

Complexity of Counting.

So far we have focused on languages (subsets of $\{0,1\}^*$)
What about computing functions?

Important type of functions: counting problems.

Given 3-CNF ϕ , how many satisfying assignments does it have?

$\#P$ = class of functions describable as:

$$\text{input } x \quad f(x) = \left| \{y \mid (x,y) \in R\} \right| \quad R \in P.$$

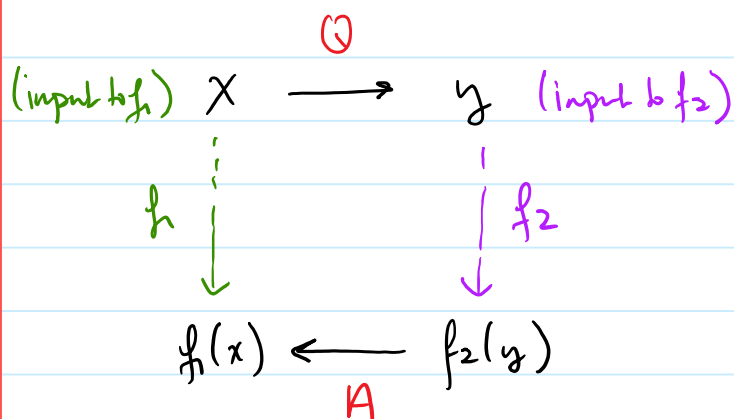
ϕ $\in$ $\{0,1\}^*$

By contrast, NP just asks $f(x) > 0$?

Examples: $\#SAT$: given 3-cnf ϕ how many satisfying assignments?

$\#CLIQUE$: given (G,k) how many cliques of at least size k ?

Reduction $f_1 \leq f_2$ if $\exists Q, A$



A function f is $\#P$ -complete if $f \in \#P$ and every $f' \in \#P$, $f' \leq f$

$$R: \phi \rightarrow (G, k)$$

A parsimonious reduction is one in which the number of witnesses is preserved, so A is the identity.

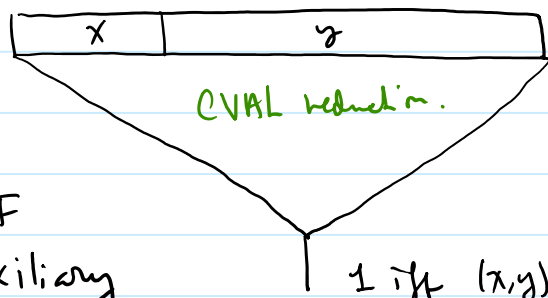
- Many standard NP-completeness reductions are parsimonious.
- If #SAT is #P-complete we get lots of #P-complete problems.

Theorem: #SAT is #P-complete.

$$\#SAT \in \#P \quad (\phi, \vec{z}) \in R \iff \vec{z} \text{ satisfies } \phi.$$

Take $f \in \#P$ defined by $R \in P$

$$f(x) = |\{y \mid (x, y) \in R\}|$$



In converting circuit to 3-CNF formula ϕ we introduce auxiliary variables $\vec{z}$

$$\exists \vec{z} \quad \phi(x, y, \vec{z}) \iff C(x, y) = 1$$

Important Observation:

For fixed y , $\vec{z}_y$ is unique

$$\phi(x, y, \vec{z}) \text{ satisfied} \iff \vec{z}_y = \vec{z} \text{ and } (x, y) \in R.$$

$$\begin{aligned} & \begin{array}{c} z \\ | \\ (x_1 \wedge x_2) \\ / \quad \backslash \\ x_1 \quad x_2 \end{array} & (z \iff x_1 \wedge x_2) \\ & \neg z \vee (x_1 \wedge x_2) \\ & = (\neg z \vee x_1) \wedge (\neg z \vee x_2) \\ & z \vee \neg(x_1 \wedge x_2) = z \vee \neg x_1 \vee \neg x_2 \\ & (\vec{z}_n) \text{ New clauses} \end{aligned}$$

So if we hardwire x :

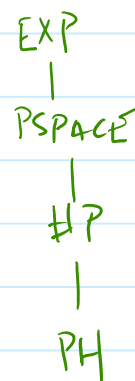
$$\#y \text{ s.t. } C(x,y)=1 = \#(y,z) \text{ s.t. } \phi(x,y,z)=1. //$$

$$//$$

$$|\{y \mid (x,y) \in R\}|$$

How does $\#P$ compare to other classes?

Use $P^{\#P}$ in order to make a class of decision problems.



$NP, \text{co-}NP \subseteq P^{\#P} \rightarrow$ Can use $\#P$ oracle to tell if $|\{y \mid (x,y) \in R\}| = 0$ or ≥ 1

$\#SAT \in \text{PSPACE} \text{ \& } P^{\#P} \subseteq \text{PSPACE}.$

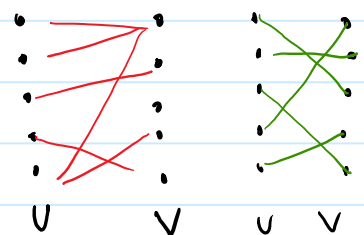
Today's Theorem: $\text{PH} \subseteq P^{\#P}$ (won't prove here).

He actually proved: $\text{PH} \subseteq P^{\#P} \rightarrow$ accept if $\geq 1/2$ of the paths are accepting.
(i.e. if first bit of count = 1).

Is $\#P$ hard because it entails finding NP witnesses?
or is counting hard by itself?

Definition: $G = (V, U, E)$ $|U| = |V|$ $E \subseteq U \times V$
bipartite graph.

A perfect matching in G is a subset $M \subseteq E$ that touch every node & no two edges share an endpoint.



MATCH ϵP (Given bipartite G , does G contain a perfect matching?)

#MATCH: Given bipartite G how many distinct perfect matchings does G have?

Theorem (Valiant) #MATCH is #P-complete.

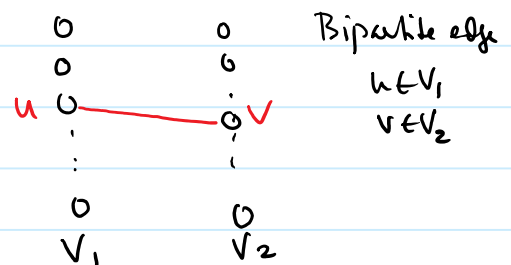
First #Cycle Cover & #MATCH parsimonious reductions

Cycle Cover: Input directed (non-bipartite) graph G ,
Is there a set of cycles that visits each vertex exactly once?

Directed graph G
directed edge (u, v)

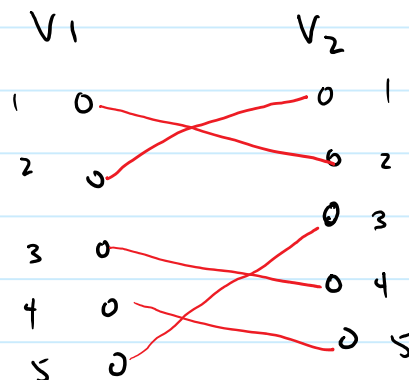
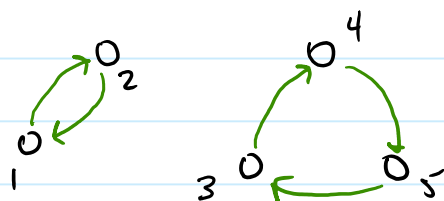


Bipartite G' two copies of G 's vertices



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1-1 correspondence between cycle covers in G and bipartite matchings in G' .



Given an $n \times n$ matrix:

$$\text{determinant}(M) = \sum_{\pi} \text{sgn}(\pi) \prod_{i=1}^n A_{i, \pi(i)}$$

Sign of permutation π
= # swaps from identity.

$$\text{permanent}(M) = \sum_{\pi} \prod_{i=1}^n A_{i, \pi(i)}$$

$$M = \begin{bmatrix} & v \\ u & 0/1 \end{bmatrix}$$

is there an edge (u, v) in directed graph G' ?

Adjacency matrix for directed graph G' (also bipartite G)

$$\text{permanent}(M) = \# \text{ matchings in bipartite } G = \# \text{ cycle covers in directed } G'$$

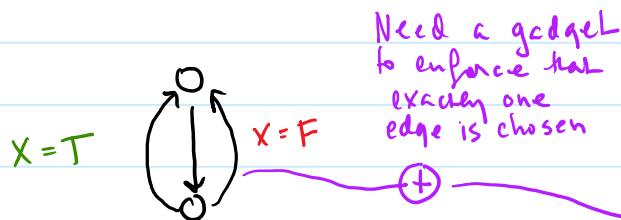
Cycle covers in directed graphs and perfect matchings in bipartite graphs define a permutation over the nodes.

The fact that $\#MATCH$ is $\#P$ -complete means that computing the permanent is also $\#P$ -complete (even on 0/1 graphs).

Next: $\#SAT \leq \#Cycle\ Cover$

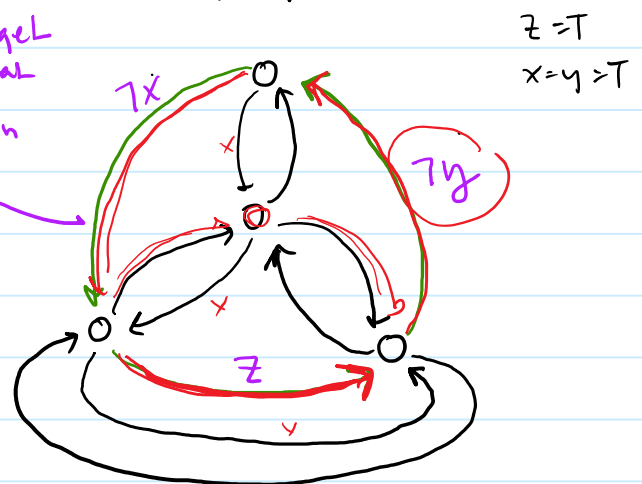
↳ This won't be parsimonious otherwise $P=NP$!

Gadget for Variables:



Cycle chosen determines truth value of x .

Gadget for Clauses:



Each green edge represents a literal in the clause
The green edge is in the cycle cover iff literal is false
(will enforce this)

- No cycle cover includes all green edges.
- For every proper subset of green edges $\rightarrow$ exactly one cycle cover.

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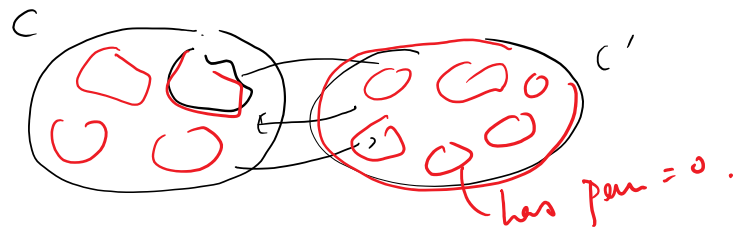
Exclusive OR gadget:

Consider a version of cycle cover with heights on the edges.

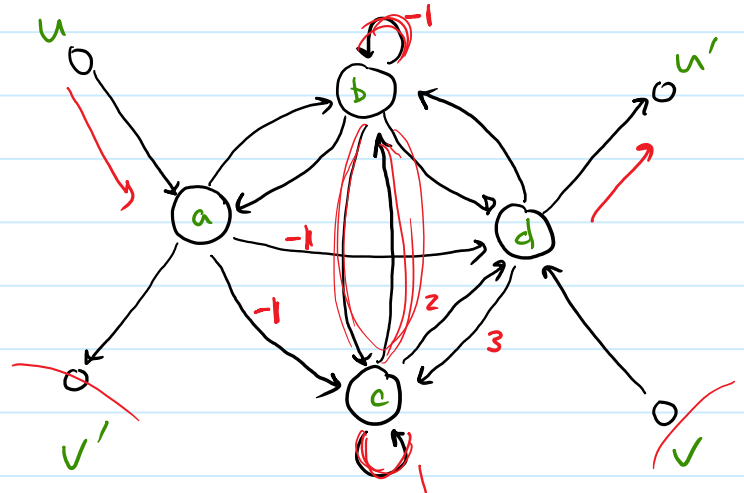
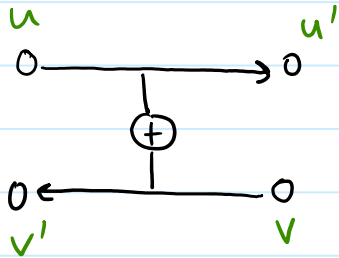
Want to compute: $\sum_{\text{cycle cover}} \text{wt}(\text{cycle cover})$
 $\rightarrow$ product of edge weights.
Non-edges have $\text{wt} = 0$.

If all edges have $\text{wt} = 1$ then this is the same as $\# \text{ cycle cover}$. We will show:

$$\# \text{SAT} \propto \# (\text{Weighted Cycle Cover}) \propto \# \text{ Cycle Cover mod } N \propto \# \text{ Cycle Cover} \quad \text{easy.}$$



First Show: X-or gadget with weights ($\# \text{SAT} \triangleq \# \text{WT-cycle-cover}$)



OR
(u, u') but not (v, v')
(v, v') but not (u, u').

	a	b	c	d
a	0	1	-1	-1
b	1	-1	1	1
c	0	1	1	2
d	0	1	3	0

u
w

(unlabeled edges have wt = 1)

a) permanent = 0 (can't omit both (u, u') and (v, v'))

b) if rows + columns for a + d are deleted, perm = 0
(can't go in by u + out by v' and in by v and out by u').

c) if first row + col are deleted perm = 0
(in by u, out by v)

d) if last row + col are deleted, perm = 0
(in by v, out by u')

$\Rightarrow$ The only cycle covers that contribute to the permanent go in by u + out by u' OR in by v and out by v'. (mult by 4)

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If we divide the graph into components C & C'
and only consider cycles within C or C'
then $\# \text{ cycle covers} = \text{Perm}(C) \cdot \text{Perm}(C')$

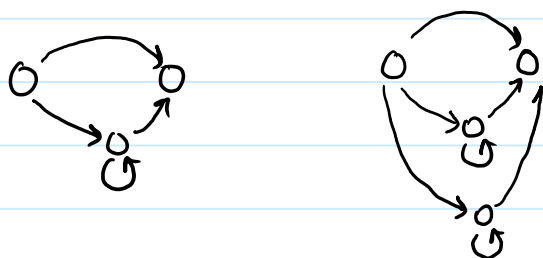
If either $\text{perm} = 0$, then these cycle covers don't
contribute to $\text{Perm}(G)$.

$$\#(\text{wt-cycle cover}) = (\# \text{ Self assignments}) 4^{3m}$$

of X-or gadgets.

How to simulate the non 0/1 entries:

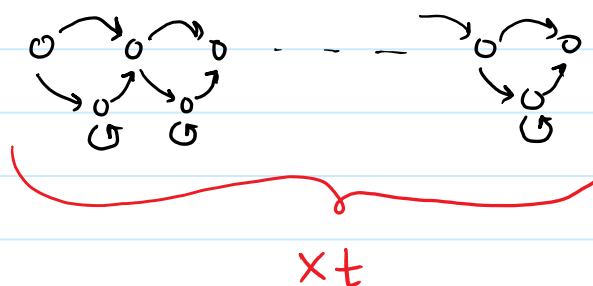
For $\text{wt} = 2$ or 3 :



What about -1 ? pick $N = 2^t + 1$

edges will have weight $2^t \equiv -1 \pmod N$.

Replace $\overset{-1}{\curvearrowright}$ with:



Pick $t = 8m$.

$$\sum_{\text{cycle covers } c} \prod_{\text{edges } e} \text{wt}(e) = \sum_c \underbrace{\text{wt}'(c)}_{\text{wt from non-1 edges}} (N-1)^{r_c} \quad \begin{array}{l} \text{\# -1 edges} \\ \text{in } c. \end{array}$$

$$\left[\sum_c \text{wt}'(c) \underbrace{(N-1)^{r_c}}_{2^t} \right] \bmod N = \sum_c \text{wt}'(c) (-1)^{r_c}$$

as long as:

$$0 \leq \underbrace{\sum_c \text{wt}'(c) (-1)^{r_c}}_{\leq 2^n \cdot 4^{3m}} < N = 2^{8m} \leq 2^m \cdot 2^{6m} = 2^{7m}$$

$\overline{\text{J}}$
sat assignments.