

CompSci 162  
Spring 2023 Lecture 24:  
Subset Sum is  $\mathcal{NP}$ -complete

# Definition and Start

**Problem Statement:** given a set  $S$  of numbers and a target  $T$ , does a subset of  $S$  add up to  $T$ ?

**Example:**  $\langle \{4, 11, 16, 21, 27\}, 25 \rangle \in \text{SUBSET-SUM}$

**Prove** that Subset Sum is  $\mathcal{NP}$ -complete.

**Start:** it is in  $\mathcal{NP}$

do not forget!

# Plan for the Proof

- ▶ We know 3-SAT is  $\mathcal{NP}$ -complete.
- ▶ We want to show SUBSET SUM is also.

Make solver for 3-SAT that uses SUBSET SUM

- ▶ Must be polynomial time  
(not including time for SUBSET SUM)
- ▶ Create set  $S$  and target  $T$
- ▶ Step 1: any TVA possible by interpretation
- ▶ Step 2: Make a TVA that satisfies each clause
  - ▶ First we did if  $\oplus$
  - ▶ Then we will fix for  $\vee$

# Start of Reduction

Use SUBSET SUM to get a truth value assignment on  $n$  variables

	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$C_i$
$v_1$	1	0	0	0	0	0
$v'_1$	1	0	0	0	0	0
$v_2$	0	1	0	0	0	1
$v'_2$	0	1	0	0	0	0
$v_3$	0	0	1	0	0	1
$v'_3$	0	0	1	0	0	0
$v_4$	0	0	0	1	0	1
$v'_4$	0	0	0	1	0	0
$v_5$	0	0	0	0	1	0
$v'_5$	0	0	0	0	1	0
$T$	1	1	1	1	1	1

- Keep  $v_i$  means  $X_i = T$
- Keep  $v'_i$  means  $X_i = F$
- Cannot keep both

$$\phi = (x_2 \vee x_3 \vee x_4)(\overline{x_2} \vee x_3 \vee \overline{x_4})(\overline{x_1} \vee x_3 \vee x_5) \dots$$

Suppose instead of  $\vee$  we wanted exactly one

[illegible]

# How to convert to $\vee$ ?


- ▶ Previous setup makes each clause 1T and 2F
- ▶ We want any non-zero T
- ▶ Idea 1: each column target in  $C_i$  is 1, 2, or 3
  - ▶ If any of these are satisfied, done
  - ▶ Problem: that's  $3^k$  calls to SUBSET SUM
- ▶ Idea 2: Introduce some “slack variables”
  - ▶ Effect: variable target

7

But it is  $\forall$ 

Added #s

$$\phi = (x_2 \vee x_3 \vee x_4)(\overline{x_2} \vee x_3 \vee \overline{x_4})(\overline{x_1} \vee x_3 \vee x_5) \dots$$



	$x_1$	...	$x_5$	$C_1$	$C_2$	$C_3$	$C_4$	$C_5$	$C_6$	$C_7$	$C_8$
$s_1$	0	0	0	1	0	0	0	0	0	0	0
$s'_1$	0	0	0	2	0	0	0	0	0	0	0
$s_2$	0	0	0	0							
$s'_2$	0	0	0	0							
$s_3$	0	0	0	0							
$s'_3$	0	0	0	0							
$s_4$	0	0	0	0							
$s'_4$	0	0	0	0							
T	1	1	1	4							

T is  $n+k$  digits long

# Putting it together...

Remember, our algorithm is:

- ▶ We are given an instance of 3-SAT
- ▶ Create the  $2n$  “boolean variables”  $v_i, v_i'$
- ▶ Create  $2k$  “clause variables”  $s_i, s_i'$
- ▶ Create a value  $T$
- ▶ Call any correct SUBSET SUM algorithm

Could this produce *false negatives* or *false positives*?



# Running time

- ▶ You maybe saw  $\mathcal{O}(nT)$  for Subset Sum
  - ▶  $n$  : size of vector of numbers  $S$
  - ▶  $T$  : **value** of target number
- ▶ We started with 3-SAT,  $n$  variables,  $k$  clauses
  - ▶ What is  $|S|$ ?  $2n + 2k$
  - ▶ What is  $\approx$  value of  $T$ ?  $\approx 10^{n+k}$
- ▶ What is the running time of our 3-SAT solver?

$$\mathcal{O}\left((n+k) \cdot 10^{n+k}\right)$$

# Problems with Subset Sum

You might have seen  $\mathcal{O}(nT)$  time algorithm.

- ▶ Suppose  $T$  is `std::uint8_t`, 1 second timing.
- ▶ How long with `std::uint16_t`? *4.2 minutes*
- ▶ How long with `std::uint32_t`?  *$\approx 192$  days*
- ▶ How long with `std::uint64_t`?  *$\approx 4B \times 192$  days*  
 *$\approx 2B$  years*