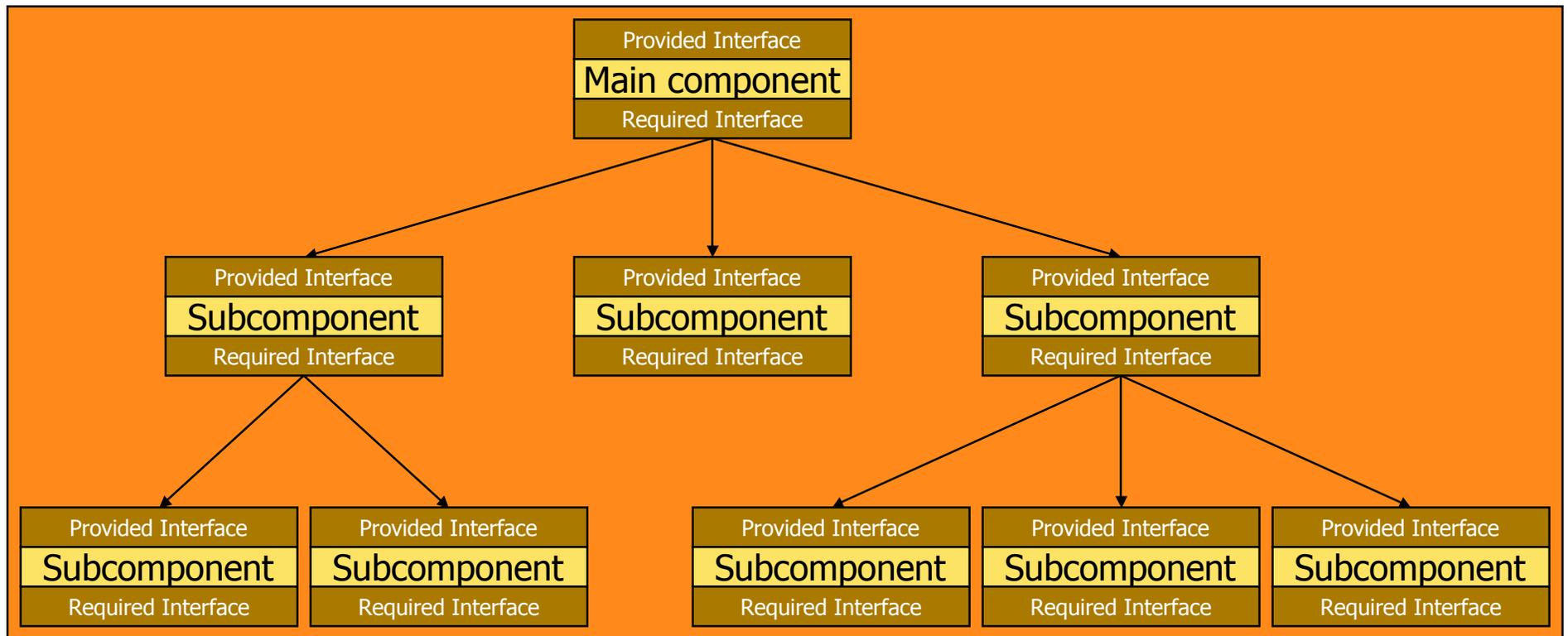
## ◆ Components

- Central data repository
- Schema (how the data is organized) designed for application
- Independent operators
  - » Operations on database implemented independently, one per transaction type
  - » interact with database by queries and updates

## ◆ Connections

- Transaction stream drives operation
- Operations selected on basis of transaction type
- May be direct access to data; may be encapsulated

# Style 4: Hierarchy: Main Program and Subroutines



Connections are function or method calls

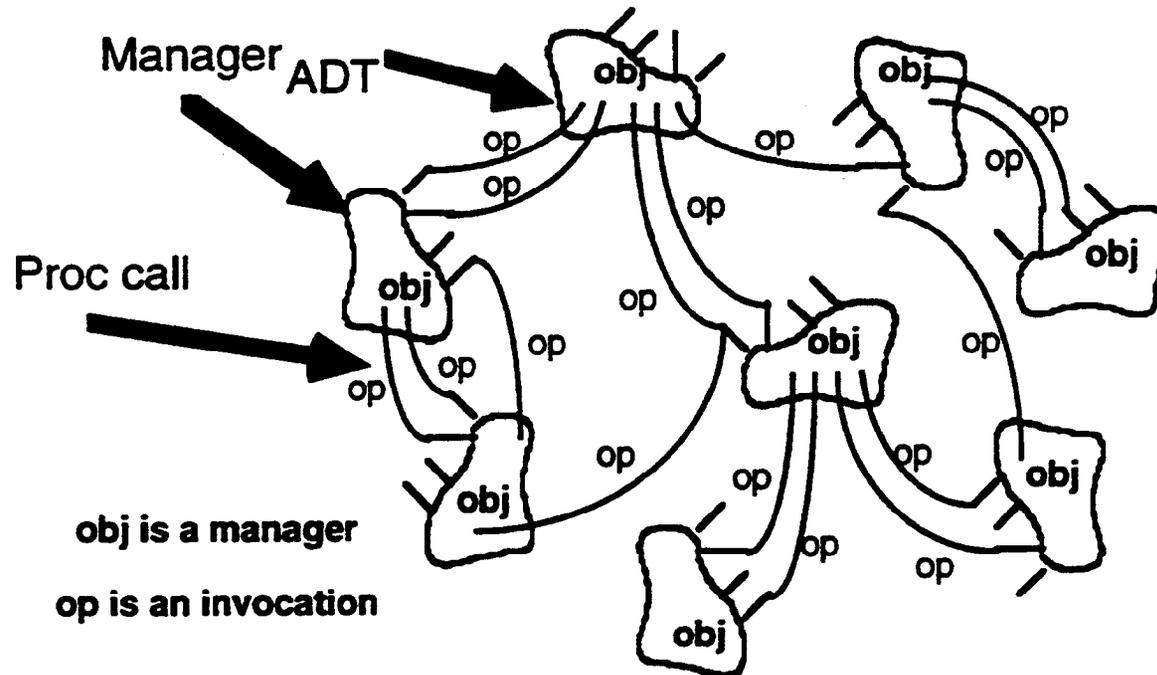
# Main Program and Subroutines

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- ◆ Components
  - Computational elements as provided by programming language
  - Typically single thread
- ◆ Connections
  - Call/return as provided by programming language
  - Shared memory
- ◆ Topology
  - Hierarchical decomposition as provided by language
  - Interaction topologies can vary arbitrarily

# Style 5: Data Abstraction/OO Systems

## Data Abstraction or Object-Oriented



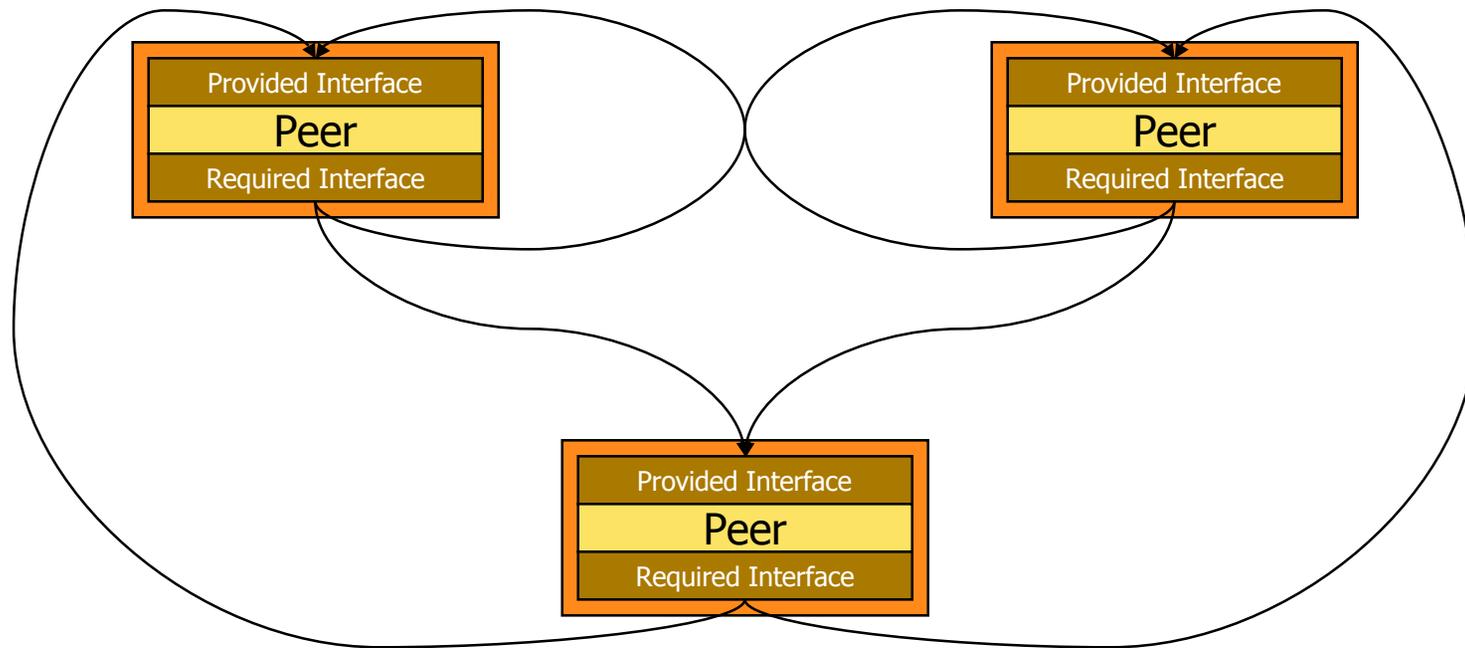
# Data Abstraction/OO Systems

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- ◆ Components
  - Components maintain encapsulated state, with public interface
  - Typically single threaded, though not logical
- ◆ Connections
  - Procedure calls ("method invocations") between components
  - Various degrees of polymorphism and dynamic binding
  - Shared memory a common assumption
- ◆ Topology
  - Components may share data and interface functions through inheritance hierarchies
  - Interaction topologies can vary arbitrarily

# Style 6: Peer-to-Peer

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Connections are remote procedure calls or remote method invocations

# Peer-to-Peer Architectures

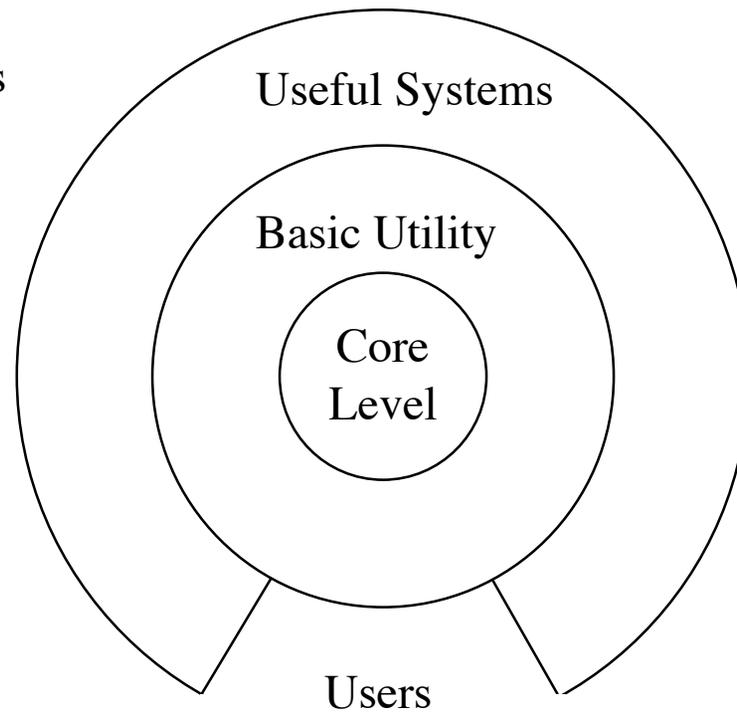
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- ◆ Components
  - Autonomous
  - Act as both clients and servers
- ◆ Connectors
  - Asynchronous and synchronous message passing ("remote procedure calls")
  - By protocols atop TCP/IP
  - No shared memory (except as an optimization when the configuration allows)
- ◆ Topology
  - Interaction topologies can vary arbitrarily and dynamically

# Layered Systems, Take 2

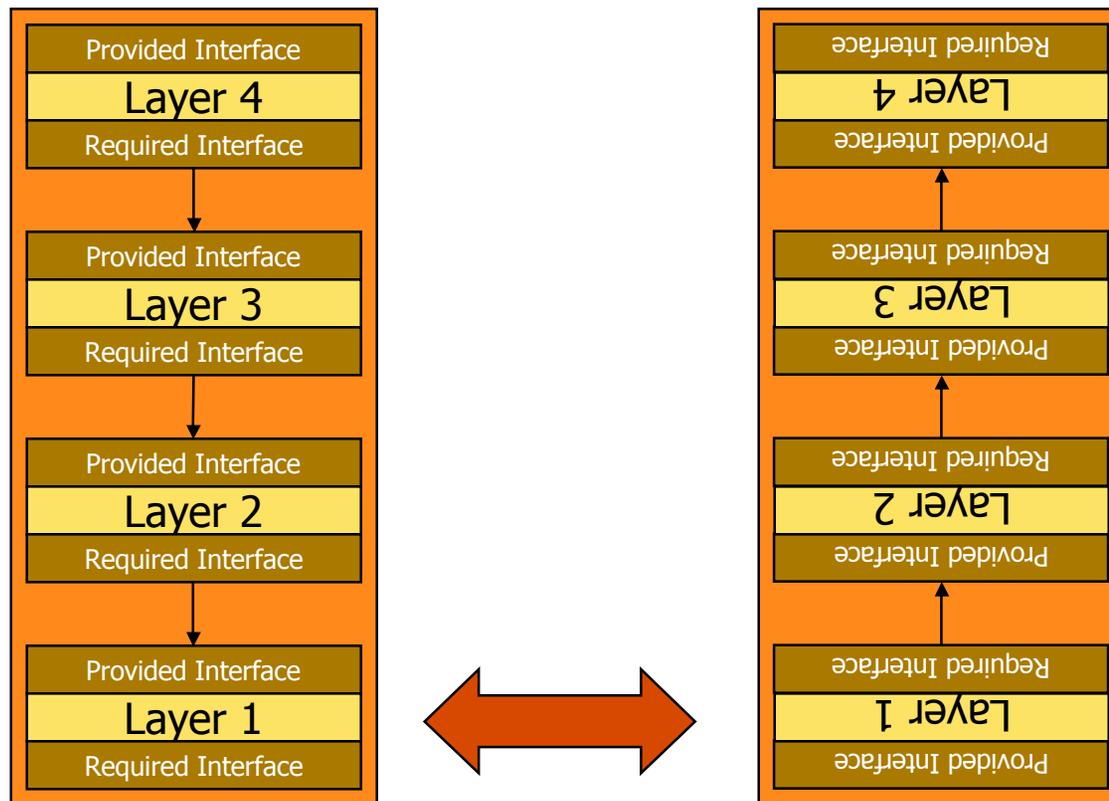
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Inter-level interfaces  
usually procedure calls



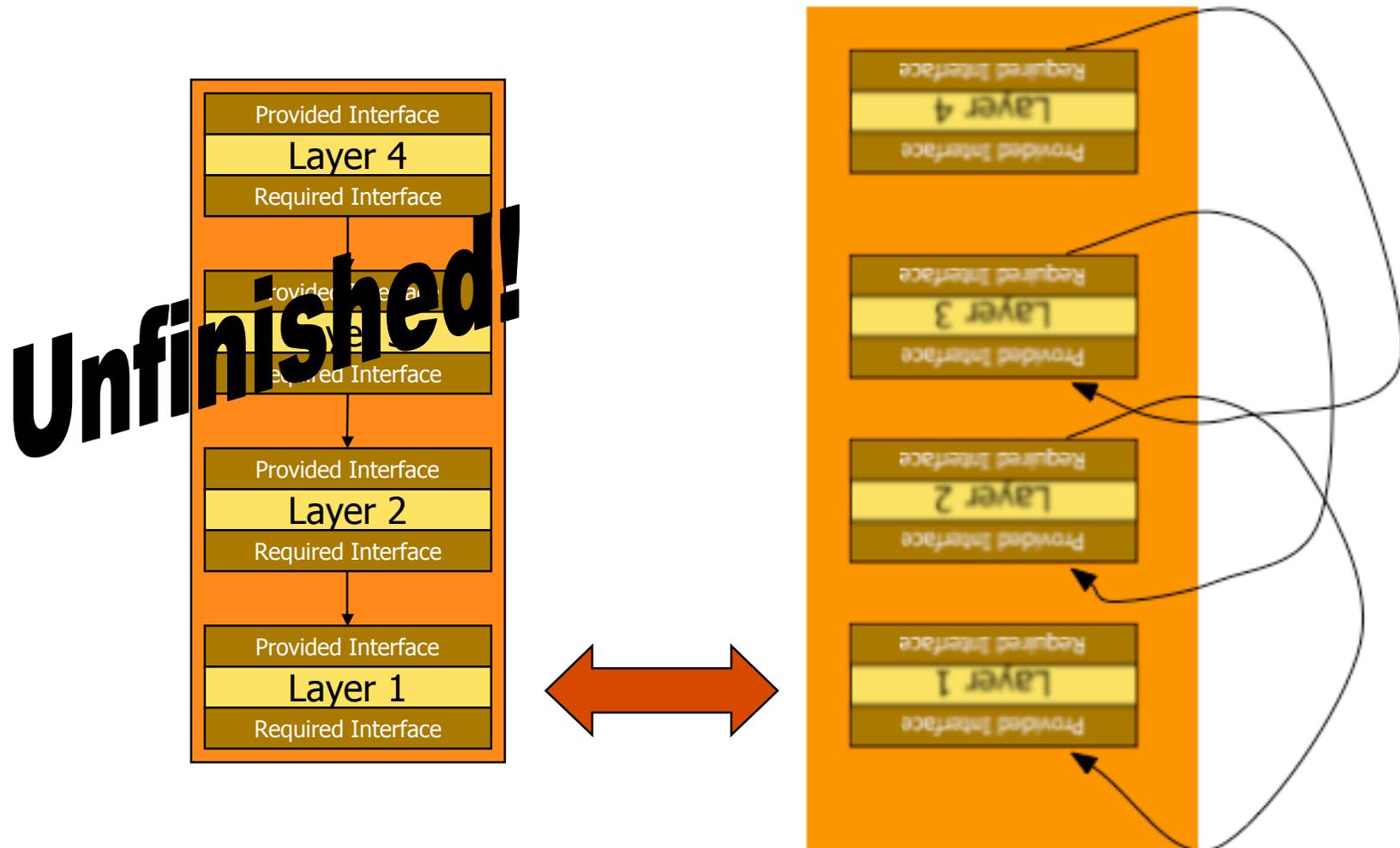
# Style 7: Layered Systems, Take 1

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Connections are function or method calls + “something in between”

# Style 7: Layered Systems, Take 1.1



Connections are function or method calls + "something in between"

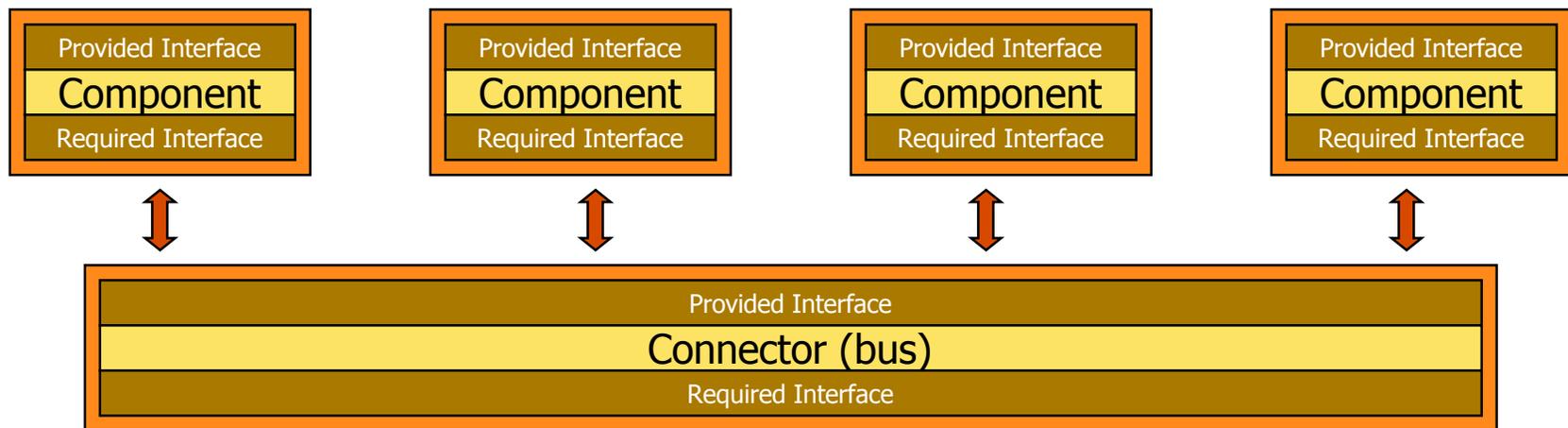
# Layered Systems

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- ◆ Components
  - Each layer provides a set of services
- ◆ Connections
  - Typically procedure calls
  - A layer typically hides the interfaces of all layers below, but others use "translucent" layers
- ◆ Topology
  - Nested
- ◆ Typical applications: support for portability, systems with many variations ("core features" v. extended capabilities)

# Style 8: Implicit Invocation (Event-based)

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Connections are provided by connectors, with communication via events on the software bus

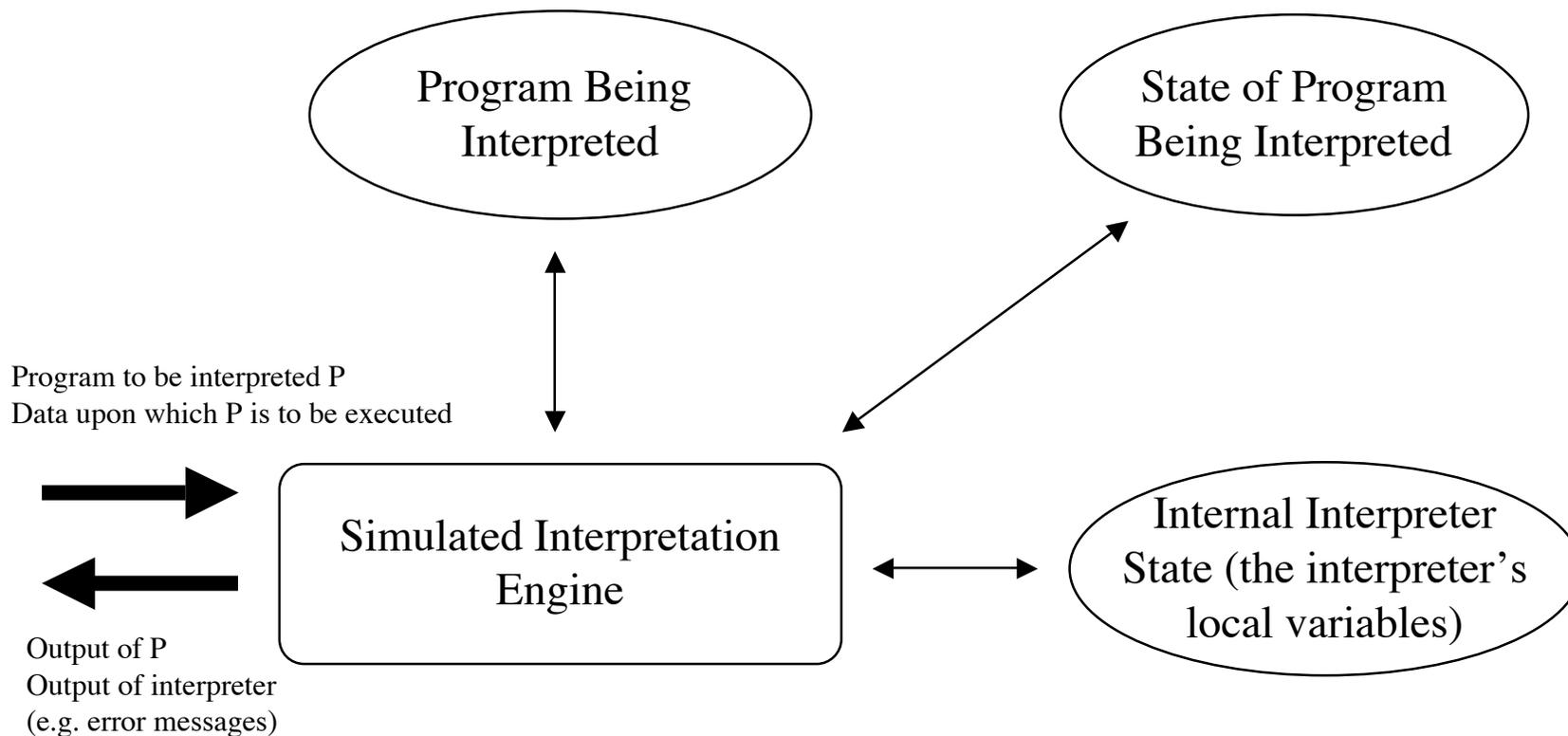
# Event-based/Implicit Invocation

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- ◆ Components
  - Encapsulate computation
  - Autonomous
- ◆ Inter-component communication is via events (only)
- ◆ Connectors
  - Encapsulate communication
  - Responsible for routing events to their destinations
  - Asynchronous and synchronous message passing ("remote procedure calls")
  - No shared memory (except as an optimization when the configuration allows)
- ◆ Topology
  - Interaction topologies can vary dynamically
  - Components to connectors to components
- ◆ Typical applications: decentralized systems, dynamic systems

# Style 9: Interpreters

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# Interpreters

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- ◆ Components
  - Execution engine simulated in software (with its internal data)
  - Program being interpreted
  - State of program being interpreted
- ◆ Connections
  - program being interpreted determines sequence of actions by interpreter
  - shared memory
- ◆ Topology
- ◆ Typical applications: end-user customization; dynamically changing set of capabilities (e.g. HotJava)

# Style 10: “Three Level Architectures”

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- ◆ User interface
- ◆ Application Logic
- ◆ Database (server)

# Where do Architectures and Components Come From?

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- ◆ Architectures: typically driven by kind of application
  - Often possible to solve one problem many different ways
- ◆ Components: many design strategies
  - ICS 52 component strategy:
    - » Component design by information hiding
    - » Designing systems for ease of extension and contraction
    - » An OO design approach
  - Rationale: design systems that have a long, useful lifetime

# Choosing the Right Style

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- ◆ Ask questions on whether a certain style makes sense
  - The Internet as a blackboard??
    - » Does that scale?
  - Stock exchange as a layers??
    - » How to deal with the continuous change?
  - Math as hierarchy??
    - » How to properly call different modules for different functions?
- ◆ Draw a picture of the major entities
- ◆ Look for the natural paradigm
- ◆ Look for what “feels right”

# Call Center Customer Care System

Version 2

