

Phase estimation is another algorithm that makes essential use of the QFT.

We will use phase estimation for approximate counting: given function  $f$ , determine the number of distinct inputs  $x$  such that  $f(x)=1$ .

Phase estimation is used in many other quantum algorithms: especially in chemistry and physics simulations.

Phase Estimation:

Suppose we have a unitary  $U$  with eigenvector  $|u\rangle$  and eigenvalue  $e^{2\pi i \varphi}$  where  $\varphi$  is unknown.

The goal is to estimate  $\varphi$ . (Actually, we will just estimate  $|\varphi|$ )

We are given  $|u\rangle$  and a set of black boxes that can compute controlled  $U^{2^j}$  for non-negative  $j$ .

(The actual existence of these black boxes depends on the application.)

$$\langle \phi | \phi' \rangle = \langle \phi | U^\dagger U | \phi' \rangle$$

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$$U^\dagger U = \mathbb{I}$$

$$U^\dagger = U^{-1}$$

Why are the eigenvalues of a unitary operator  $U$  always of the form  $e^{i\theta}$ ?

If  $U$  is unitary then  $U^\dagger = U^{-1}$

$$U = \sum_{i=1}^N \lambda_i |v_i\rangle \langle v_i|$$

$|v_i\rangle$  eigenvectors of  $U$   
 $\lambda_i$  eigenvalues of  $U$ .

$$U^{-1} = \sum_{i=1}^N (\lambda_i)^{-1} |v_i\rangle \langle v_i|$$

Check:  $\left( \sum_{i=1}^N \lambda_i |v_i\rangle \langle v_i| \right) \left( \sum_{i=1}^N (\lambda_i)^{-1} |v_i\rangle \langle v_i| \right)$

$$= \sum_{i=1}^N \lambda_i (\lambda_i)^{-1} |v_i\rangle \langle v_i| = \mathbb{I}$$

$$U^\dagger = \sum_{i=1}^N (\lambda_i)^* |v_i\rangle \langle v_i|$$

If  $U^\dagger = U^{-1}$  then  $(\lambda_i)^{-1} = \lambda_i^*$

Complex  $\lambda_i = m e^{i\theta}$   
 $m \geq 0$  real.

$$\lambda_i^* = m \cdot e^{-i\theta}$$

$$(\lambda_i)^{-1} = m^{-1} e^{-i\theta} \Rightarrow m = m^{-1} \text{ and } m = 1.$$

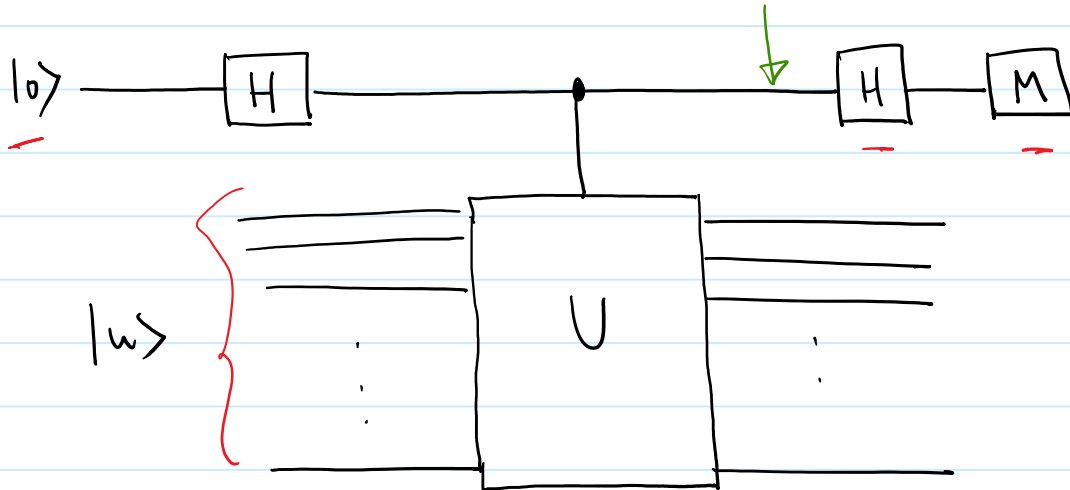
$$\Rightarrow \lambda_i = e^{i\theta}$$

# Phase Estimation - page 2

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Let's just start with C-U a controlled U operation.

Consider the following circuit on input  $|0\rangle|u\rangle$ .



$$|0\rangle|u\rangle \xrightarrow{H} |+\rangle|u\rangle \xrightarrow{C-U} \frac{1}{\sqrt{2}} (|0\rangle|u\rangle + |1\rangle\lambda|u\rangle)$$

$$\frac{1}{\sqrt{2}} (|0\rangle|u\rangle + |1\rangle|u\rangle)$$

$$U|u\rangle = \lambda|u\rangle$$

$$\lambda = e^{2\pi i \phi}$$

$$= \frac{1}{\sqrt{2}} (|0\rangle + \lambda|1\rangle) |u\rangle$$

$$\xrightarrow{H} \left[ \frac{1+\lambda}{2} |0\rangle + \frac{1-\lambda}{2} |1\rangle \right] |u\rangle$$

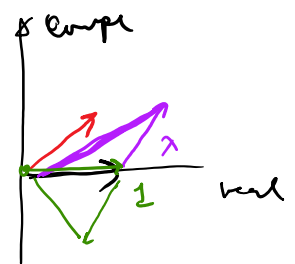
After measurement:

|         |  |                             |
|---------|--|-----------------------------|
| prob 0: | $\left  \frac{1+\lambda}{2} \right ^2$ | $\lambda = e^{2\pi i \phi}$ |
|---------|--|-----------------------------|

|         |  |
|---------|--|
| prob 1: | $\left  \frac{1-\lambda}{2} \right ^2$ |
|---------|--|

# Phase Estimation - page 3

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After measurement: Prob 0:  $\left| \frac{1+\lambda}{2} \right|^2$   $\lambda = e^{2\pi i \phi}$

Prob 1:  $\left| \frac{1-\lambda}{2} \right|^2$

$$\frac{(1 + e^{2\pi i \phi})(1 + e^{-2\pi i \phi})}{4} = \frac{2 + e^{2\pi i \phi} + e^{-2\pi i \phi}}{4}$$

$$= \frac{2 + \cos(2\pi\phi) + i\sin(2\pi\phi) + \cos(-2\pi\phi) + i\sin(-2\pi\phi)}{4}$$

$$= \frac{1 + \cos 2\pi\phi}{2}$$

probability of 0

$$\frac{m_0}{M}$$

and

$$\frac{1 - \cos 2\pi\phi}{2}$$

probability of 1.

$$\frac{M - m_0}{M}$$

Bias of the coin is  $\cos(2\pi\phi)/2$

Estimating the bias of a coin to within  $\epsilon$  with probability  $\delta$  requires  $O(\log(1/\delta)/\epsilon)$

Prob Head =  $1/2 + b$ .

Prob Tail =  $1/2 - b$ .

Samples.

Estimating  $\cos(2\pi\phi)/2$  to within  $m$  bits of precision requires  $2^{2m}$  trials.

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The exact statement for the error (which we give without proof) is:

In order to successfully obtain  $\phi$  accurate to within  $t$  bits with probability  $1 - \epsilon$  requires:

$$\exp\left(t + \left\lceil \log\left(2 + \frac{1}{2\epsilon}\right) \right\rceil\right) \text{ trials.}$$

# Phase Estimation - page 5

$b_0, b_1, \dots, b_m = \frac{j}{2^m}$  phase  $\lambda = e^{2\pi i \varphi}$

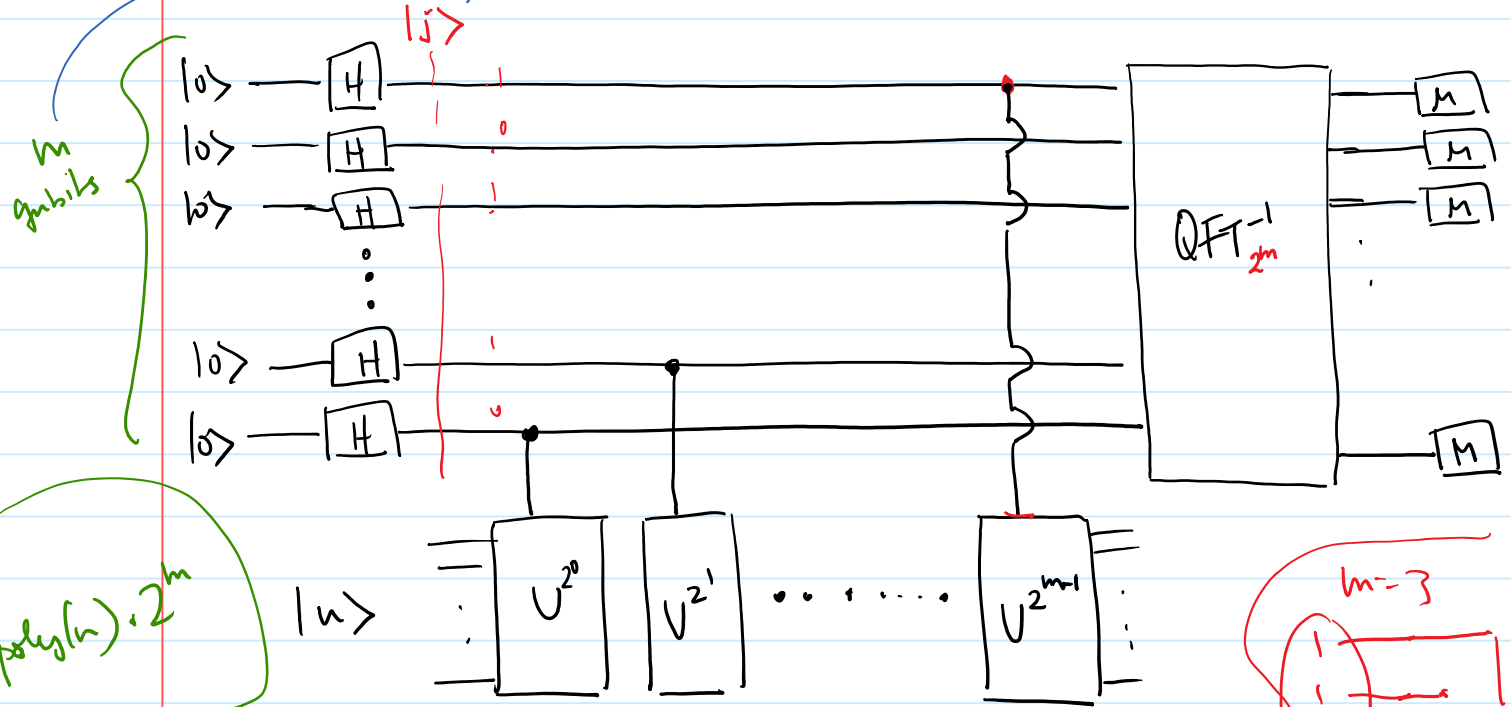
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Here is a version that still has complexity  $2^m$  but doesn't require all the repeated measurements.

Estimating  $\varphi$  to within  $m$  bits of accuracy is the same as finding the integer  $j$  such that  $j/2^m$

is the best approximation of  $\varphi$ .  $\omega = e^{2\pi i / 2^m}$

$m$  is a parameter we control to determine accuracy.



$m$  qubits  
 $\text{poly}(m) \cdot 2^m$

$$|0^m\rangle |u\rangle \xrightarrow{H^{\otimes m}} \left( \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} |k\rangle \right) \otimes |u\rangle$$

$m=3$

$$\sqrt{2^0} \cdot U^{2^1} \cdot U^{2^2} = U^2 \cdot U^4 = U^6$$

$$\xrightarrow{C-U} \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} |k\rangle \otimes U^k |u\rangle \xrightarrow{C-U} \left( \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} \lambda^k |k\rangle \right) \otimes |u\rangle$$

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$$\left( \frac{1}{\sqrt{M}} \sum_{k=0}^{2^m-1} \lambda^k |k\rangle \right) \otimes |u\rangle$$

Now suppose  $\lambda = e^{2\pi i(j/2^m)}$

Actually  $\lambda = e^{2\pi i\phi}$   
and  $j/2^m$  is the best approximation to  $\phi$  to within  $\pm \frac{1}{2^{m+1}}$

$$\left( \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} \left( e^{2\pi i(j/2^m)k} \right) |k\rangle \right) \otimes |u\rangle = \left[ \frac{1}{\sqrt{2^m}} \sum_{k=0}^{2^m-1} \omega^{jk} |k\rangle \right] \otimes |u\rangle$$

$\omega = e^{2\pi i/2^m}$

Note that this is  $FT_m |j\rangle$

So if we apply  $FT_m^{-1}$  we get:

$$(FT_m)^{-1} \rightarrow |j\rangle |u\rangle$$

If we have  $\phi \approx j/2^m$ , the output is  $j$  with high prob.

$$|\phi - j/2^m| \leq \frac{1}{2^{m+1}}$$

at least constant