

For $L = \{(\phi, k) \mid \phi \text{ has exactly } k \text{ satisfying assignments}\}$

showed $L \in IP$ L is co-NP hard, so $co-NP \subseteq IP$.

Theorem (Shamir): $IP = PSPACE$.

$IP \subseteq PSPACE$ is easy: enumerate all possible interactions, explicitly calculate success probability.

Interaction is very powerful!

Can interact w/ master player of generalized geography and determine if she can win, even if you can not compute optimal moves.)

Need to show $PSPACE \subseteq IP$ (i.e. $QSAT \in IP$).

Same basic idea as co-NP proof (plus a few additional ingredients).

(*) First assume that no occurrence of x_i separated by more than one $\forall$ from the point of quantification:

$\forall x \quad \underbrace{\exists \quad \forall \quad \exists \quad \forall}_{\text{occurrences of } x} \quad \underbrace{\quad \quad \quad}_{\text{no occurrences of } x}.$

This helps ensure that the degree of a single variable is $O(|\phi|)$

$$\forall x \phi_1(x) \wedge \exists y \phi_2(x,y) \vee \forall z \phi_3(x,y,z) \vee \exists \dots$$

$$\forall x \phi_1(x) \wedge \exists y \phi_2(x,y) \vee \forall x' (x=x') \rightarrow \forall z \phi_3(x',y,z) \vee \exists \dots$$

replace x w/
 x' .

Arithmetization:

$$\exists x_i \phi \rightarrow \sum_{x_i=0,1} P_\phi(x_i, \dots)$$

$$\forall x_i \phi \rightarrow \prod_{x_i=0,1} P_\phi(x_i, \dots)$$

this can double the degree and square the size of P_ϕ .

Degree of a single variable x is bounded by $\text{poly}(|\phi|)$.

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this can double the degree and square the size of P_ϕ .

$\Rightarrow$ Quantified Boolean expression ϕ is true iff $P_\phi > 0$

Problem: the $\forall$ ($\prod$) terms may cause $P_\phi > 2^{2^{|\phi|}}$
(too large to compute).

Solution: evaluate mod q $2^n < q < 2^{3n}$

Prover sends a "good" q to V in the first round.
a "good" q is one such that $P_\phi \bmod q > 0$.

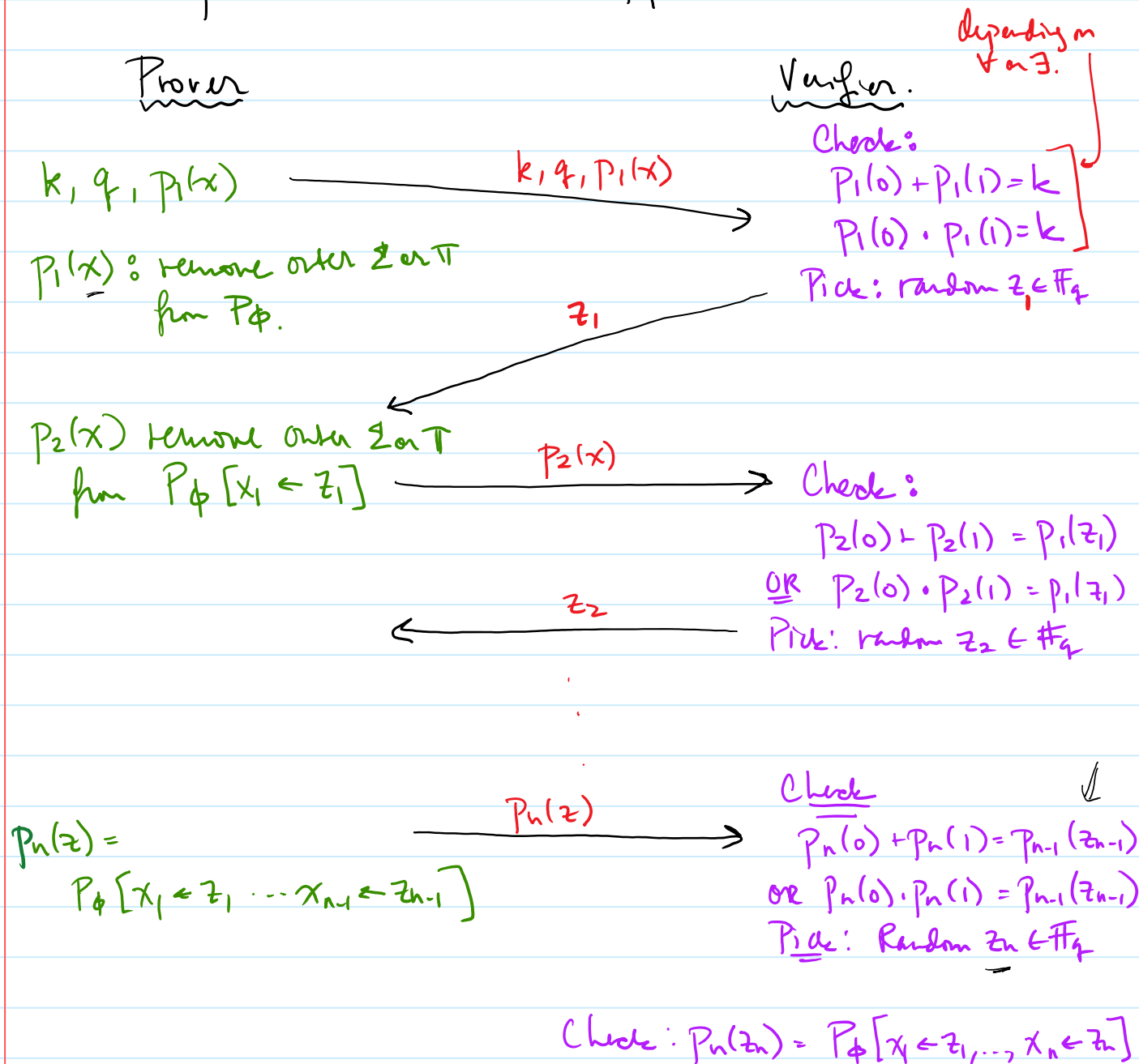
Claim: A good q exists because the # primes in the range $> 2^n$

$\Rightarrow$ the product of all these primes $> P_\phi$.
So it can't be that $P_\phi \bmod q \equiv 0$ for all q in the range.

Since prover is trying to get V to accept, he is motivated to send a good q .

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QSAT protocol: Prover claims: $P_\Phi = k > 0$.



Note: Each $P_i(x)$ is bounded in size because $d(\Phi)$
So it can be sent to V.

Also: $P_\Phi [x_1 \leftarrow z_1, \dots, x_n \leftarrow z_n]$ has no quantifiers
Can be computed in polynomial time.

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Example:

$$\phi = \forall x \exists y (x \vee y) \wedge \forall z ((x \wedge z) \vee (y \wedge \neg z)) \vee \exists w (z \vee (y \wedge \neg w))$$

$x \leftarrow 0$
 $y \leftarrow 3$
 $z \leftarrow 1$
 $w \leftarrow 2$

$$P_\phi = \prod_{x=0,1} \sum_{y=0,1} [(x+y) * \prod_{z=0,1} [(xz + y(1-z)) + \sum_{w=0,1} (z + y(1-w))]]$$

$$\prod_{x=0,1} \sum_{y=0,1} [(x+y) * \prod_{z=0,1} [(xz + y(1-z)) + 2z + y]]$$

$$\prod_{x=0,1} \sum_{y=0,1} [(x+y) * (2y)(x+2+y)]$$

$$\prod_{x=0,1} (x+1) \cdot 2 \cdot (x+3) = (1 \cdot 2 \cdot 3)(2 \cdot 2 \cdot 4) = 96 = P_\phi$$

Prover claims $P_\phi > 0$.

Prover Sends $q=13$ claim: $P_\phi \bmod q = 96 \bmod 13 = 5 > 0$.
 Sends $k=5$.

Removes outer $\prod_{x=0,1}$ and sends: $(x+1) \cdot 2 \cdot (x+3) = 2x^2 + 8x + 6 = p_1(x)$

Verifier checks $p_1(0) \cdot p_1(1) \bmod q = 5$. $p_1(a)$
 Verifier picks random $z_1 = 9$.

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 $y: 3$

$$P_1[x \leftarrow q] = \sum_{y=0,1} [(q+y) \times \prod_{z=0,1} [(qz+y(1-z)) + \sum_{w=0,1} (z+y(1-w))]]$$

$P_1(q) =$

$$P_2(y) = (q+y) \times \prod_{z=0,1} [(qz+y(1-z)) + 2z+y]$$

$$= (q+y)(2y)(1+y) = 2y^3 + 18y^2 + 22y + 198y \pmod{13}$$

$$= 2y^3 + y^2 + 3y$$

Prover sends : $P_2(y) = 2y^3 + y^2 + 3y$.

Verifier checks $P_2(0) + P_2(1) \equiv P_1(q) \pmod{13}$

$6 \equiv 6$

Verifier picks random : $y = 3$ $P_2(3) = 7 \pmod{13}$.

$$P_3[x \leftarrow q \ y \leftarrow 3] = [(q+3) \times \prod_{z=0,1} (qz + 3(1-z)) + \sum_{w=0,1} (z + 3(1-w))]$$

$\Rightarrow P_3(z) = 6z + 3 + 2z + 3 = 8z + 6$

$\dots (z+3) + z = (2z+3)$

Prover sends $P_3(z)$. Verifier checks $12 \cdot P_3(0) \cdot P_3(1) \equiv P_2(3)$

$12 \cdot 6 \cdot 14 \equiv 7 \pmod{13}$

Verifier selects random $z \leftarrow 7$

$$P_\phi[x \leftarrow 9, y \leftarrow 3, z \leftarrow 7] = 12 * \left[\overbrace{(9 * 7)}^{6 \bmod 13} + 3(1-7) + \sum_{w=0,1} (7 + 3(1-w)) \right] + P_4(w)$$

$$= 12 p_3(7) = 12 * 10 \bmod 13 = 3.$$

$$\rightarrow 12 * [6 + P_4(w)] = 12 * [6 + (10 - 3w)]$$

$P_4(w) = 10w + 10.$

Prover sends $p_4(w)$.

$$\text{Verifier checks: } 12 * [6 + \overset{10}{p_4(0)} + \overset{20}{p_4(1)}] = 12 * 10 \bmod 13$$

$\downarrow$
 $P_3(7)$

Verifier selects: $w = 2.$

$$\text{Final check: } 12 [6 + P_4(2)] =$$

$$P_\phi[x \leftarrow 9, y \leftarrow 3, z \leftarrow 7, w \leftarrow 2]:$$

$$12 [(9 * 7) + 3(1-7) + (7 + 3(1-2))] = 12 [6 + p_3(9)]$$

Completeness: if $\phi \in \text{QSAT}$ then an honest prover (who also sends good q) will cause V to accept.

Soundness: Let $p_i(x)$ be correct polynomial
Let $p_i^*(x)$ be poly sent by prover.

if $\phi \notin \text{QSAT}$ then $p_i(0) +/\neq p_i(1) = 0 \neq k$.

If $p_i^*(0) +/\neq p_i^*(1) \neq k$ then V will reject.

If this doesn't happen then $p_i \neq p_i^*$.

$$\text{Prob}_{z_1} [p_i^*(z_1) = p_i(z_1)] \leq \frac{\text{poly}(|\phi|)}{2^n}$$

Because of degree bound on any single variable.

By induction assume: $p_i^*(z_i) \neq p_i(z_i) = p_{i-1}(0) +/\neq p_{i-1}(1)$

If $p_{i-1}^*(0) +/\neq p_{i-1}^*(1) \neq p_i^*(z_i)$ then V rejects.

Otherwise $p_{i-1}^* \neq p_{i-1}$

$$\Rightarrow \text{Prob}_{z_{i-1}} [p_{i-1}(z_{i-1}) = p_{i-1}^*(z_{i-1})] \leq \frac{\text{poly}(|\phi|)}{2^n}$$

$$x_1 \leftarrow z_1, x_2 \leftarrow z_2, \dots, x_n \leftarrow z_n$$

At the end, we have $p_n(z_n) \neq p_n^*(z_n)$

$\phi[x_1 \leftarrow z_1, \dots, x_n \leftarrow z_n]$ can calculate this from the original ϕ and $z_1, \dots, z_n$.

V will detect that they are unequal.

V will reject as long as $p_i(z_i) \neq p_i^*(z_i) \forall i$

For each i , the probability $p_i(z_i) = p_i^*(z_i) \leq \text{poly}(|\phi|)$

Prob that for any i , $p_i(z_i) = p_i^*(z_i) \leq \frac{n \cdot \text{poly}(|\phi|)}{2^n} \ll \frac{1}{3}$