

CompSci 161  
Winter 2023 Lecture 18:  
Greedy Algorithms:  
Huffman Compression

## Candidate Encodings

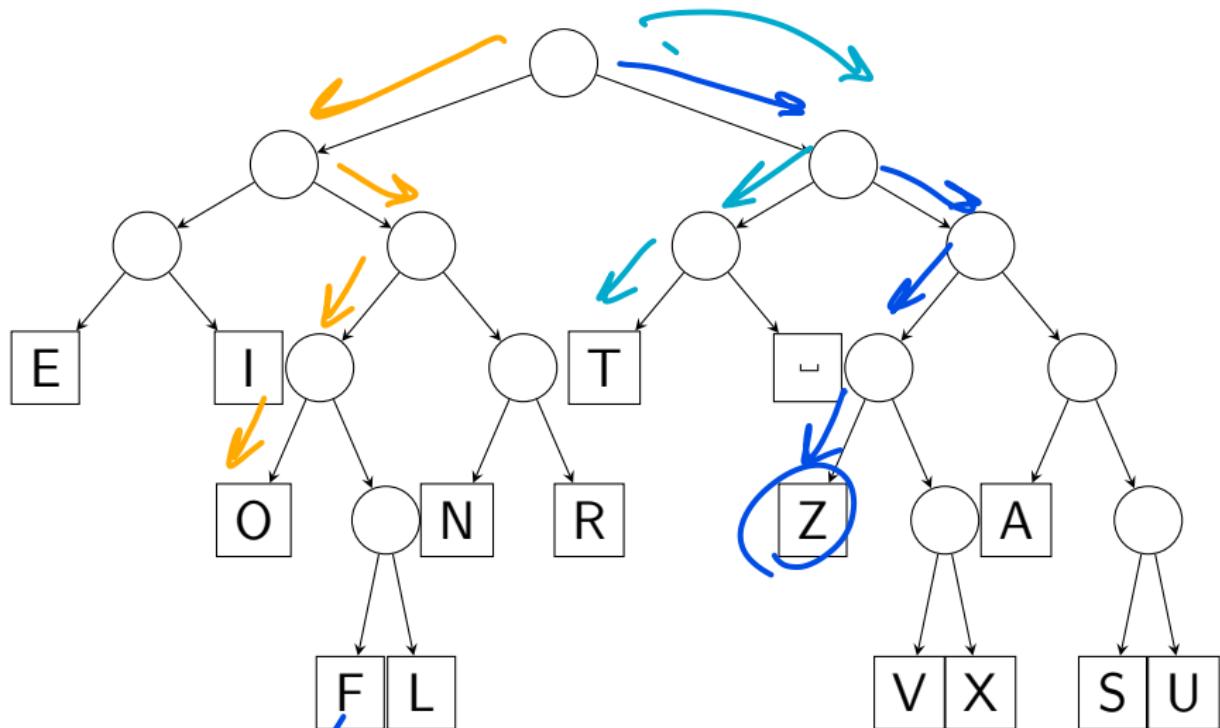
Suppose we want to encode only letters a . . . z.

Identify problems and inefficiencies with the following encodings.

$$'a' = 96+1 \quad 'b' = 96+2 \quad 'z' = 96 + 26$$

- ▶ a = 00000, b = 00001, c = 00010, ..., z = 11001
- ▶ a = 0, b = 1, c = 00, d = 01, e = 10, etc
- ▶ a = 00000, b = 00001, ..., v = 10101, w = 1100, x = 1101, y = 1110, z = 1111

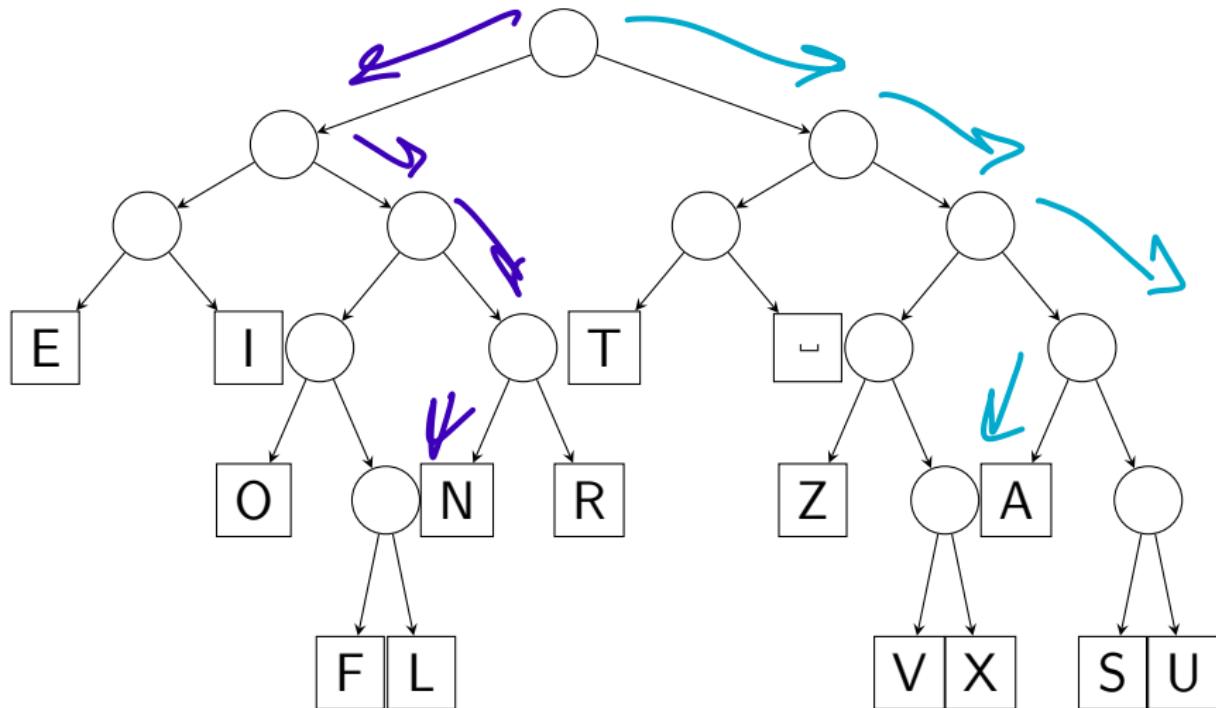
# One binary tree example



Message: 1100010010010111000100100

Z O T

# One binary tree example



Message: A N T E A T E R S

1110 0110

Why a binary tree? minimize  $\sum f_i d_i$

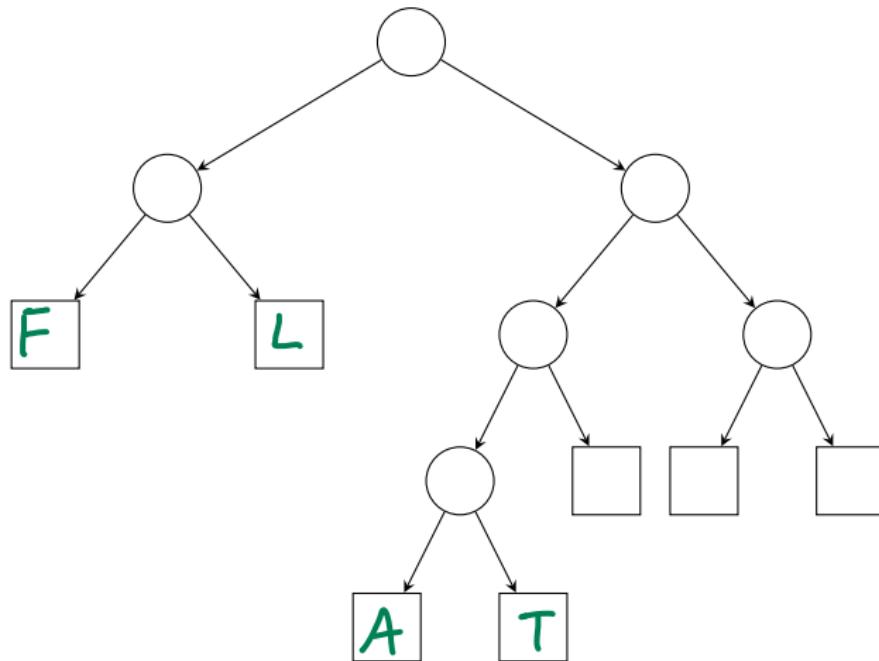
non-leaf

**Lemma 1:** All ~~internal~~ nodes in the optimal tree have two children.

FSOC suppose I have an optimal tree  $T$  with internal node  $w$  with only one child

Create  $T'$ :  $T$  without  $w$ . If  $w$  was root,  $T'$  root is  $w$ 's child. Else connect  $w$ 's parent to child...  $T'$  has nodes w/ shorter depth (w subtree) and none longer; smaller sum. So  $T$  not optimal  $\Rightarrow$

# Where should the letters go?



letter	F	I	A	T	L	U	X
frequency	21	18	6	5	23	12	15

6 Why least frequent at max depth?  
i.e. : diff depths, lower frequency  $\leftrightarrow$  deeper

**Lemma 2:** The two characters with minimum frequency should be at maximum depth

FSOC suppose  $c$  and  $e$   $d_c < d_e$   
but  $f_c < f_e$ . Swap  $c$  and  $e$ .

Change in  $\sum f_i d_i$ ?

$$+ f_c (d_e - d_c) * - f_e (-d_c + d_e)$$

$$(f_c - f_e)(d_e - d_c) < 0 \quad > 0 \quad \text{So post-swap has better cost.}$$

Let's build a tree for "engineering useless things"

Step one: count the characters.

char	count
e	
n	
g	
i	
r	
u	
s	
l	

Let's build a tree for "engineering useless rings"

Step two : Create leaf nodes and then build the tree.

char	count
e	5
n	4
s	4
g	3
i	3
r	2
l	2
u	1
l	1
$\alpha$	2
$\alpha$	5

