

# CompSci 275, CONSTRAINT Networks

Rina Dechter, Winter 2026

General Search: Look-back schemes  
Chapter 6

# Outline

- Look-back strategies
- Backjumping: Gaschnig, Graph-based, Conflict-directed
- Learning no-goods, constraint recording.
- Look-back for Satisfiability, integration and Empirical evaluation
- Counting, good caching

# Look-back: Backjumping and Learning

- Backjumping:
  - In deadends, go back to the most recent culprit.
- Learning:
  - constraint-recording:
    - no-good recording.
    - good-recording

# Backjumping

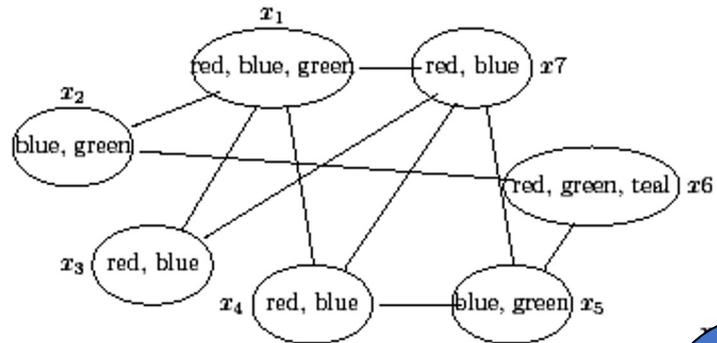
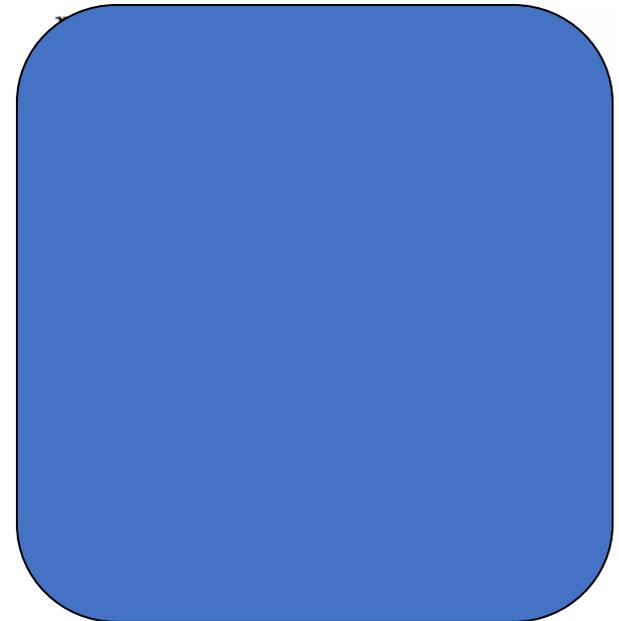


Figure 6.1: A modified coloring problem.

- $(X_1=r, x_2=b, x_3=b, x_4=b, x_5=g, x_6=r, x_7=\{r, b\})$
- $(r, b, b, b, g, r)$  **conflict set** of  $x_7$
- $(r, -, b, b, g, -)$  conflict-set of  $x_7$
- $(r, -, b, -, -, -, -)$  **minimal conflict-set of  $x_7$**
- **Leaf deadend**:  $(r, b, b, b, g, r)$
- Every conflict-set is a **no-good**



# Backjumping

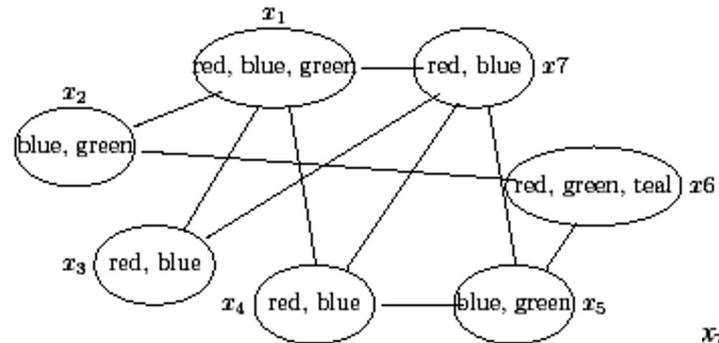
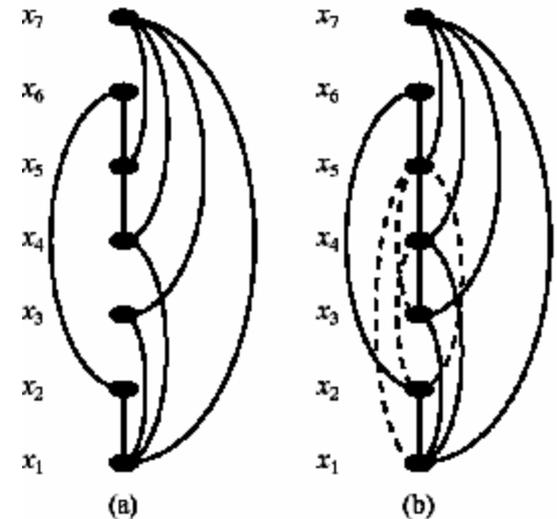


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- **Leaf deadend**:  $(r, b, b, b, g, r)$
- Every conflict-set is a **no-good**



# Flavor of Gaschnig's jumps only at leaf-dead-ends

Internal dead-ends: dead-ends that are non-leaf

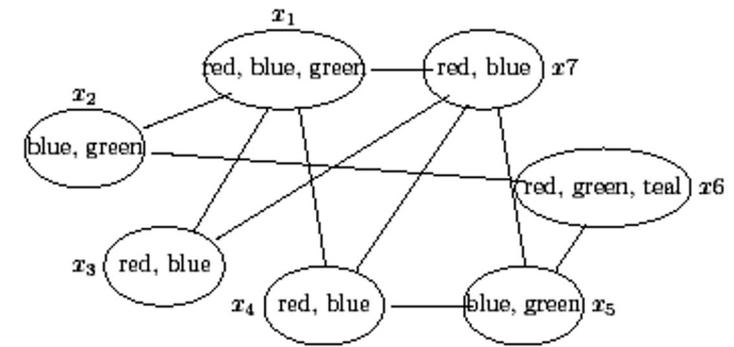
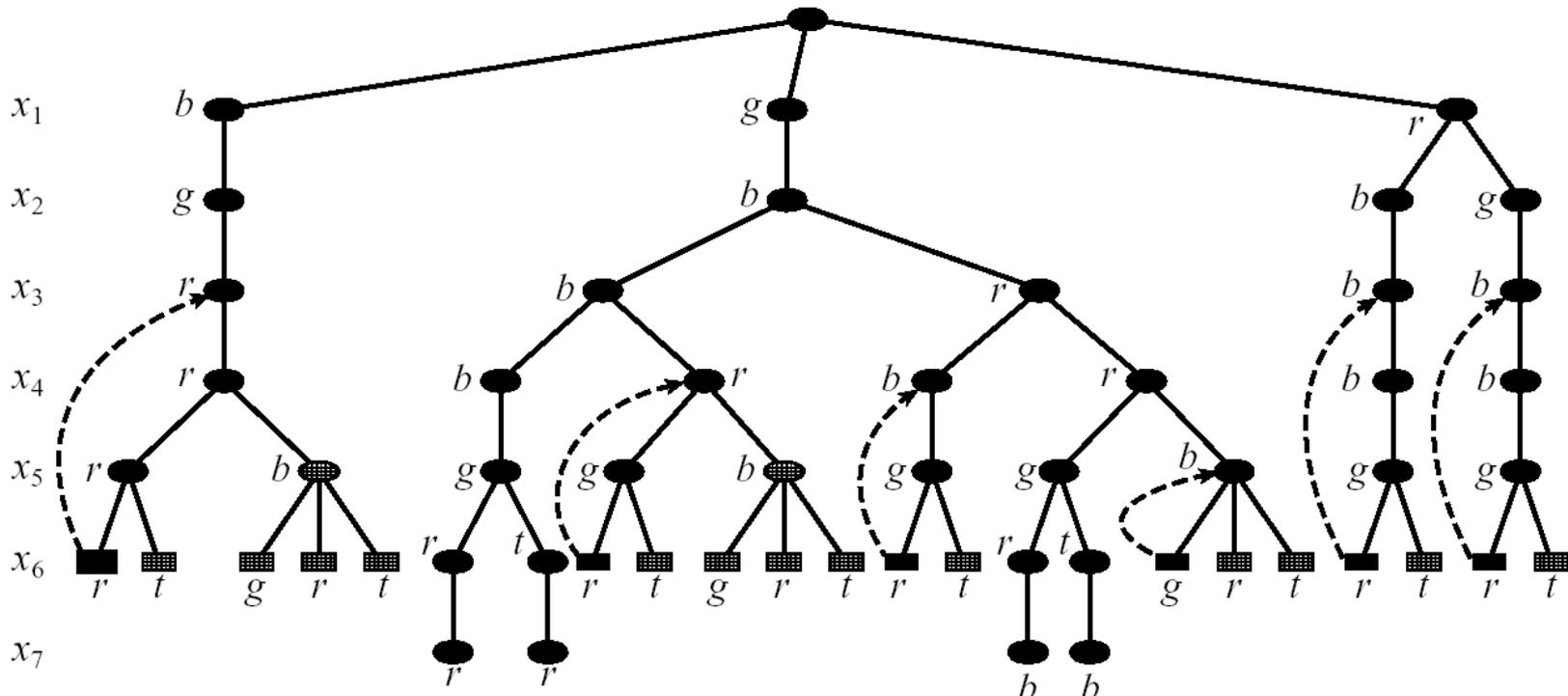


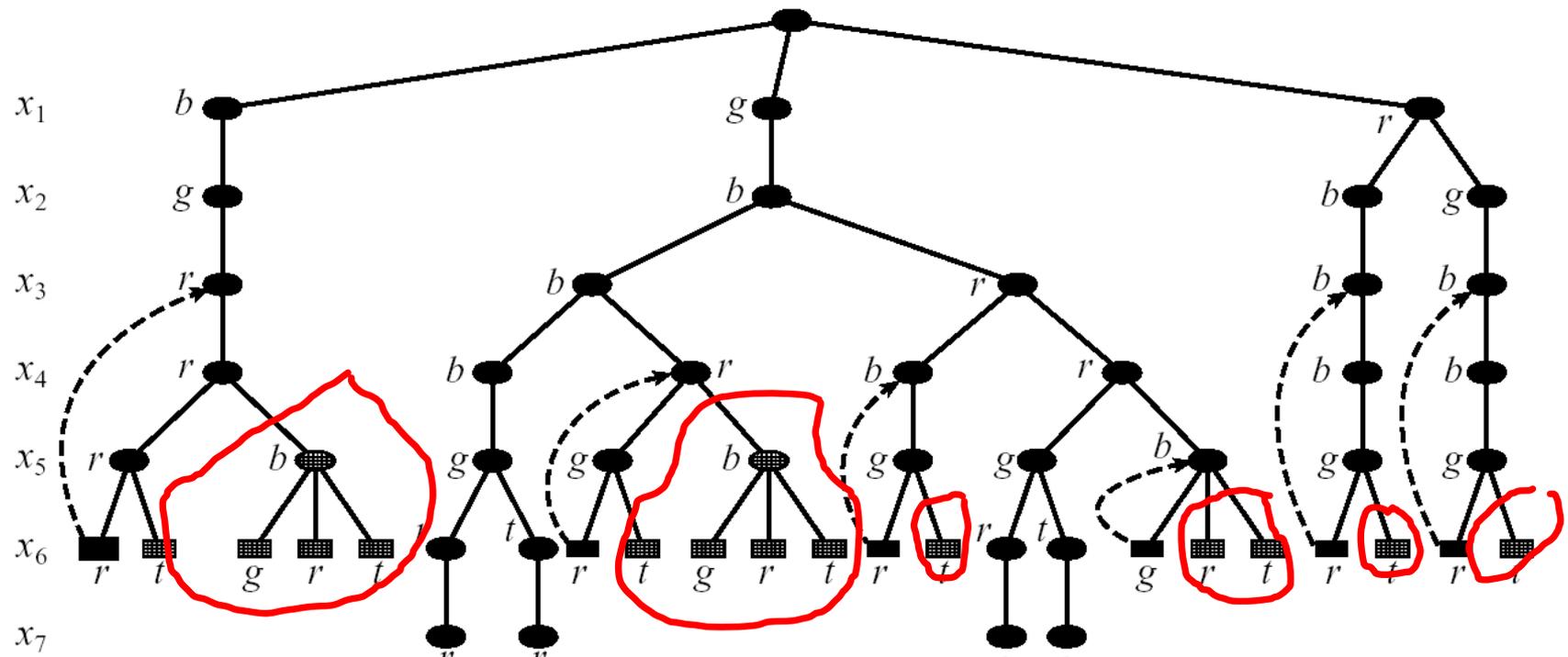
Figure 6.1: A modified coloring problem.



**Example 6.3.1** In Figure 6.4, all of the backjumps illustrated lead to internal dead-ends, except for the jump back to  $(\langle x_1, \text{green} \rangle, \langle x_2, \text{blue} \rangle, \langle x_3, \text{red} \rangle, \langle x_4, \text{blue} \rangle)$ , because this is the only case where another value exists in the domain of the culprit variable.  $\square$

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# Backjumping styles

- Jump at leaf only (Gaschnig 1977)
  - Context-based
- Graph-based (Dechter, 1990)
  - Jumps at leaf and internal dead-ends, graph information
- Conflict-directed (Prosser 1993)
  - Context-based, jumps at leaf and internal dead-ends

# Conflict Analysis

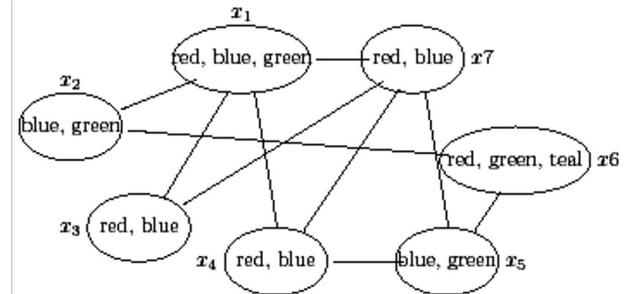
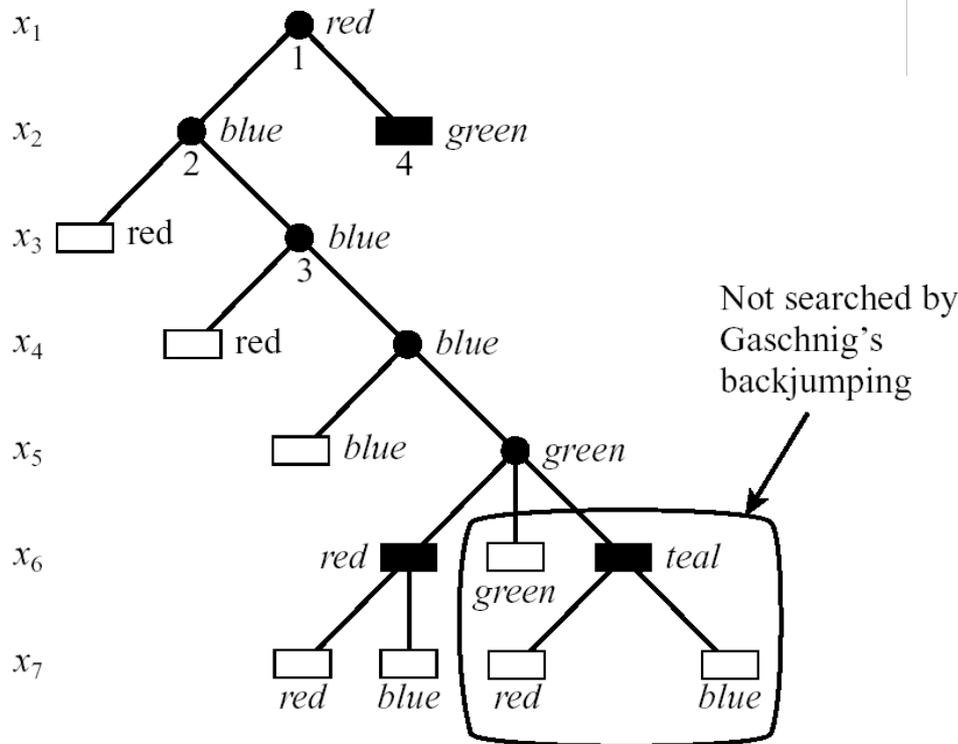


Figure 6.1: A modified coloring problem.

- Conflict set
- Leaf deadend
- Nogood
- Safe jump

# Conflict-set analysis

**Definition 6.1.1 (conflict set)** Let  $\bar{a} = (a_{i_1}, \dots, a_{i_k})$  be a consistent instantiation of an arbitrary subset of variables, and let  $x$  be a variable not yet instantiated. If there is no value  $b$  in the domain of  $x$  such that  $(\bar{a}, x = b)$  is consistent, we say that  $\bar{a}$  is a conflict set of  $x$ , or that  $\bar{a}$  conflicts with variable  $x$ . If, in addition,  $\bar{a}$  does not contain a subtuple that is in conflict with  $x$ ,  $\bar{a}$  is called a minimal conflict set of  $x$ .

**Definition 6.1.2 (leaf dead-end)** Let  $\vec{a}_i = (a_1, \dots, a_i)$  be a consistent tuple. If  $\vec{a}_i$  is in conflict with  $x_{i+1}$ , it is called a leaf dead-end.

**Definition 6.1.3 (no-good)** Given a network  $\mathcal{R} = (X, D, C)$ , any partial instantiation  $\bar{a}$  that does not appear in any solution of  $\mathcal{R}$  is called a no-good. Minimal no-goods have no no-good subtuples.

**Definition 6.1.5 (safe jump)** Let  $\vec{a}_i = (a_1, \dots, a_i)$  be a leaf dead-end state. We say that  $x_j$ , where  $j \leq i$ , is safe if the partial instantiation  $\vec{a}_j = (a_1, \dots, a_j)$  is a no-good, namely, it cannot be extended to a solution.

# Gaschnig's backjumping: Culprit variable

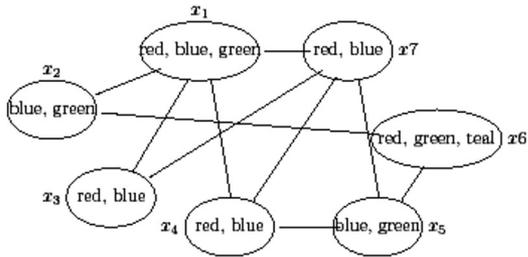
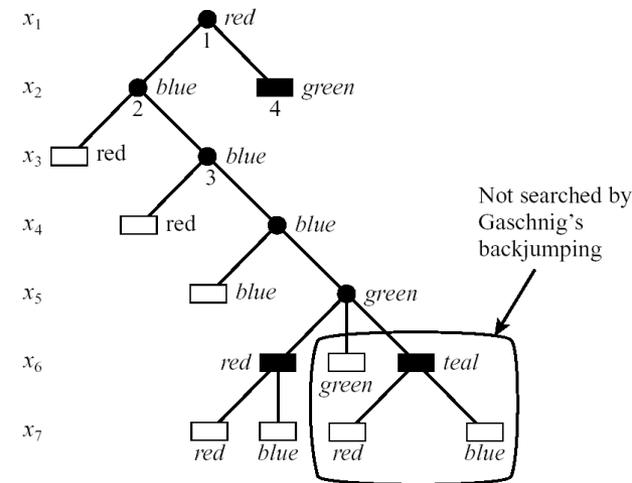


Figure 6.1: A modified coloring problem.



**Definition 6.2.1 (culprit variable)** Let  $\vec{a}_i = (a_1, \dots, a_i)$  be a leaf dead-end. The culprit index relative to  $\vec{a}_i$  is defined by  $b = \min\{j \leq i \mid \vec{a}_j \text{ conflicts with } x_{i+1}\}$ . We define the culprit variable of  $\vec{a}_i$  to be  $x_b$ .

- If  $a_i$  is a leaf deadend and  $x_b$  its culprit variable, then  $\vec{a}_b$  is a safe backjump destination and  $\vec{a}_j$ ,  $j < b$  is not.
- The culprit of  $x_7$  (r,b,b,b,g,r) is (r,b,b)  $\rightarrow x_3$

# Gaschnig's backjumping implementation [1979]

- Gaschnig uses a marking technique to compute culprit.
- Each variable  $x_j$  maintains a pointer ( $latest_j$ ) to the latest ancestor incompatible with any of its values.
- While forward generating  $a_i$ , keep array  $latest_i$ ,  $1 \leq j \leq n$ , of pointers to the last value conflicted with some value of  $x_j$ . The algorithm jumps from a leaf-dead-end  $x_{i+1}$  back to  $latest_{i+1}$  which is its culprit.

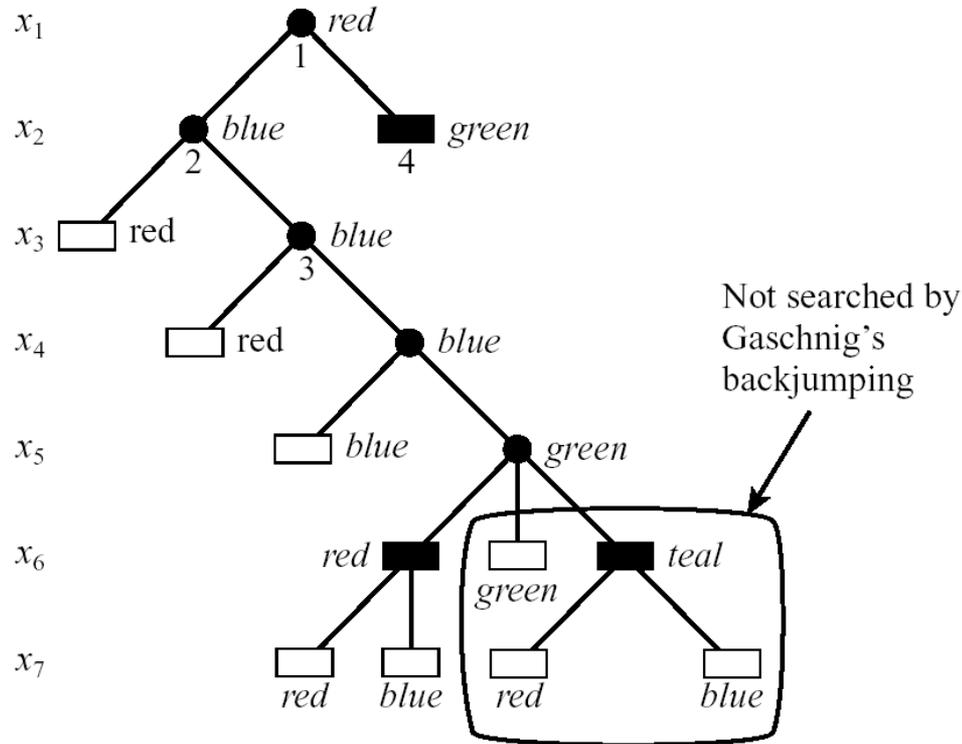
# Gaschnig's backjumping

```
procedure GASCHNIG'S-BACKJUMPING
Input: A constraint network  $\mathcal{R} = (X, D, C)$ 
Output: Either a solution, or a decision that the network is inconsistent.

   $i \leftarrow 1$  (initialize variable counter)
   $D'_i \leftarrow D_i$  (copy domain)
   $latest_i \leftarrow 0$  (initialize pointer to culprit)
  while  $1 \leq i \leq n$ 
    instantiate  $x_i \leftarrow \text{SELECTVALUE-GBJ}$ 
    if  $x_i$  is null (no value was returned)
       $i \leftarrow latest_i$  (backjump)
    else
       $i \leftarrow i + 1$ 
       $D'_i \leftarrow D_i$ 
       $latest_i \leftarrow 0$ 
  end while
  if  $i = 0$ 
    return "inconsistent"
  else
    return instantiated values of  $\{x_1, \dots, x_n\}$ 
end procedure

procedure SELECTVALUE-GBJ
  while  $D'_i$  is not empty
    select an arbitrary element  $a \in D'_i$ , and remove  $a$  from  $D'_i$ 
     $consistent \leftarrow true$ 
     $k \leftarrow 1$ 
    while  $k < i$  and  $consistent$ 
      if  $k > latest_i$ 
         $latest_i \leftarrow k$ 
      if not CONSISTENT( $\vec{a}_k, x_i = a$ )
         $consistent \leftarrow false$ 
      else
         $k \leftarrow k + 1$ 
    end while
    if  $consistent$ 
      return  $a$ 
  end while
  return null (no consistent value)
end procedure
```

# Example of Gaschnig's backjump



**Example 6.2.3** Consider the problem in Figure 6.1 and the order  $d_1$ . At the dead-end for  $x_7$  that results from the partial instantiation  $\langle x_1, red \rangle, \langle x_2, blue \rangle, \langle x_3, blue \rangle, \langle x_4, blue \rangle, \langle x_5, green \rangle, \langle x_6, red \rangle$ ,  $latest_7 = 3$ , because  $x_7 = red$  was ruled out by  $\langle x_1, red \rangle$ ,  $x_7 = blue$  was ruled out by  $\langle x_3, blue \rangle$ , and no later variable had to be examined. On returning to  $x_3$ , the algorithm finds no further values to try ( $D'_3 = \emptyset$ ). Since  $latest_3 = 2$ , the next variable examined will be  $x_2$ . Thus we see the algorithm's ability to backjump at leaf dead-ends. On subsequent dead-ends, as in  $x_3$ , it goes back to its preceding variable only. An example of the algorithm's practice of pruning the search space is given in Figure 6.2.  $\square$

# Properties

- Gaschnig's backjumping implements only safe and maximal backjumps in leaf-deadends.



# Backjumping styles

- Jump at leaf only (Gaschnig 1977)
  - Context-based
- Graph-based (Dechter, 1990)
  - Jumps at leaf and internal dead-ends, graph information
- Conflict-directed (Prosser 1993)
  - Context-based, jumps at leaf and internal dead-ends

# Graph-based backjumping scenarios

## Internal deadend at X4

- Scenario 1, deadend at x4:
- Scenario 2: deadend at x5:
- Scenario 3: deadend at x7:
- Scenario 4: deadend at x6:

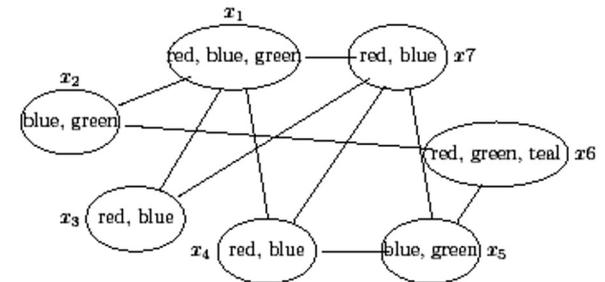
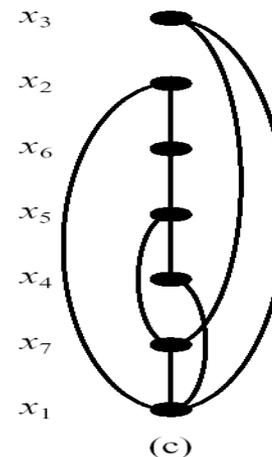
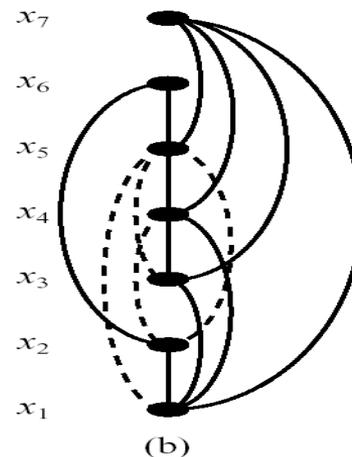
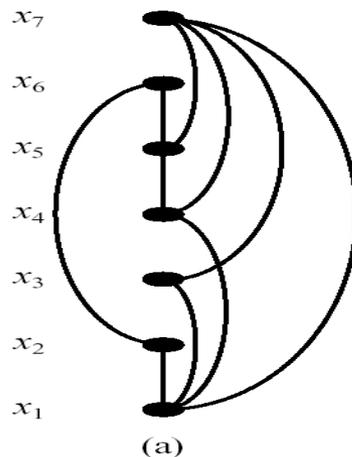


Figure 6.1: A modified coloring problem.

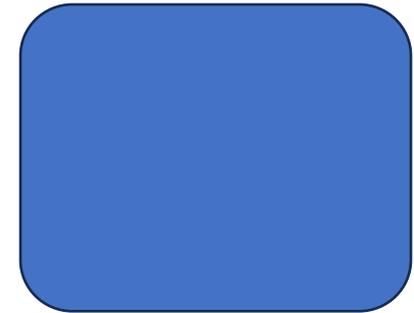
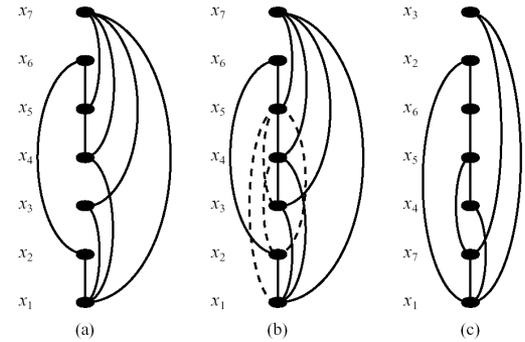


# Graph-based backjumping

- Uses only graph information to find culprit
- Jumps both at leaf and at internal dead-ends
- Whenever a deadend occurs at  $x$ , it jumps to the most recent variable  $y$  connected to  $x$  in the graph. If  $y$  is an internal deadend it jumps back further to the most recent variable connected to  $x$  or  $y$ .
- The analysis of conflict is approximated by the graph.
- Graph-based algorithm provide graph-theoretic bounds.

# Ancestors and parents

- $\text{anc}(x_7) = \{x_5, x_3, x_4, x_1\}$
- $p(x_7) = 5$
- $p(r, b, b, b, g, r) = x_5$



**Definition 6.3.2 (ancestors, parent)** Given a constraint graph and an ordering of the nodes  $d$ , the ancestor set of variable  $x$ , denoted  $\text{anc}(x)$ , is the subset of the variables that precede and are connected to  $x$ . The parent of  $x$ , denoted  $p(x)$ , is the most recent (or latest) variable in  $\text{anc}(x)$ . If  $\vec{a}_i = (a_1, \dots, a_i)$  is a leaf dead-end, we equate  $\text{anc}(\vec{a}_i)$  with  $\text{anc}(x_{i+1})$ , and  $p(\vec{a}_i)$  with  $p(x_{i+1})$ .

# Internal deadends analysis

**Definition 6.3.5 (session)** *We say that backtracking invisits  $x_i$  if it processes  $x_i$  coming from a variable earlier in the ordering. The session of  $x_i$  starts upon the invisiting of  $x_i$  and ends when retracting to a variable that precedes  $x_i$ . At a given state of the search where variable  $x_i$  is already instantiated, the current session of  $x_i$  is the set of variables processed by the algorithm since the most recent invisit to  $x_i$ . The current session of  $x_i$  includes  $x_i$  and therefore the session of a leaf dead-end variable has a single variable.*

**Definition 6.3.6 (relevant dead-ends)** *The relevant dead-ends of  $x_i$ 's session are defined recursively as follows. The relevant dead-ends of a leaf dead-end  $x_i$ , denoted  $r(x_i)$ , is  $x_i$ . If  $x_i$  is variable to which the algorithm retracted from  $x_i$ , then the relevant-dead-*

The induced-parents of a variable  $X$  along an ordering, approximates its parent set in the induced-ordered graph

induced ancestor set of  $x_i$  relative to  $Y$ ,  $I_i(Y)$ , is the union of all  $Y$ 's ancestors, restricted to variables that precede  $x_i$ . Formally,  $I_i(Y) = \text{anc}(Y) \cap \{x_1, \dots, x_{i-1}\}$ . The induced parent of  $x_i$  relative to  $Y$ ,  $P_i(Y)$ , is the latest variable in  $I_i(Y)$ . We call  $P_i(Y)$  the graph-based culprit of  $x_i$ .

# Graph-based backjumping scenarios

## Internal deadend at $x_4$

- Scenario 1, deadend at  $x_4$ :
- Scenario 2: deadend at  $x_5$ :
- Scenario 3: deadend at  $x_7$ :
- Scenario 4: deadend at  $x_6$ :

What are the relevant deadends?  
 What is the induced-parent set.

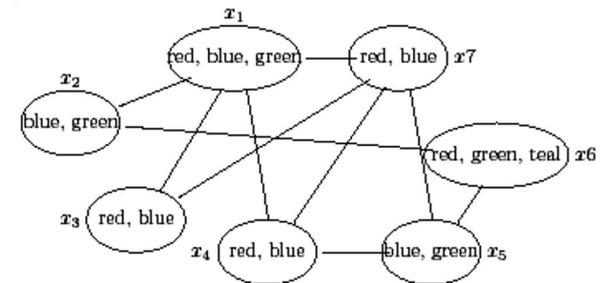
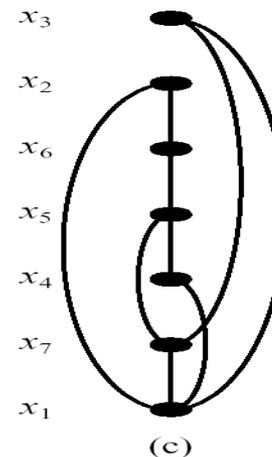
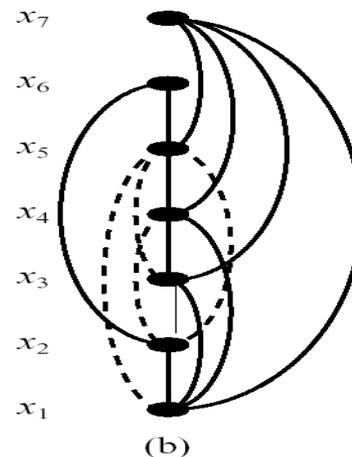
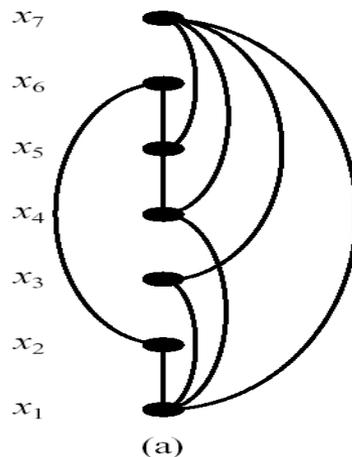


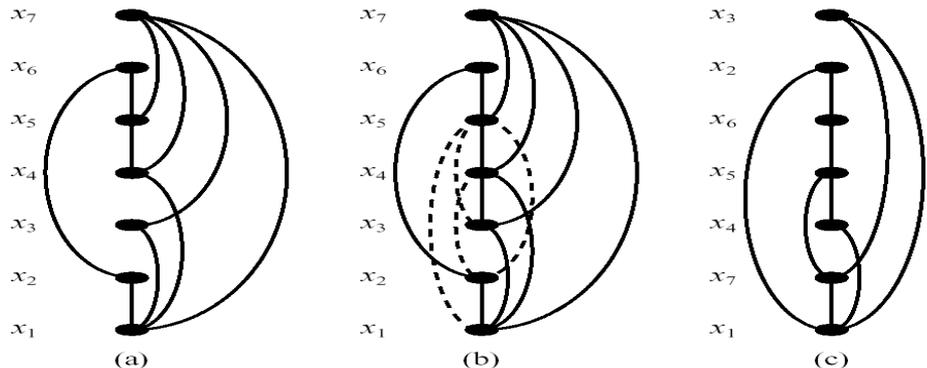
Figure 6.1: A modified coloring problem.



# Graph-based backjumping scenarios

## Internal deadend at X4

**Example 6.3.9** Consider again the ordered graph in Figure 6.6a, and let  $x_4$  be a dead-end variable. If  $x_4$  is a leaf dead-end, then  $Y = \{x_4\}$ , and  $x_1$  is the sole member in its induced ancestor set  $I_4(Y)$ . The algorithm may jump safely to  $x_1$ . If  $x_4$  is an internal dead-end with  $Y = \{x_4, x_5, x_6\}$ , the induced ancestor set of  $x_4$  is  $I_4(\{x_4, x_5, x_6\}) = \{x_1, x_2\}$ , and the algorithm can safely jump to  $x_2$ . However, if  $Y = \{x_4, x_5, x_7\}$ , the corresponding induced parent set  $I_4(\{x_4, x_5, x_7\}) = \{x_1, x_3\}$ , and upon encountering a dead-end at  $x_4$ , the algorithm should retract to  $x_3$ . If  $x_3$  is also an internal dead-end the algorithm retracts to  $x_1$  since  $I_3(\{x_3, x_4, x_5, x_7\}) = \{x_1\}$ . If, however,  $Y = \{x_4, x_5, x_6, x_7\}$ , when a dead-end at  $x_4$  is encountered (we could have a dead-end at  $x_7$ , jump back to  $x_5$ , go forward and jump back again at  $x_6$ , and yet again at  $x_5$ ), then  $I_4(\{x_4, x_5, x_6, x_7\}) = \{x_1, x_2, x_3\}$ . The algorithm then retracts to  $x_3$ , and if it is a dead-end it will retract further to  $x_2$ , since  $I_3(\{x_3, x_4, x_5, x_6, x_7\}) = \{x_1, x_2\}$ . □



# Graph-based backjumping algorithm, but we need to jump at internal deadends too

```
procedure GRAPH-BASED-BACKJUMPING
Input: A constraint network  $\mathcal{R} = (X, D, C)$ 
Output: Either a solution, or a decision that the network is inconsistent.

  compute  $anc(x_i)$  for each  $x_i$  (see Definition 6.3.2 in text)
   $i \leftarrow 1$  (initialize variable counter)
   $D'_i \leftarrow D_i$  (copy domain)
   $I_i \leftarrow anc(x_i)$  (copy of  $anc()$  that can change)
  while  $1 \leq i \leq n$ 
    instantiate  $x_i \leftarrow \text{SELECTVALUE}$ 
    if  $x_i$  is null (no value was returned)
       $i_{prev} \leftarrow i$ 
       $i \leftarrow$  latest index in  $I_i$  (backjump)
       $I_i \leftarrow I_i \cup I_{i_{prev}} - \{x_i\}$ 
    else
       $i \leftarrow i + 1$ 
       $D'_i \leftarrow D_i$ 
       $I_i \leftarrow anc(x_i)$ 
    end while
    if  $i = 0$ 
      return "inconsistent"
    else
      return instantiated values of  $\{x_1, \dots, x_n\}$ 
  end procedure

procedure SELECTVALUE (same as BACKTRACKING's)
  while  $D'_i$  is not empty
    select an arbitrary element  $a \in D'_i$ , and remove  $a$  from  $D'_i$ 
    if CONSISTENT( $\bar{a}_{i-1}, x_i = a$ )
      return  $a$ 
    end while
  return null (no consistent value)
end procedure
```

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Figure 6.5: The graph-based backjumping algorithm.

# Properties of graph-based backjumping

- Algorithm graph-based backjumping jumps back at any deadend variable as far as graph-based information allows.
- For each variable, the algorithm maintains the induced-ancestor set  $l_i$  relative the relevant dead-ends in its current session.
- The size of the induced ancestor set is at most  $w^*(d)$ .

# Conflict-directed backjumping (Prosser 1990)

- Extend Gaschnig's backjump to internal dead-ends.
- Exploits information gathered during search.
- For each variable the algorithm maintains an induced **jumpback set**, and jumps to most recent one.
- **Use the following concepts:**
  - An ordering over variables induced a strict ordering between constraints:  $R_1 < R_2 < \dots < R_t$
  - Use **earliest minimal conflict-set** ( $\text{emc}(x_{i+1})$ ) of a deadend.
  - Define the **jumpback set** of a deadend

# Conflict-directed backjumping: Gaschnig's style jumpback in all deadends:

**Definition 6.4.1 (earlier constraint)** *Given an ordering of the variables in a constraint problem, we say that constraint  $R$  is earlier than constraint  $Q$  if the latest variable in  $\text{scope}(R) - \text{scope}(Q)$  precedes the latest variable in  $\text{scope}(Q) - \text{scope}(R)$ .*

**Definition 6.4.2 (earliest minimal conflict set)** *For a network  $\mathcal{R} = (X, D, C)$  with an ordering of the variables  $d$ , let  $\vec{a}_i$  be a leaf dead-end tuple whose dead-end variable is  $x_{i+1}$ . The earliest minimal conflict set of  $\vec{a}_i$ , denoted  $\text{emc}(\vec{a}_i)$ , can be generated as follows. Consider the constraints in  $C = \{R_1, \dots, R_c\}$  with scopes  $\{S_1, \dots, S_c\}$ , in order as defined in Definition 6.4.1. For  $j = 1$  to  $c$ , if there exists  $b \in D_{i+1}$  such that  $R_j$  is violated by  $(\vec{a}_i, x_{i+1} = b)$ , but no constraint earlier than  $R_j$  is violated by  $(\vec{a}_i, x_{i+1} = b)$ , then  $\text{var-emc}(\vec{a}_i) \leftarrow \text{var-emc}(\vec{a}_i) \cup S_j$ .  $\text{emc}(\vec{a}_i)$  is the subtuple of  $\vec{a}_i$  containing just the variable-value pairs of  $\text{var-emc}(\vec{a}_i)$ . Namely,  $\text{emc}(\vec{a}_i) = \vec{a}_i[\text{var-emc}(\vec{a}_i)]$ .*

**Definition 6.4.3 (jumpback set)** *The jumpback set of a leaf dead-end  $J_{i+1}$  of  $x_{i+1}$  is its  $\text{var-emc}(\vec{a}_i)$ . The jump-back set of an internal state  $\vec{a}_i$  includes all the  $\text{var-emc}(\vec{a}_j)$  of all the relevant dead-ends  $\vec{a}_j$   $j \geq i$ , that occurred in the current session of  $x_i$ . Formally,  $J_i = \bigcup \{ \text{var-emc}(\vec{a}_j) \mid \vec{a}_j \text{ is a relevant dead-end in } x_i \text{'s session} \}$*

# Example of conflict-directed backjumping

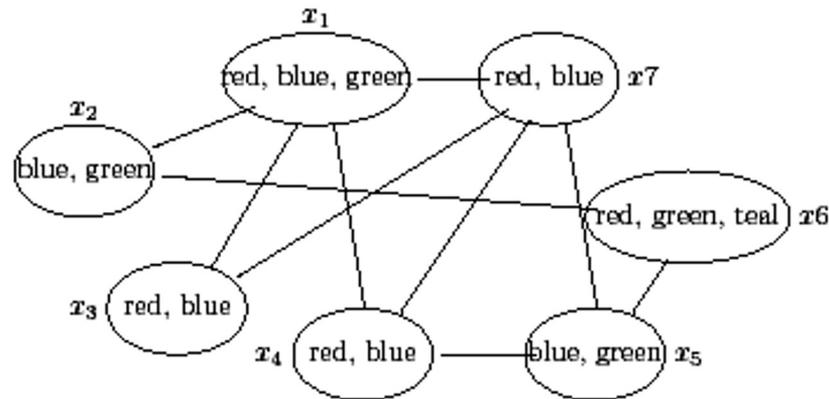


Figure 6.1: A modified coloring problem.

**Example 6.4.5** Consider the problem of Figure 6.1 using ordering  $d_1 = (x_1, \dots, x_7)$ . Given the dead-end at  $x_7$  and the assignment  $\vec{a}_6 = (\text{blue}, \text{green}, \text{red}, \text{red}, \text{blue}, \text{red})$ , the emc set is  $(\langle x_1, \text{blue} \rangle, \langle x_3, \text{red} \rangle)$ , since it accounts for eliminating all the values of  $x_7$ . Therefore, algorithm conflict-directed backjumping jumps to  $x_3$ . Since  $x_3$  is an internal dead-end whose own  $\text{var} - \text{emc}$  set is  $\{x_1\}$ , the jumpback set of  $x_3$  includes just  $x_1$ , and the algorithm jumps again, this time back to  $x_1$ .  $\square$

# Properties of conflict-directed backjumping

- Given a dead-end  $\vec{a}_i$ , the latest variable in its jumpback set  $J_i$  is the earliest variable to which it is safe to jump.
- This is the culprit.
- Algorithm conflict-directed backtracking jumps back to the latest variable in the dead-end jumpback set and is therefore safe and maximal.

# Conflict-directed backjumping

```
procedure CONFLICT-DIRECTED-BACKJUMPING
Input: A constraint network  $\mathcal{R} = (X, D, C)$ .
Output: Either a solution, or a decision that the network is inconsistent.

   $i \leftarrow 1$                 (initialize variable counter)
   $D'_i \leftarrow D_i$         (copy domain)
   $J_i \leftarrow \emptyset$     (initialize conflict set)
  while  $1 \leq i \leq n$ 
    instantiate  $x_i \leftarrow \text{SELECTVALUE-CBJ}$ 
    if  $x_i$  is null          (no value was returned)
       $i_{prev} \leftarrow i$ 
       $i \leftarrow$  index of last variable in  $J_i$  (backjump)
       $J_i \leftarrow J_i \cup J_{i_{prev}} - \{x_i\}$  (merge conflict sets)
    else
       $i \leftarrow i + 1$       (step forward)
       $D'_i \leftarrow D_i$     (reset mutable domain)
       $J_i \leftarrow \emptyset$  (reset conflict set)
    end while
  if  $i = 0$ 
    return "inconsistent"
  else
    return instantiated values of  $\{x_1, \dots, x_n\}$ 
  end procedure

subprocedure SELECTVALUE-CBJ

  while  $D'_i$  is not empty
    select an arbitrary element  $a \in D'_i$ , and remove  $a$  from  $D'_i$ 
     $consistent \leftarrow true$ 
     $k \leftarrow 1$ 
    while  $k < i$  and  $consistent$ 
      if  $\text{CONSISTENT}(\bar{a}_k, x_i = a)$ 
         $k \leftarrow k + 1$ 
      else
        let  $R_S$  be the earliest constraint causing the conflict
        add the variables in  $R_S$ 's scope  $S$ , but not  $x_i$ , to  $J_i$ 
         $consistent \leftarrow false$ 
      end while
    if  $consistent$ 
      return  $a$ 
    end while
  return null                (no consistent value)
end procedure
```

Figure 6.7: The conflict-directed backjumping algorithm.

# Graph-Based backjumping on dFS orderings

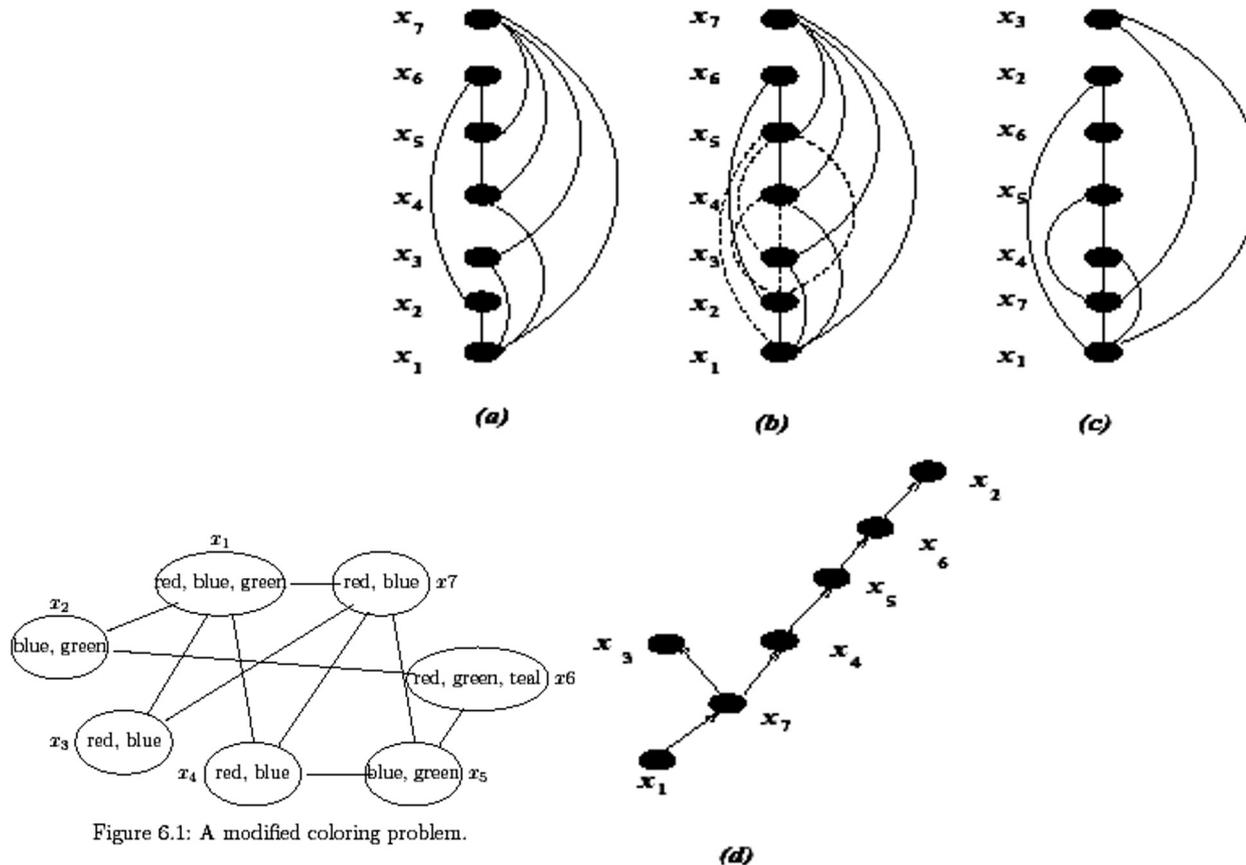
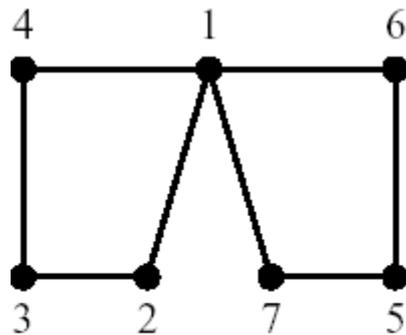


Figure 6.1: A modified coloring problem.

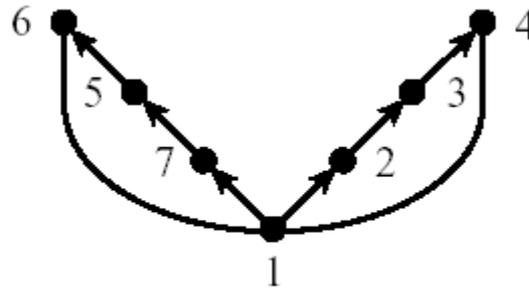
Figure 6.6: Several ordered constraint graphs of the problem in Figure 6.1: (a) along ordering  $d_1 = (x_1, x_2, x_3, x_4, x_5, x_6, x_7)$ , (b) the induced graph along  $d_1$ , (c) along ordering  $d_2 = (x_1, x_7, x_4, x_5, x_6, x_2, x_3)$ , and (d) a DFS spanning tree along ordering  $d_2$ .

# Graph-based backjumping on DFS ordering

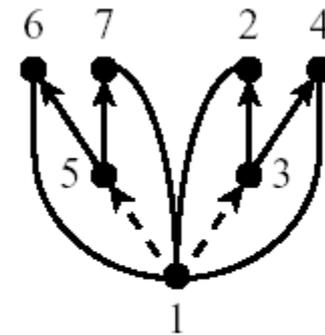
Rule: Go back to parent. No need to maintain a parent set



(a)



(b)



(c)

**Theorem 6.5.2** *Given a DFS ordering of the constraint graph, if  $f(x)$  denotes the DFS parent of  $x$ , then, upon a dead-end at  $x$ ,  $f(x)$  is  $x$ 's graph-based earliest safe variable for both leaf and internal dead-ends.*

Spanning-tree of a graph;

DFS spanning trees, Pseudo-tree

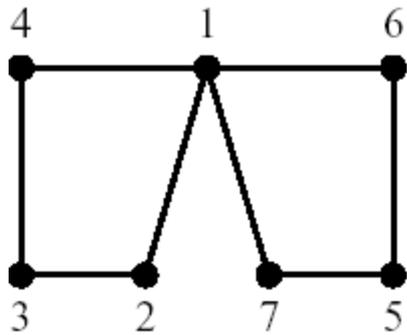
Pseudo-tree is a spanning tree that does not allow arcs across branches.

# Complexity of graph-based backjumping

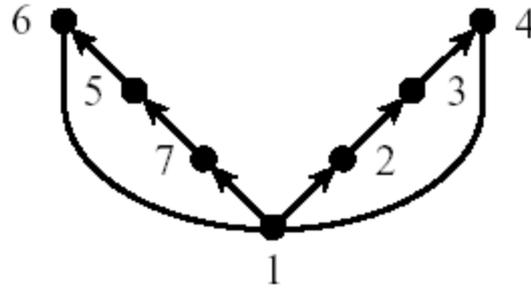
- $T_i$  = number of nodes in the AND/OR search space rooted at  $x_i$  (level  $m-i$ )
- Each assignment of a value to  $x_i$  generates subproblems:
  - $T_i = k b T_{i-1}$
  - $T_0 = k$
- Solution:  $T_m = b^m k^{m+1}$

**Theorem 6.5.3** *When graph-based backjumping is performed on a DFS ordering of the constraint graph, the number of nodes visited is bounded by  $O((b^m k^{m+1}))$ , where  $b$  bounds the branching degree of the DFS tree associated with that ordering,  $m$  is its depth and  $k$  is the domain size. The time complexity (measured by the number of consistency checks) is  $O(ek(bk)^m)$ , where  $e$  is the number of constraints.*

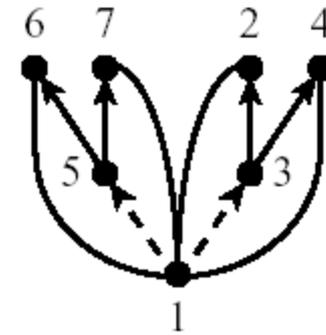
# Complexity of backjumping uses pseudo-tree analysis



(a)



(b)



(c)

**Simple:** always jump back to parent in pseudo tree

**Complexity for csp:**  $\exp(\text{tree-depth})$

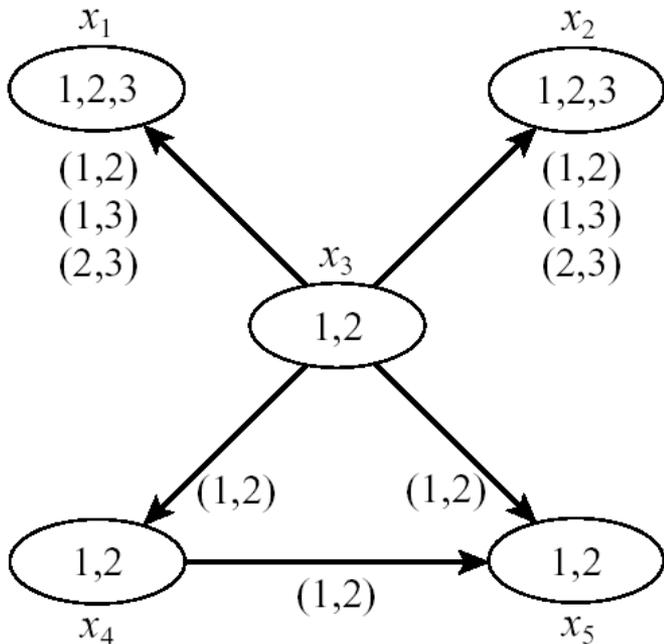
**Complexity for csp:**  $\exp(w \cdot \log n)$

# Outline

- Look-back strategies
- Backjumping: Gaschnig, Graph-based, Conflict-directed
- Learning no-goods, constraint recording.
  - Shallow and deep learning, graph-based learning
- Look-back for Satisfiability, integration and Empirical evaluation
- Counting, good caching

# Look-back: No-good Learning, Constraint recording

**Learning means recording conflict sets  
used as constraints to prune future  
search space.**



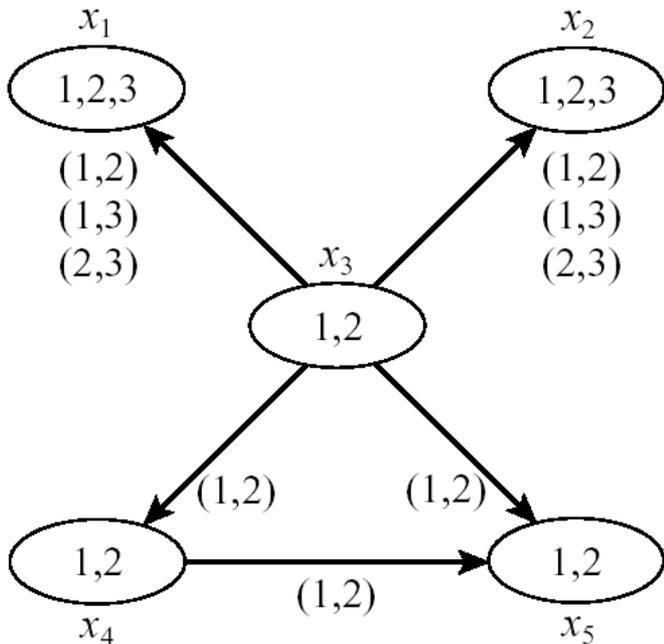
- $(x_1=2, x_2=2, x_3=1, x_4=2)$  is a dead-end
- Conflicts to record:
  - $(x_1=2, x_2=2, x_3=1, x_4=2)$  4-ary
  - $(x_3=1, x_4=2)$  binary
  - $(x_4=2)$  unary

# Learning, constraint recording

- Learning means recording conflict sets
- An opportunity to learn is when deadend is discovered.
- Goal of learning is to not discover the same deadends.
- Try to identify small conflict sets
- Learning prunes the search space.

# Nogoods explain deadends

Learning means recording explanations to conflicts.  
These are implied constraints



- Conflicts to record are explanations
  - $(x_1=2, x_2=2, x_3=1, x_4=2)$  4-ary
  - $(x_1=2, x_2=2, x_3=1, x_4=2) \rightarrow (x_5 \neq 1)$  and
  - $(x_3=1, x_4=2) \rightarrow (x_5 \neq 1)$
  - $(x_4=2) \rightarrow (x_5 \neq 1)$

# Learning example

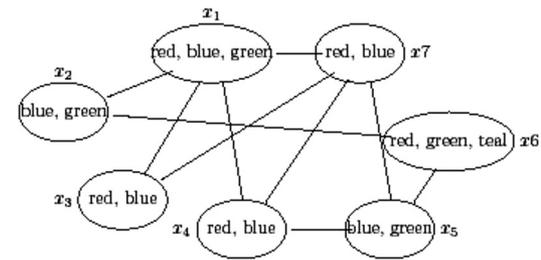


Figure 6.1: A modified coloring problem.

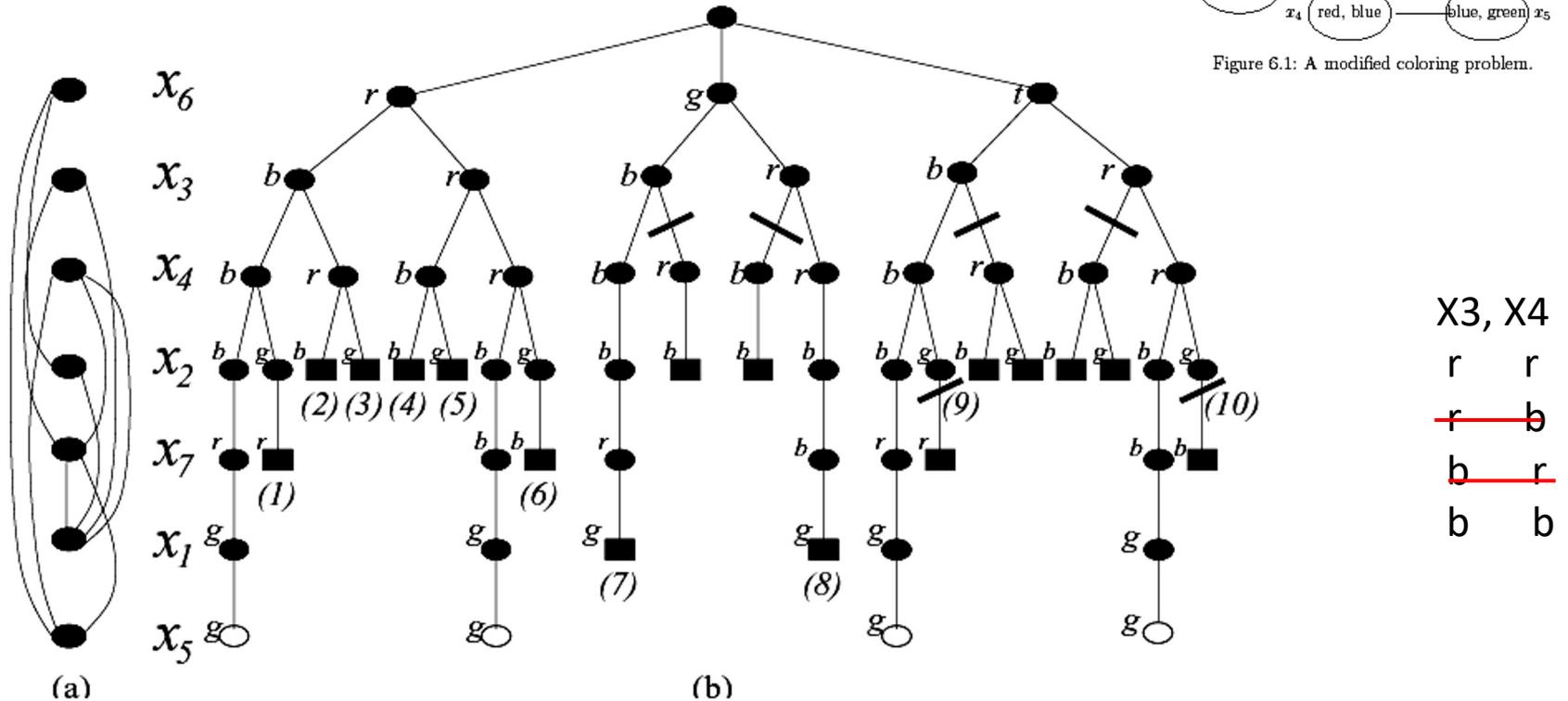


Figure 6.9: The search space explicated by backtracking on the CSP from Figure 6.1, using the variable ordering  $(x_6, x_3, x_4, x_2, x_7, x_1, x_5)$  and the value ordering  $(blue, red, green, teal)$ . Part (a) shows the ordered constraint graph, part (b) illustrates the search space. The cut lines in (b) indicate branches not explored when graph-based learning is used.

# Learning issues

- Learning styles
  - Graph-based or context-based
  - i-bounded, scope-bounded
  - Relevance-based
- Non-systematic randomized learning
- Implies time and space overhead
- Applicable to SAT

# Graph-based learning algorithm

```
procedure GRAPH-BASED-BACKJUMP-LEARNING
```

```
  instantiate  $x_i \leftarrow \text{SELECTVALUE}$ 
```

```
  if  $x_i$  is null           (no value was returned)
```

```
    record a constraint prohibiting  $\vec{a}_{i-1}[I_i]$ .
```

```
     $iprev \leftarrow i$ 
```

```
    (algorithm continues as in Fig. 6.5)
```

Figure 6.10: Graph-based backjumping learning, modifying CBJ

# Deep learning

- Deep learning: recording all and only minimal conflict sets
- Example:
- Although most accurate, overhead can be prohibitive: the number of conflict sets in the worst-case:

$$\binom{r}{r/2} = 2^r$$

Deep learning [pioneer](#)

# Jumpback learning

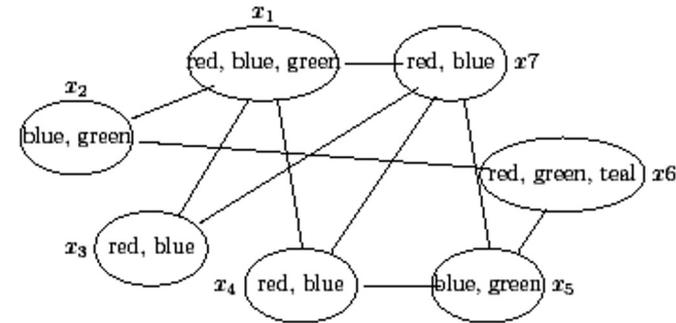
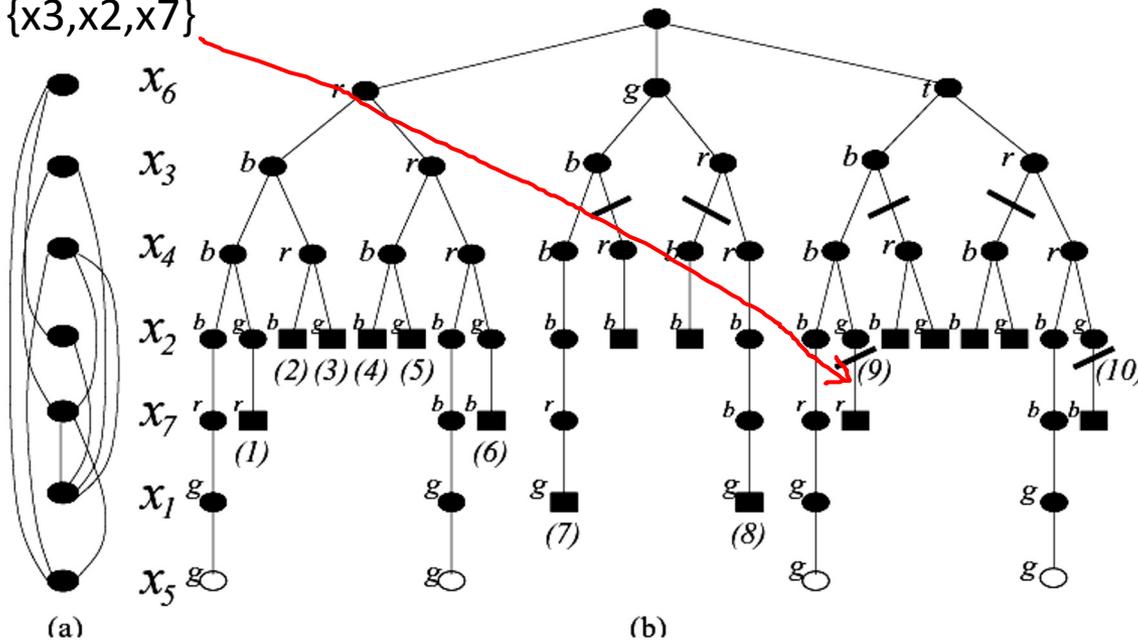


Figure 6.1: A modified coloring problem.

- Record the jumpback assignment

**Example** For the problem and ordering of Example at the first dead-end, jumpback learning will record the no-good ( $x_2 = green, x_3 = blue, x_7 = red$ ), since that tuple includes the variables in the jumpback set of  $x_1$ . □

Jumpback set = { $x_3, x_2, x_7$ }



**$x_3, x_2, x_7$**

r	b	r
r	b	b
r	g	r
r	g	b
b	b	r
b	b	b
b	g	b
<del>b</del>	<del>g</del>	<del>r</del>

Figure 6.9: The search space explicated by backtracking on the CSP from Figure 6.1.

# Jumpback learning

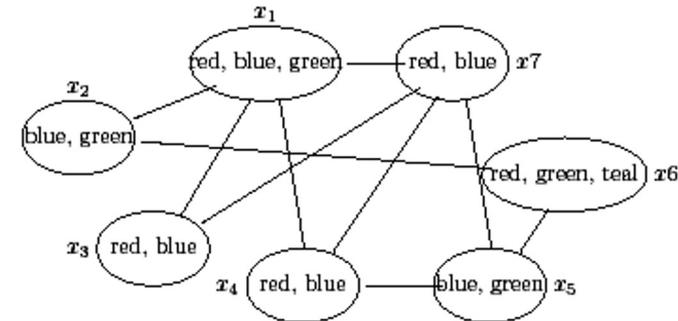


Figure 6.1: A modified coloring problem.

- Record the jumpback assignment

**Example**   For the problem and ordering of Example   at the first dead-end, jumpback learning will record the no-good ( $x_2 = \textit{green}$ ,  $x_3 = \textit{blue}$ ,  $x_7 = \textit{red}$ ), since that tuple includes the variables in the jumpback set of  $x_1$ . □

**procedure** CONFLICT-DIRECTED-BACKJUMP-LEARNING

```

instantiate  $x_i \leftarrow$  SELECTVALUE-CBJ
if  $x_i$  is null           (no value was returned)
  record a constraint prohibiting  $\vec{a}_{i-1}[J_i]$  and corresponding values
   $iprev \leftarrow i$ 
  (algorithm continues as in Fig. 6.7)
  
```

Figure 6.11: Conflict-directed backjump-learning, modifying CBJ

# Bounded and relevance-based learning

Bounding the arity of constraints recorded:

- When bound is  $i$ :  $i$ -ordered graph-based,  $i$ -order jumpback or  $i$ -order deep learning.
- Overhead complexity of  $i$ -bounded learning is time and space exponential in  $i$ .

**Definition 6.7.3 (i-relevant)** *A no-good is  $i$ -relevant if it differs from the current partial assignment by at most  $i$  variable-value pairs.*

**Definition 6.7.4 ( $i$ 'th order relevance-bounded learning)** *An  $i$ 'th order relevance-bounded learning scheme maintains only those learned no-goods that are  $i$ -relevant.*

# Complexity of backtrack-learning for CSP

- The complexity of learning along  $d$  is time and space exponential in  $w^*(d)$ :
- *The number of dead-ends is bounded by  $O(nk^{w^*(d)})$*
- *The total number of nodes traversed between any 2 deadends is  $O(kn)$ .*
  
- *Space complexity is  $O(nk^{w^*(d)})$*
- *Number of nodes visited  $O(n^2 \cdot k^{w^*(d)+1})$*
- *Time include testing foe each node  $2^{w^*(d)}$*
- *Yielding:  $O(n^2 2k^{w^*(d)+1})$*

# Non-Systematic randomized learning

- Do search in a random way with interrupts, restarts, unsafe backjumping, **but record conflicts**.
- Guaranteed completeness.

# Outline

- Look-back strategies
- Backjumping: Gaschnig, Graph-based, Conflict-directed
- Learning no-goods, constraint recording.
- **Look-back for Satisfiability, integration and Empirical evaluation**
- Counting, good caching

# Look-back for SAT

- A partial assignment is a set of literals:  $\sigma$
- A jumpback set if a J-clause:
- Upon a leaf deadend of  $x$  resolve two clauses, one enforcing  $x$  and one enforcing  $\neg x$  relative to the current assignment
- A clause forces  $x$  relative to assignment  $\sigma$  if all the literals in the clause are negated in  $\sigma$ .
- Resolving the two clauses we get a nogood.
- If we identify the earliest two clauses we will find the earliest conflict.
- The argument can be extended to internal deadends.

$\text{phi} = \{A, B, X\}, \{\sim C, \sim X\}$



$\{A, B, \sim C\}$

Assignment =  $(\sim A, \sim B, C, F, R \rightarrow X)$

# Look-back for SAT

```
procedure SAT-CBJ-LEARN
Input: A CNF theory  $\varphi$ , assigned variables  $\sigma$  over  $x_1, \dots, x_{i-1}$ , unassigned variables  $X$ ,
Output: Either a solution, or a decision that the network is inconsistent.
1.  $J_i \leftarrow \emptyset$ 
2. While  $1 \leq i \leq n$ 
3.   Select the next variable:  $x_i \in X$ ,  $X \leftarrow X - \{x_i\}$ 
4.   instantiate  $x_i \leftarrow \text{SELECTVALUE-CBJ}$ .
5.   If  $x_i$  is null (no value returned), then
6.     add  $J_{x_i}$  to  $\varphi$  (learning)
7.      $i_{prev} \leftarrow$  index of last variable in  $J_i$  (backjump)
8.      $J_i \leftarrow \text{resolve}(J_i, J_{prev})$  (merge conflict sets)
9.   else,
10.     $i \leftarrow i + 1$  (go forward)
11.     $J_i \leftarrow \emptyset$  (reset conflict set)
12. Endwhile
13. if  $i = 0$  Return "inconsistent"
14. else, return the set of literals  $\sigma$ 
end procedure

subprocedure SELECTVALUE-CBJ
1. If  $\text{CONSISTENT}(\sigma \cup x_i)$  then return  $\sigma \leftarrow \sigma \cup \{x_i\}$ 
2. If  $\text{CONSISTENT}(\sigma \cup \neg x_i)$  then return  $\sigma \leftarrow \sigma \cup \{\neg x_i\}$ 
3. else,
4. determine  $\alpha$  and  $\beta$  the two earliest clauses forcing  $x_i$  and  $\neg x_i$ ,
5.  $J_i \leftarrow \text{resolve}(\alpha, \beta)$ .
5. Return  $x_i \leftarrow$  null (no consistent value)
end procedure
```

# Integration of algorithms

```
procedure FC-CBJ
Input: A constraint network  $\mathcal{R} = (X, D, C)$ .
Output: Either a solution, or a decision that the network is inconsistent.

   $i \leftarrow 1$                                 (initialize variable counter)
  call SELECTVARIABLE                          (determine first variable)
   $D'_i \leftarrow D_i$  for  $1 \leq i \leq n$       (copy all domains)
   $J_i \leftarrow \emptyset$                     (initialize conflict set)
  while  $1 \leq i \leq n$ 
    instantiate  $x_i \leftarrow$  SELECTVALUE-FC-CBJ
    if  $x_i$  is null                            (no value was returned)
       $i_{prev} \leftarrow i$ 
       $i \leftarrow$  latest index in  $J_i$       (backjump)
       $J_i \leftarrow J_i \cup J_{i_{prev}} - \{x_i\}$ 
      reset each  $D'_k, k > i$ , to its value before  $x_i$  was last instantiated
    else
       $i \leftarrow i + 1$                     (step forward)
      call SELECTVARIABLE                      (determine next variable)
       $D'_i \leftarrow D_i$ 
       $J_i \leftarrow \emptyset$ 
    end while
    if  $i = 0$ 
      return "inconsistent"
    else
      return instantiated values of  $\{x_1, \dots, x_n\}$ 
  end procedure
```

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subprocedure SELECTVALUE-FC-CBJ

while  $D'_i$  is not empty

  select an arbitrary element  $a \in D'_i$ , and remove  $a$  from  $D'_i$

*empty-domain*  $\leftarrow$  *false*

  for all  $k, i < k \leq n$

    for all values  $b$  in  $D'_k$

      if not CONSISTENT( $\vec{a}_{i-1}, x_i = a, x_k = b$ )

        let  $R_S$  be the earliest constraint causing the conflict

        add the variables in  $R_S$ 's scope  $S$ , but not  $x_k$ , to  $J_k$

        remove  $b$  from  $D'_k$

    endfor

  if  $D'_k$  is empty           ( $x_i = a$  leads to a dead-end)

*empty-domain*  $\leftarrow$  *true*

  endfor

  if *empty-domain*           (don't select  $a$ )

    reset each  $D'_k$  and  $j_k, i < k \leq n$ , to status before  $a$  was selected

  else

    return  $a$

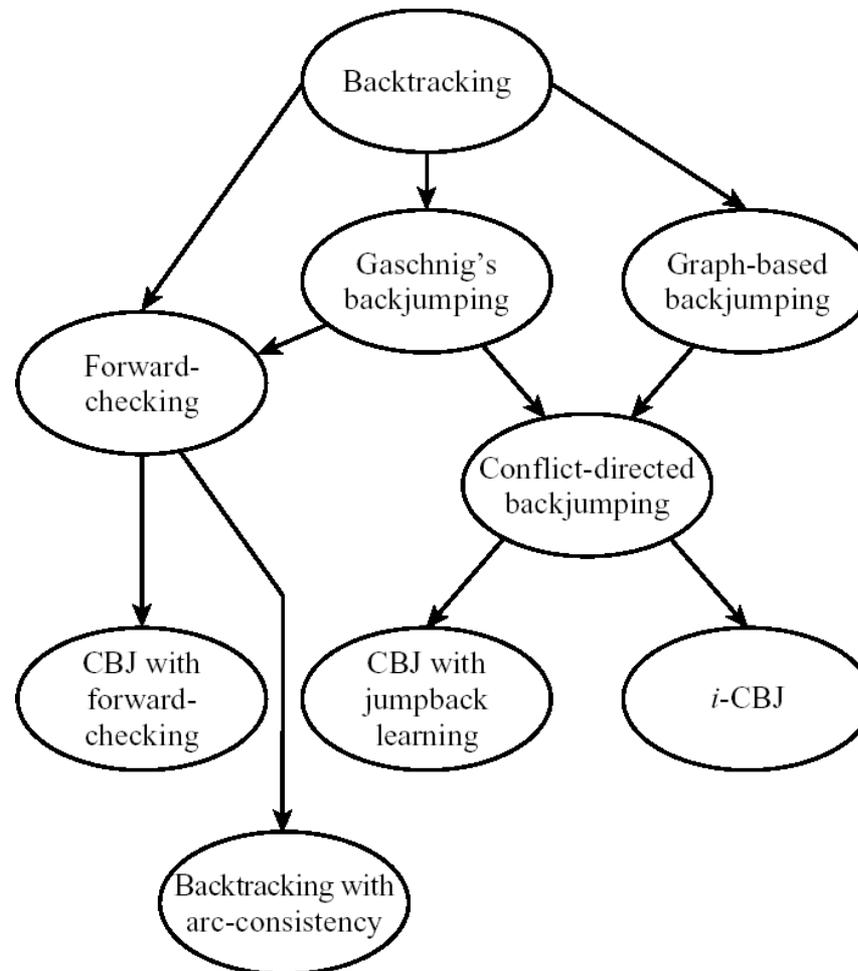
end while

return null                   (no consistent value)

end subprocedure

Figure 6.14: The SelectValue subprocedure for FC-CBJ.

# Relationships between various backtracking algorithms

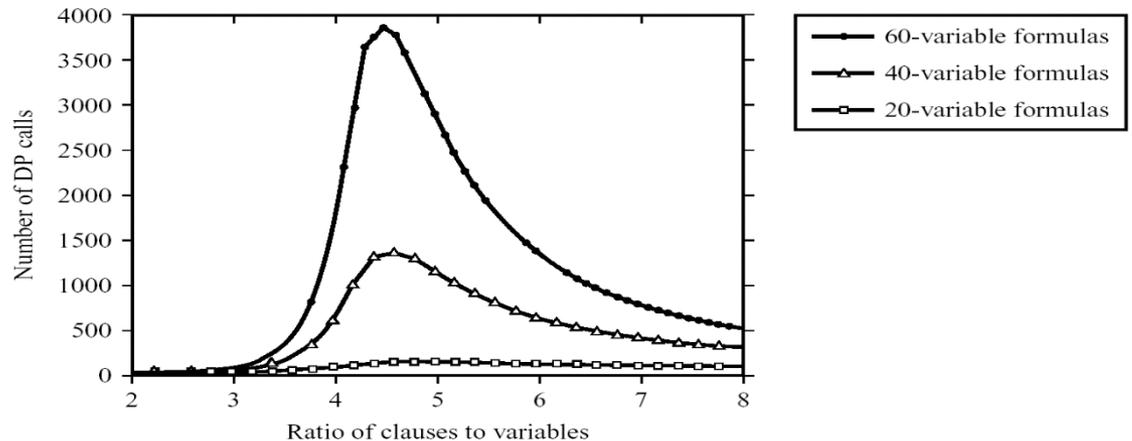
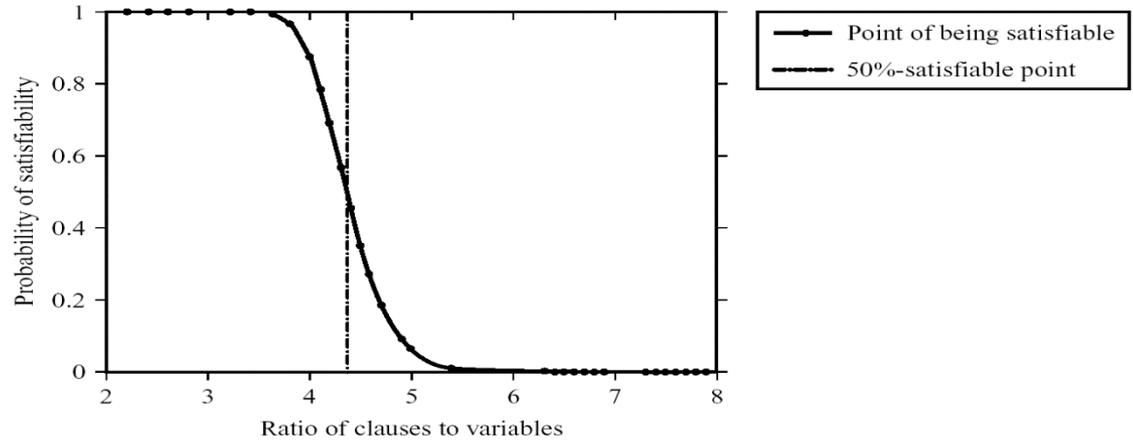


# Empirical comparison of algorithms

- Benchmark instances
- Random problems
- Application-based random problems
- Generating fixed length random k-sat  $(n,m)$  uniformly at random
- Generating fixed length random CSPs
- $(N,K,T,C)$  also arity,  $r$ .

# The Phase transition (m/n)

- Fixed length formulas are generated by selecting a fixed number  $m$  of clauses uniformly at random of a given length  $k$ .
- Small number of clauses yield easy solvable instances. Large number of clauses yield easy unsolvable instances.
- Peak hardness dependant on  $m/n$ . for 3-sat,  $m/n = 4.2$
- Random CSPs are generated via  $(N,k,C,T)=(\text{number of variables, domains, number of binary constraints, } T \text{ tightness})$



# Some empirical evaluation

- Sets 1-3 reports average over 2000 instances of random csps from 50% hardness. Set 1: 200 variables, set 2: 300, Set 3: 350. All had 3 values. Entries: average number of nodes, average time in sec
- Dimacs problems

Algorithm	Set 1		Set 2		Set 3		ssa 038		ssa 158	
FC	207	68.5	-	-	-	-	46	14.5	52	20.0
FC+AC	40	55.4	1	0.6	1	0.4	4	3.5	18	8.2
FCr-CBJ	189	69.2	222	119.3	182	140.8	40	12.2	26	10.7
FC-CBJ+LVO	167	73.8	132	86.8	119	111.8	32	11.0	8	4.5
FC-CBJ+LRN	186	63.4	32	15.6	1	0.5	23	5.5	19	8.6
FC-CBJ+LRN+LVO	160	74.0	26	14.0	1	3.8	16	3.8	13	7.1

Figure 6.16: Empirical comparison of six selected CSP algorithms. See text for explanation. In each column of numbers, the first number indicates the number of nodes in the search tree, rounded to the nearest thousand, and final 000 omitted; the second number is CPU seconds.

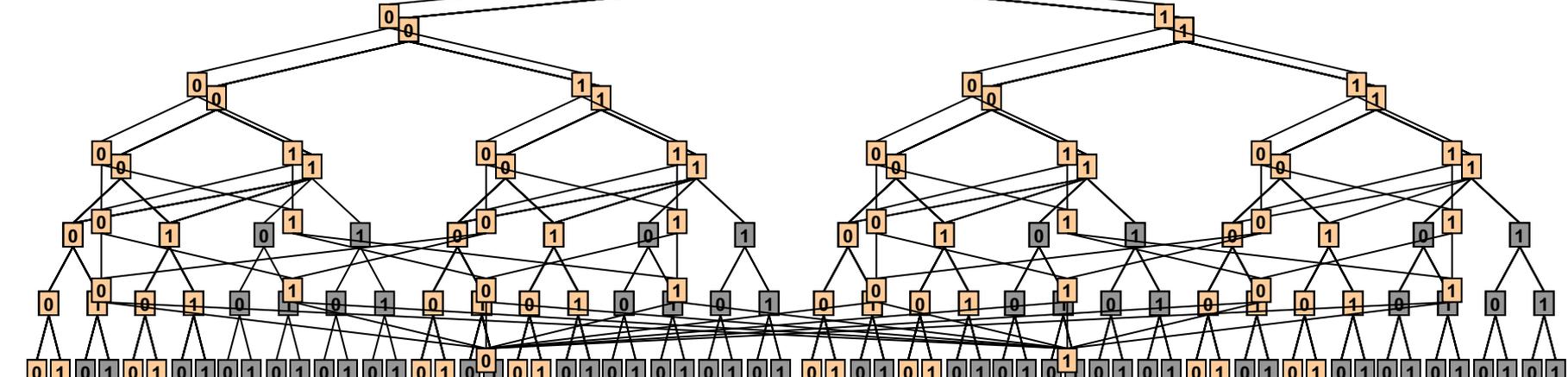
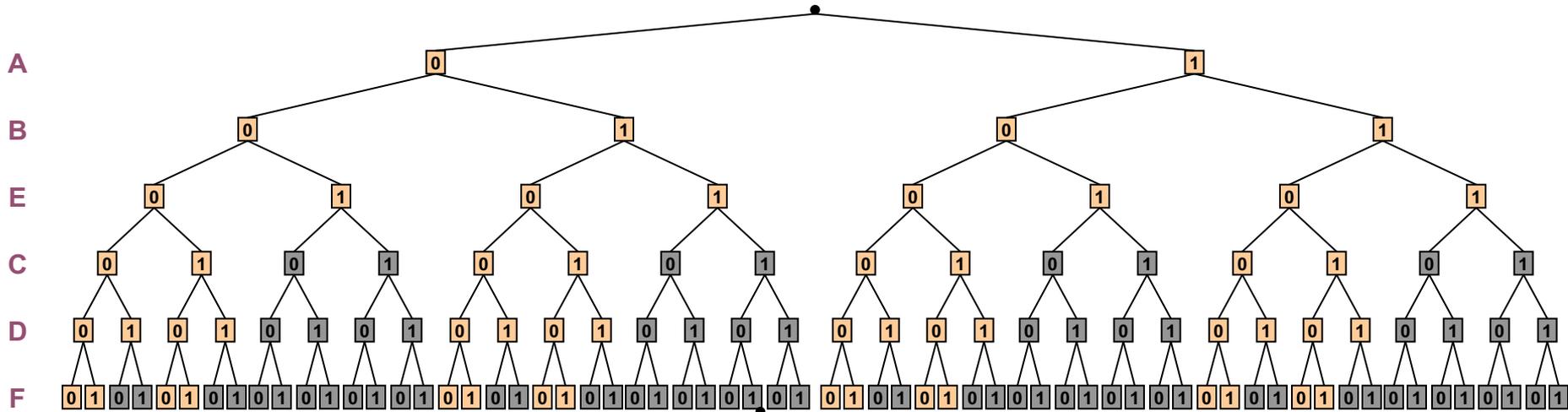
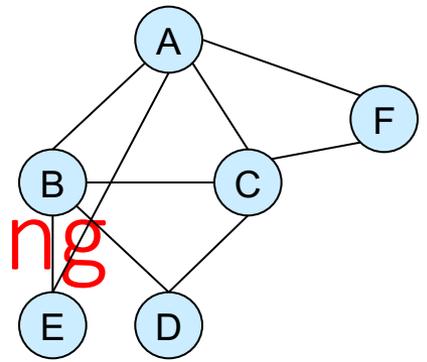
# Results Interpretation

These results show that interleaving an arc-consistency procedure with search was generally quite effective in these studies, as was combining learning and value ordering. An interesting observation can be made based on the nature of the constraints in each of the three sets of random problems. The problems with more restrictive, or tighter, constraints, had sparser constraint graphs. With the looser constraints, the difference in performance among the algorithms was much less than on problems with tighter constraints. The arc-consistency enforcing, and constraint-learning procedures were much more effective on the sparser graphs with tight constraints. These procedures are able to exploit the local structure in such problems. We also see that FC+AC prune the search space most effectively.

# Outline

- Look-back strategies
- Backjumping: Gaschnig, Graph-based, Conflict-directed
- Learning no-goods, constraint recording.
- Look-back for Satisfiability, integration and Empirical evaluation
- **Good caching, counting**

Good caching:  
 Moving from one to all or counting



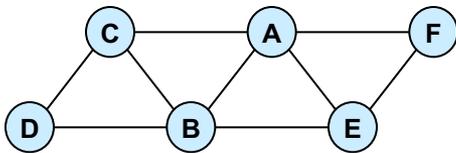
# Summary: Time-space for consistency and counting

- **Constraint-satisfaction**
  - Search with backjumping
    - Space: linear, Time:  $O(nk^{\log n w^*})$
  - Search with learning no-goods
    - time and space:  $O(nk^{w^*})$
  - Variable-elimination
    - time and space:  $O(nk^{w^*})$
- **Counting, enumeration**
  - Search with backjumping
    - Space: linear, Time:  $O(k^n)$
  - Search with no-goods caching only
    - space:  $O(\exp(w^*))$  Time:  $O(\exp(n))$
  - Search with goods and no-goods learning
    - Time and space:  $O(\exp(\text{path-width}))$ ,  $O(\exp(\log n w^*))$
  - Variable-elimination
    - Time and space:  $O(\exp(w^*))$

# All Solutions and Counting

- For all solutions and counting we will see
  - The additional impact of Good learning
  - BFS makes sense with good learning
  - BFS and DFS time and space  $\exp(\text{path-width})$
  - Good-learning doesn't help consistency task

# #CSP – OR Search Tree

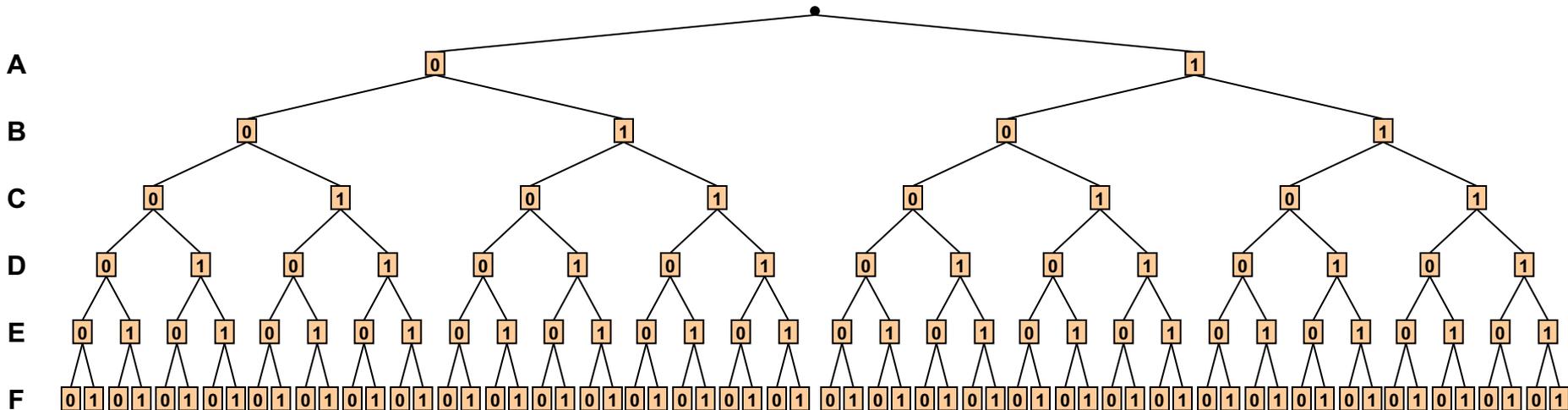


A	B	C	R <sub>ABC</sub>
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

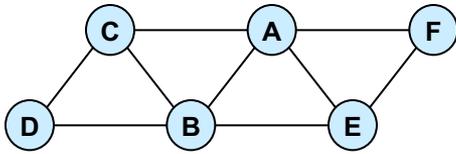
B	C	D	R <sub>BCD</sub>
0	0	0	1
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	1
1	1	1	1

A	B	E	R <sub>ABE</sub>
0	0	0	1
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	0

A	E	F	R <sub>AEF</sub>
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0



# #CSP – OR Search Tree

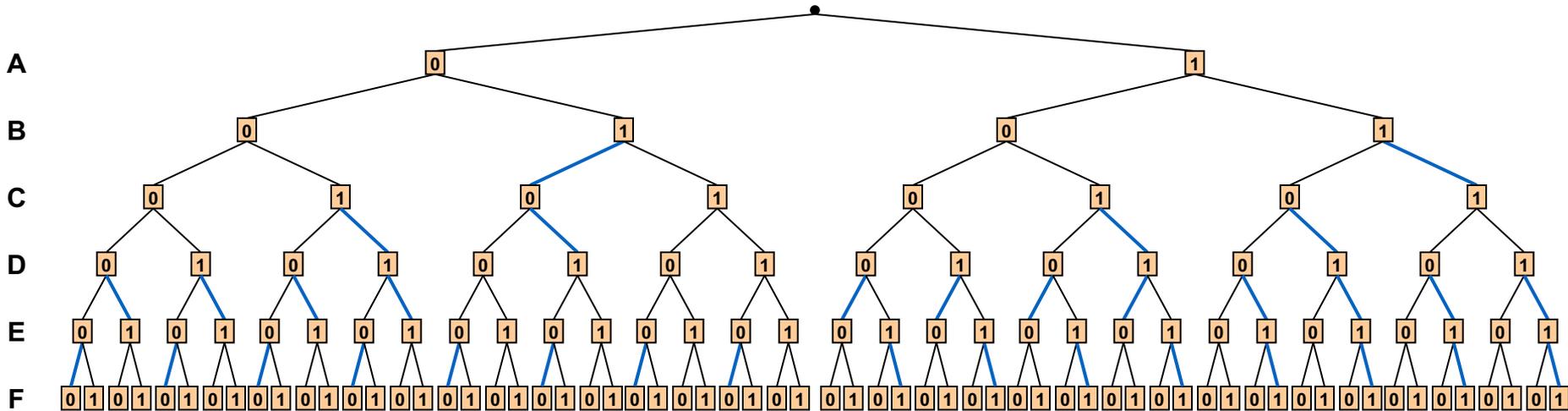


A	B	C	R <sub>ABC</sub>
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

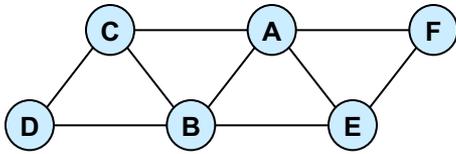
B	C	D	R <sub>BCD</sub>
0	0	0	1
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	1
1	1	1	1

A	B	E	R <sub>ABE</sub>
0	0	0	1
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	0

A	E	F	R <sub>AEF</sub>
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0



# #CSP - OR Search Tree

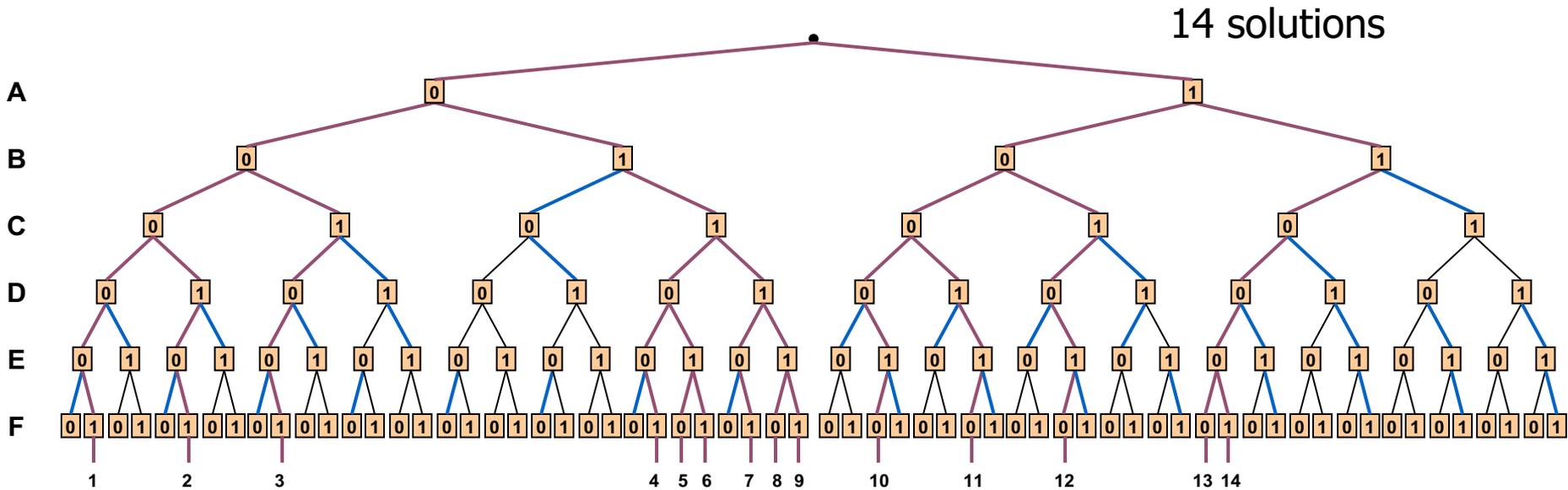


A	B	C	R <sub>ABC</sub>
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

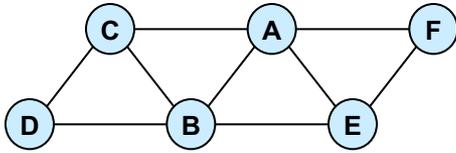
B	C	D	R <sub>BCD</sub>
0	0	0	1
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	1
1	1	1	1

A	B	E	R <sub>ABE</sub>
0	0	0	1
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	0

A	E	F	R <sub>AEF</sub>
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0



# #CSP - Tree DFS Traversal

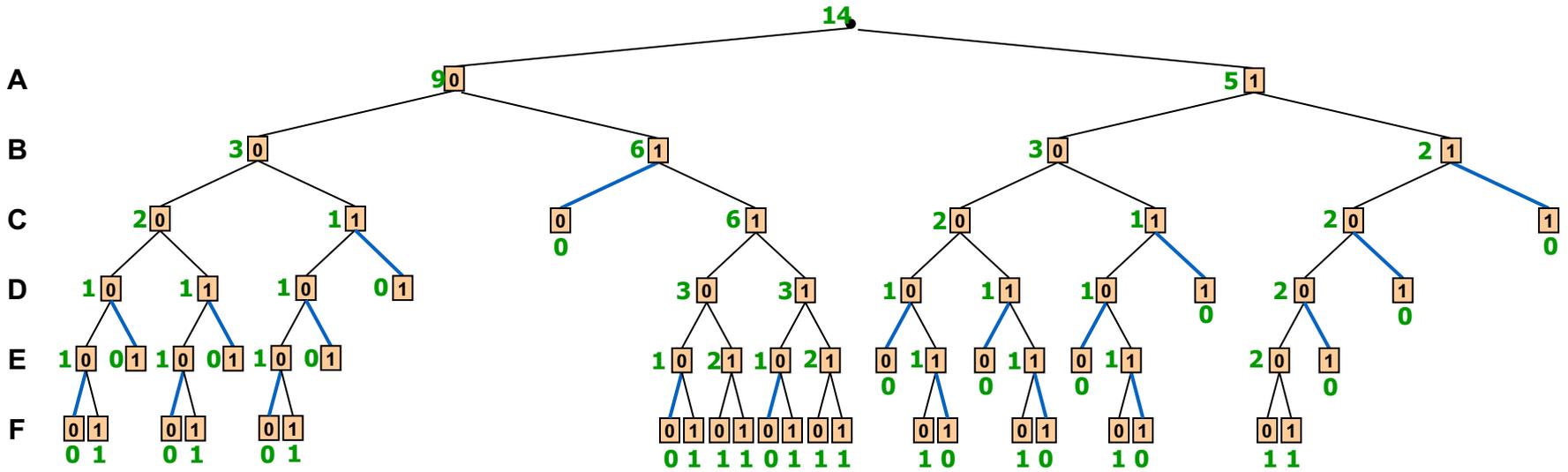


A	B	C	R <sub>ABC</sub>
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

B	C	D	R <sub>BCD</sub>
0	0	0	1
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	1
1	1	1	1

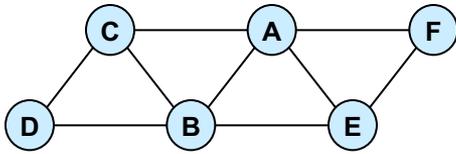
A	B	E	R <sub>ABE</sub>
0	0	0	1
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	0

A	E	F	R <sub>AEF</sub>
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0



Value of node = number of solutions below it

# #CSP - OR Search Tree

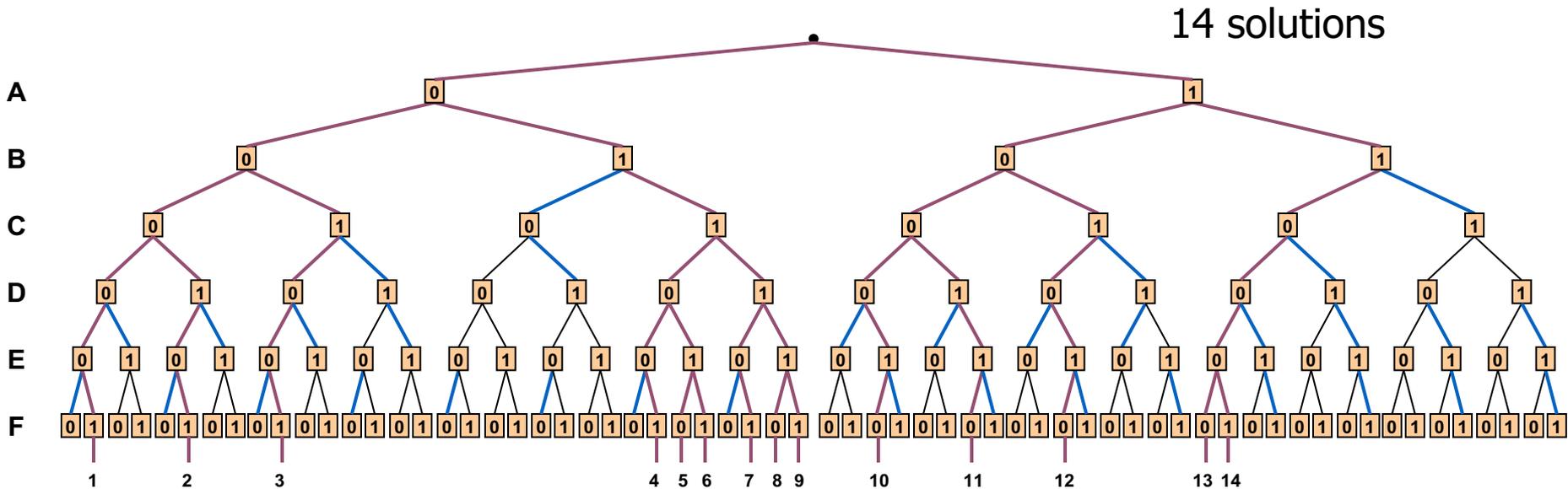


A	B	C	R <sub>ABC</sub>
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

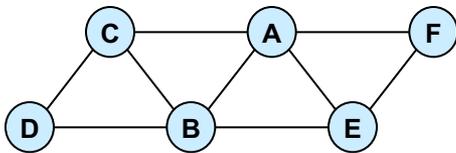
B	C	D	R <sub>BCD</sub>
0	0	0	1
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	1
1	1	1	1

A	B	E	R <sub>ABE</sub>
0	0	0	1
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	0

A	E	F	R <sub>AEF</sub>
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0



# #CSP - Searching the Graph by Good Caching



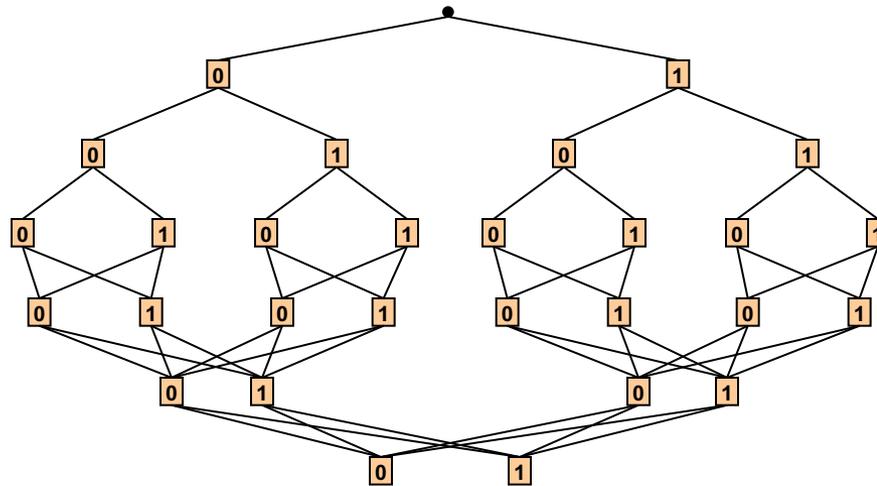
A	B	C	R <sub>ABC</sub>
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

B	C	D	R <sub>BCD</sub>
0	0	0	1
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	1
1	1	1	1

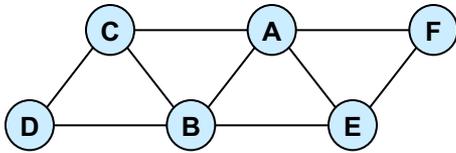
A	B	E	R <sub>ABE</sub>
0	0	0	1
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	0

A	E	F	R <sub>AEF</sub>
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

- A context(A) = [A]
- B context(B) = [AB]
- C context(C) = [ABC]
- D context(D) = [ABD]
- E context(E) = [AE]
- F context(F) = [F]



# #CSP - Searching the Graph by Good Caching



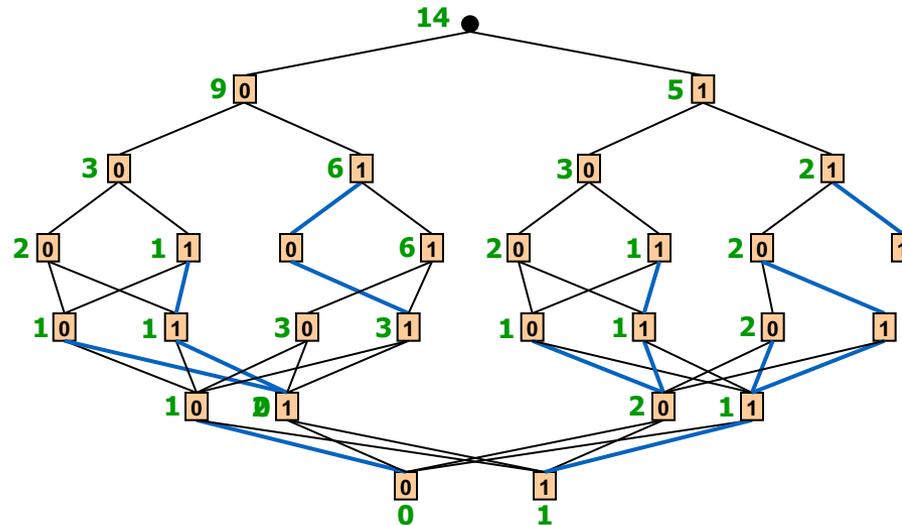
A	B	C	R <sub>ABC</sub>
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

B	C	D	R <sub>BCD</sub>
0	0	0	1
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	1
1	1	1	1

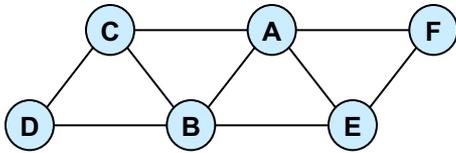
A	B	E	R <sub>ABE</sub>
0	0	0	1
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	0

A	E	F	R <sub>AEF</sub>
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

- A context(A) = [A]
- B context(B) = [AB]
- C context(C) = [ABC]
- D context(D) = [ABD]
- E context(E) = [AE]
- F context(F) = [F]



# #CSP - Searching the Graph by Good Caching



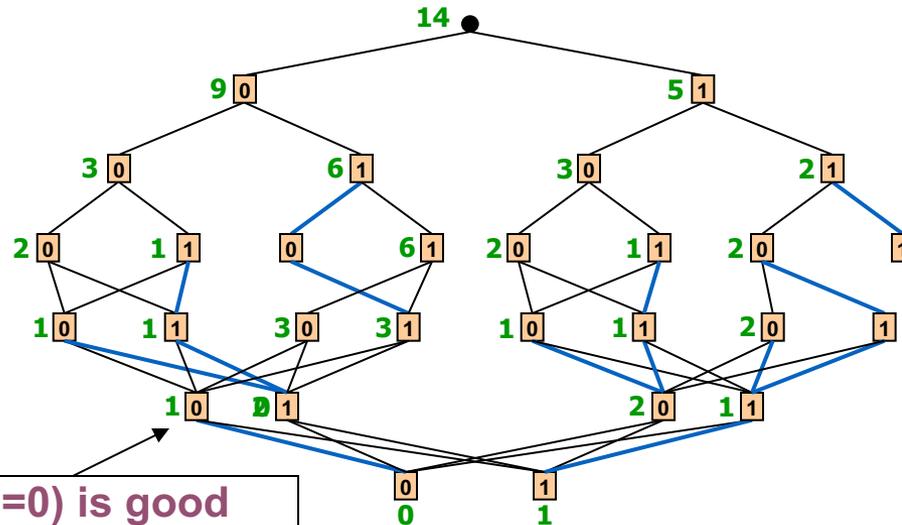
A	B	C	R <sub>ABC</sub>
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

B	C	D	R <sub>BCD</sub>
0	0	0	1
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	1
1	1	1	1

A	B	E	R <sub>ABE</sub>
0	0	0	1
0	0	1	0
0	1	0	1
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	0

A	E	F	R <sub>AEF</sub>
0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	0

- A context(A) = [A]
- B context(B) = [AB]
- C context(C) = [ABC]
- D context(D) = [ABD]
- E context(E) = [AE]
- F context(F) = [F]



(A=0, E=0) is good  
V(A=0, E=0)=1



# Summary: search principles

- DFS is better than BFS search
- Constraint propagation (i.e., bounded inference) prunes search space
- Constraint propagation yields good advice for how to branch and where to go
- Backjumping and no-good learning helps prune search space and revise problem.
- Good learning revise problem but helps only counting, enumeration

# Outline

- Look-back strategies
- Backjumping: Gaschnig, Graph-based, Conflict-directed
- Learning no-goods, constraint recording.
- Look-back for Satisfiability, integration and Empirical evaluation
- Counting, good caching